

# Welcome to CSCE 496/896: Deep Learning!

- Please check off your name on the roster, or write your name if you're not listed
  - Indicate if you wish to register or sit in
- **Policy on sit-ins:** You may sit in on the course without registering, but not at the expense of resources needed by registered students
  - Don't expect to get homework, etc. graded
  - If there are no open seats, you will have to surrender yours to someone who is registered
- **Overrides:** fill out the sheet with your name, NUID, major, and why this course is necessary for you
- You should have two handouts:
  - Syllabus
  - Copies of slides



# Introduction to Machine Learning

## Stephen Scott

# What is Machine Learning?

- Building machines that automatically **learn** from experience
  - Sub-area of artificial intelligence
- (Very) small sampling of applications:
  - Detection of fraudulent credit card transactions
  - Filtering spam email
  - Autonomous vehicles driving on public highways
  - Self-customizing programs: Web browser that learns what you like/where you are) and adjusts; autocorrect
  - Applications we can't program by hand: E.g., speech recognition
- You've used it today already ☺



# What is Learning?

- Many different answers, depending on the field you're considering and whom you ask
  - Artificial intelligence vs. psychology vs. education vs. neurobiology vs. ...

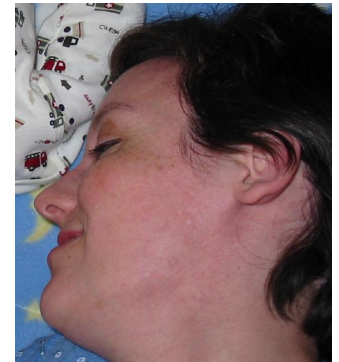


# Does Memorization = Learning?

- Test #1: Thomas learns his mother's face



Sees:



But will he recognize:







Thus he can generalize beyond what he's seen!



# Does Memorization = Learning? (cont'd)

- Test #2: Nicholas learns about trucks



Sees:



But will he recognize others?





- So learning involves **ability to generalize** from labeled examples
- In contrast, memorization is trivial, especially for a computer





# What is Machine Learning? (cont'd)

- When do we use machine learning?
  - Human expertise does not exist (navigating on Mars)
  - Humans are unable to explain their expertise (speech recognition; face recognition; driving)
  - Solution changes in time (routing on a computer network; browsing history; driving)
  - Solution needs to be adapted to particular cases (biometrics; speech recognition; spam filtering)
- In short, when one needs to generalize from experience in a non-obvious way



# What is Machine Learning? (cont'd)

- When do we **not** use machine learning?
  - Calculating payroll
  - Sorting a list of words
  - Web server
  - Word processing
  - Monitoring CPU usage
  - Querying a database
- When we can definitively specify how all cases should be handled



# More Formal Definition

- From Tom Mitchell's 1997 textbook:
  - *“A computer program is said to learn from experience  $E$  with respect to some class of tasks  $T$  and performance measure  $P$  if its performance at tasks in  $T$ , as measured by  $P$ , improves with experience  $E$ . ”*
- Wide variations of how  $T$ ,  $P$ , and  $E$  manifest



# One Type of Task *T*: **Classification**

- Given several **labeled examples** of a **concept**
  - E.g., trucks vs. non-trucks (binary); height (real)
  - This is the experience *E*
- Examples are described by **features**
  - E.g., number-of-wheels (int), relative-height (height divided by width), hauls-cargo (yes/no)
- A machine learning algorithm uses these examples to create a **hypothesis** (or **model**) that will **predict** the label of new (previously unseen) examples



# Classification (cont'd)

Labeled Training Data (labeled examples w/features)

Machine Learning Algorithm

Unlabeled Data (unlabeled exs)

Hypothesis

Predicted Labels

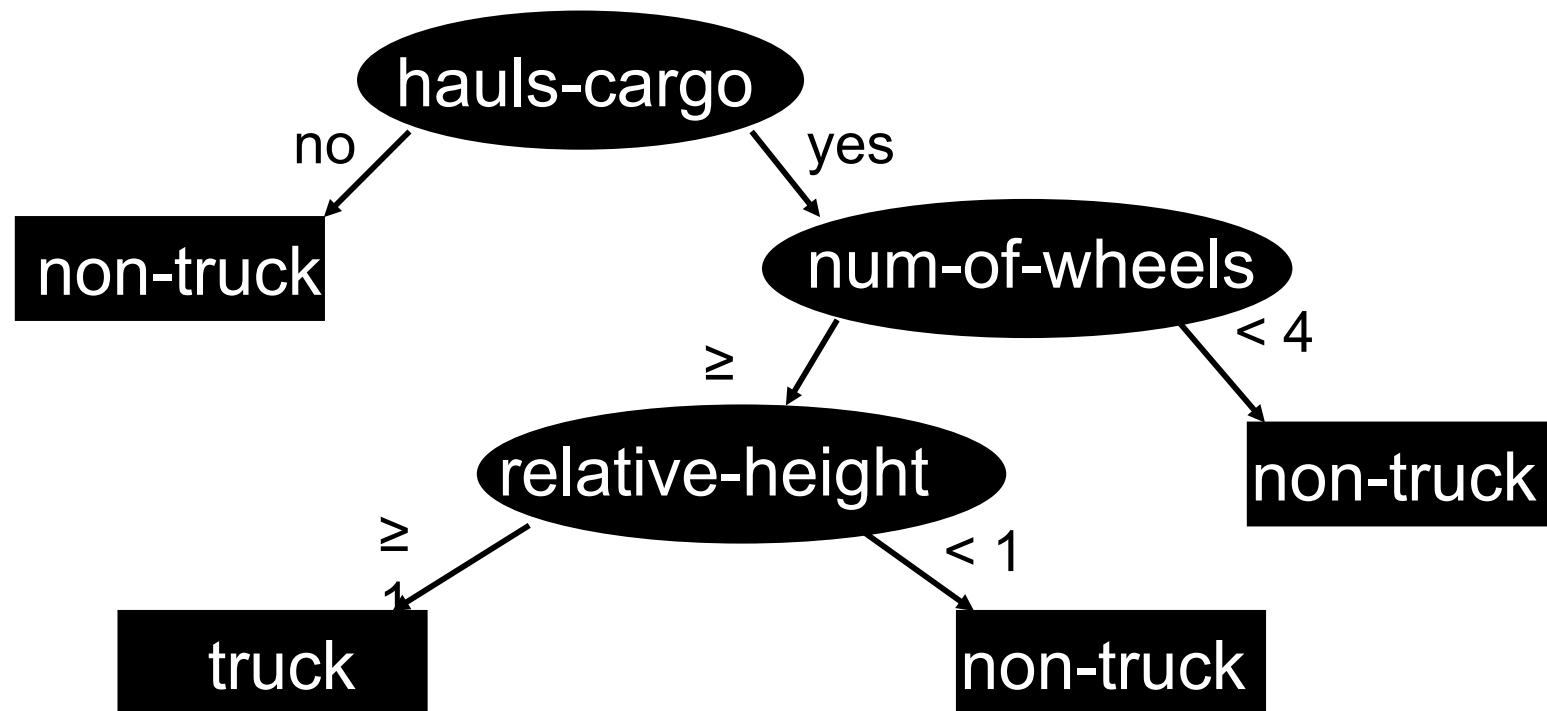
- Hypotheses can take on many forms





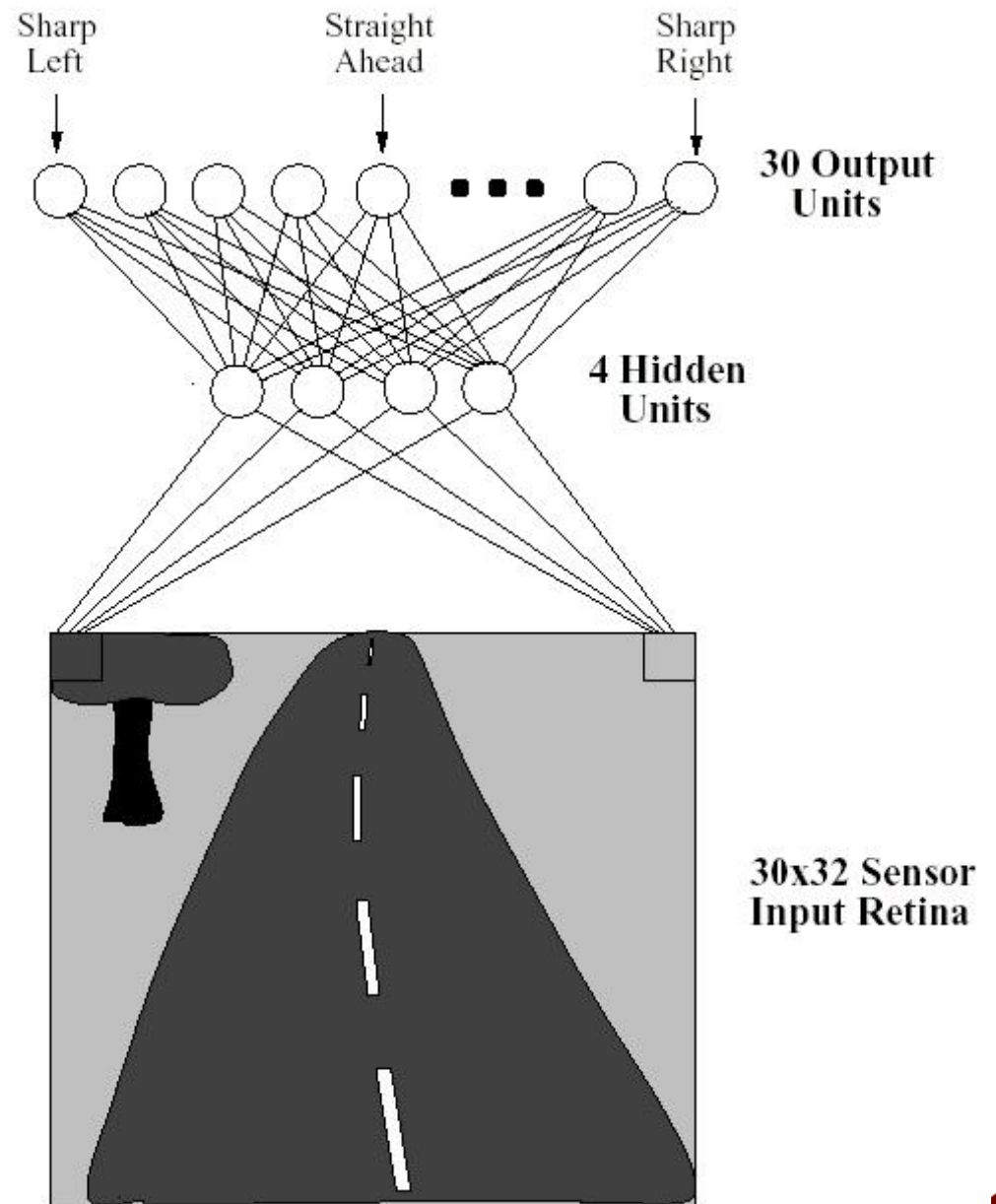
# Example Hypothesis Type: Decision Tree

- Very easy to comprehend by humans
- Compactly represents if-then rules



# Our Focus: Artificial Neural Networks

- Designed to simulate brains
- “Neurons” (processing units) communicate via connections, each with a numeric weight
- Learning comes from adjusting the weights



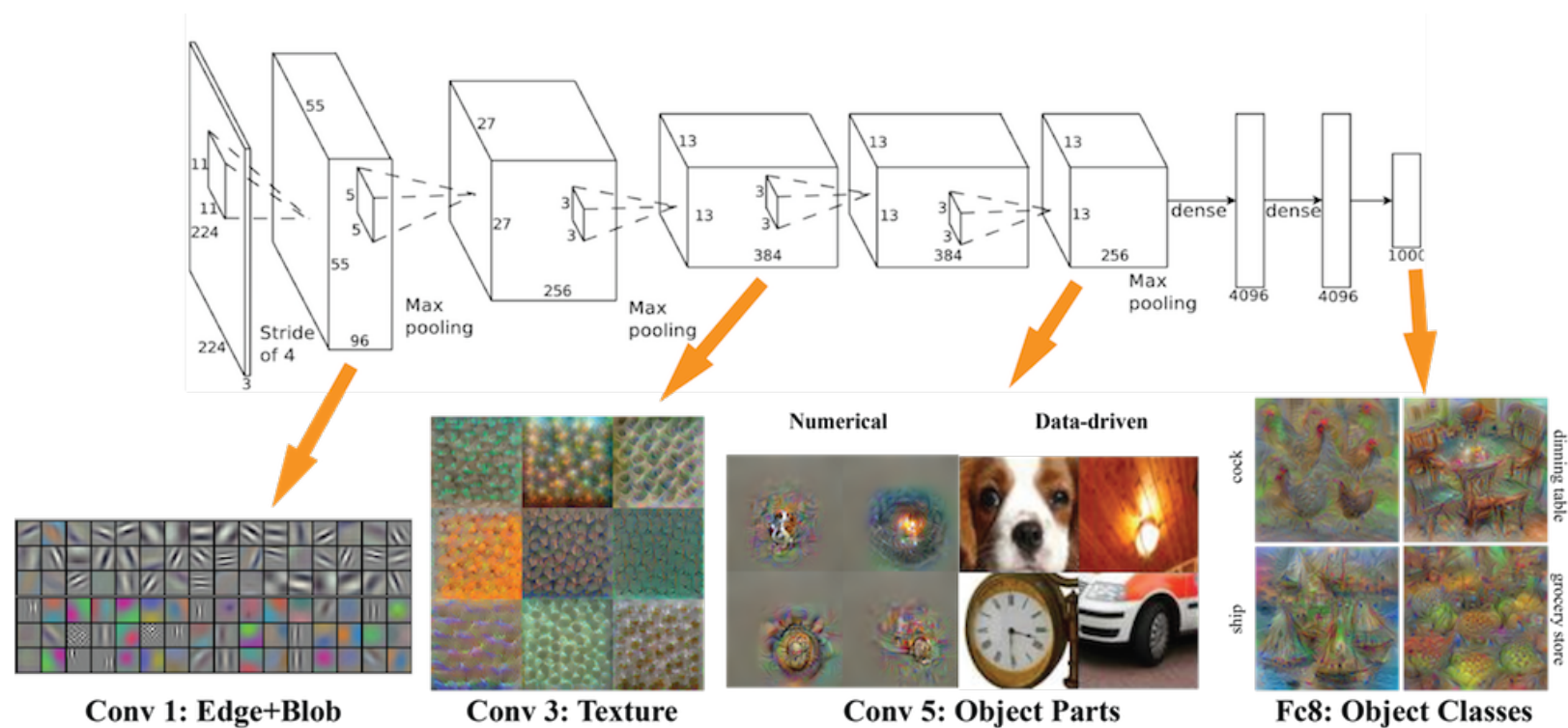
# Artificial Neural Networks (cont'd)

- ANNs are basis of **deep learning**
- “Deep” refers to depth of the architecture
  - More layers  $\Rightarrow$  more processing of inputs
- Each input to a node is multiplied by a weight
- Weighted sum  $S$  sent through **activation function**:
  - **Rectified linear**:  $\max(0, S)$
  - **Convolutional + pooling**: Weights represent a (e.g.)  $3 \times 3$  **convolutional kernel** to identify features in (e.g.) images that are **translation invariant**
  - **Sigmoid**:  $\tanh(S)$  or  $1/(1+\exp(-S))$
- Often trained via **stochastic gradient descent**



# Small Sampling of Deep Learning Examples

- Image recognition, speech recognition, document analysis, game playing, ...
- 8 Inspirational Applications of Deep Learning



# Example Performance Measures $P$

- Let  $X$  be a set of labeled instances
- **Classification error:** number of instances of  $X$  hypothesis  $h$  predicts correctly, divided by  $|X|$
- **Squared error:** Sum  $(y_i - h(x_i))^2$  over all  $x_i$ 
  - If labels from  $\{0,1\}$ , same as classification error
  - Useful when labels are real-valued
- **Cross-entropy:** Sum over all  $x_i$  from  $X$ :  
$$y_i \ln h(x_i) + (1 - y_i) \ln (1 - h(x_i))$$
  - Generalizes to  $> 2$  classes
  - Effective when  $h$  predicts probabilities





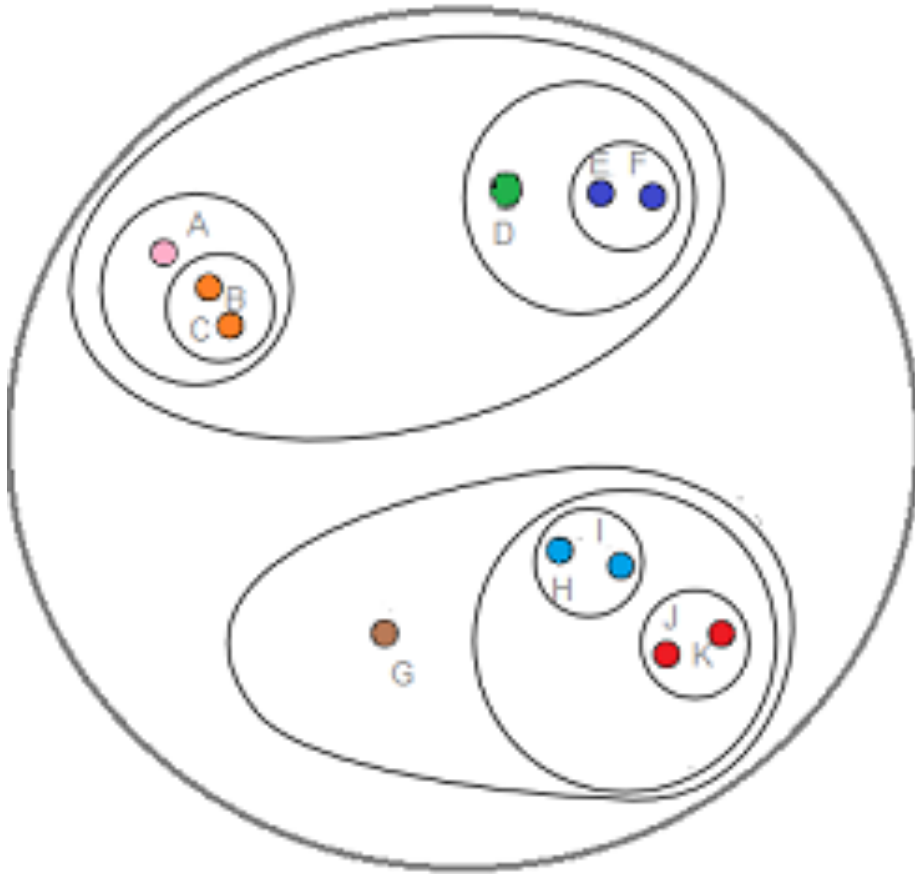
## Another Type of Task $T$ : **Unsupervised Learning**

- $E$  is now a set of **unlabeled examples**
- Examples are still described by **features**
- Still want to infer a model of the data, but instead of predicting labels, want to understand its **structure**
- E.g., **clustering, density estimation, feature extraction**

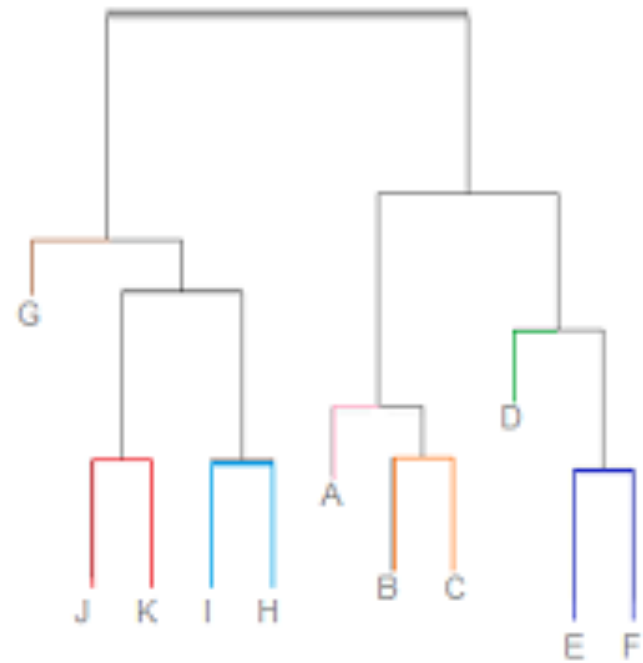


# Clustering Examples

## Flat

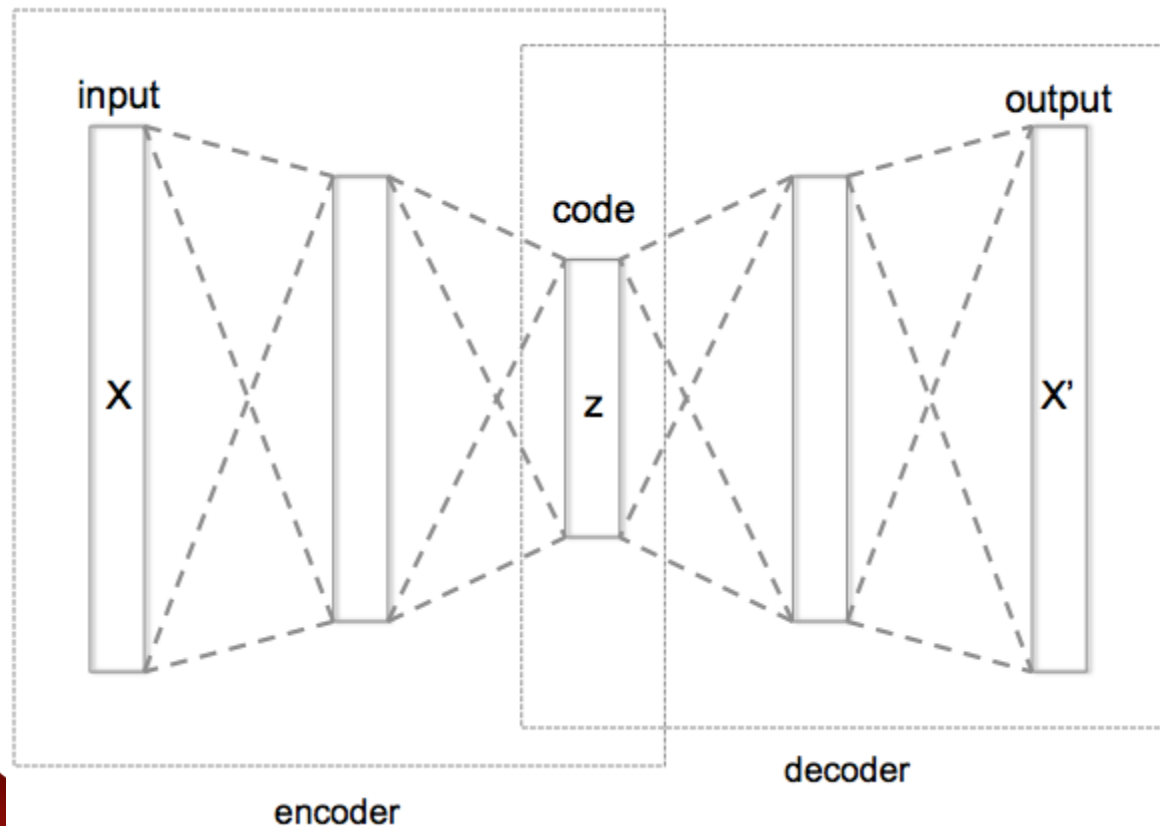


## Hierarchical



# Feature Extraction via Autoencoding

- Can train an ANN with unlabeled data
- **Goal:** have output  $x'$  match input  $x$
- Results in **embedding**  $z$  of input  $x$
- Can **pre-train** network to identify features



- Later, replace decoder with classifier
- **Semi-supervised learning**



## Another Type of Task $T$ : **Semisupervised Learning**

- $E$  is now a mixture of both **labeled** and **unlabeled examples**
  - Cannot afford to label all of it (e.g., images from web)
- Goal is to infer a classifier, but leverage abundant unlabeled data in the process
  - **Pre-train** in order to **identify relevant features**
  - **Actively purchase** labels from small subset
- Could also use **transfer learning** from one task to another



## Another Type of Task $T$ : **Reinforcement Learning**

- An **agent**  $A$  interacts with its **environment**
- At each step,  $A$  perceives the **state**  $s$  of its environment and takes **action**  $a$
- Action  $a$  results in some **reward**  $r$  and changes state to  $s'$ 
  - **Markov decision process (MDP)**
- Goal is to maximize **expected long-term reward**
- Applications: Backgammon, Go, video games, self-driving cars





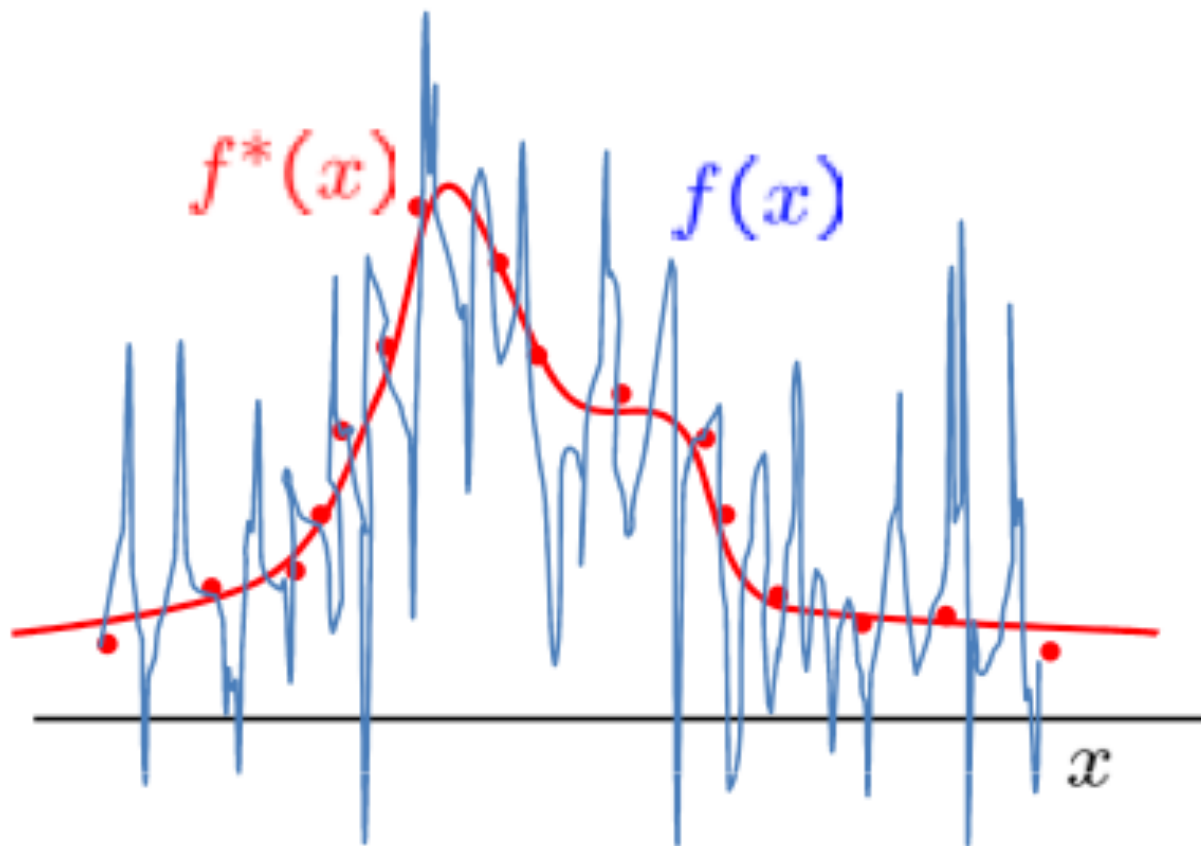
# Reinforcement Learning (cont'd)

- RL differs from previous tasks in that the feedback (reward) is typically delayed
  - Often takes several actions before reward received
  - E.g., no reward in checkers until game ends
  - Need to decide how much each action contributed to final reward
    - **Credit assignment problem**



# Issue: Model Complexity

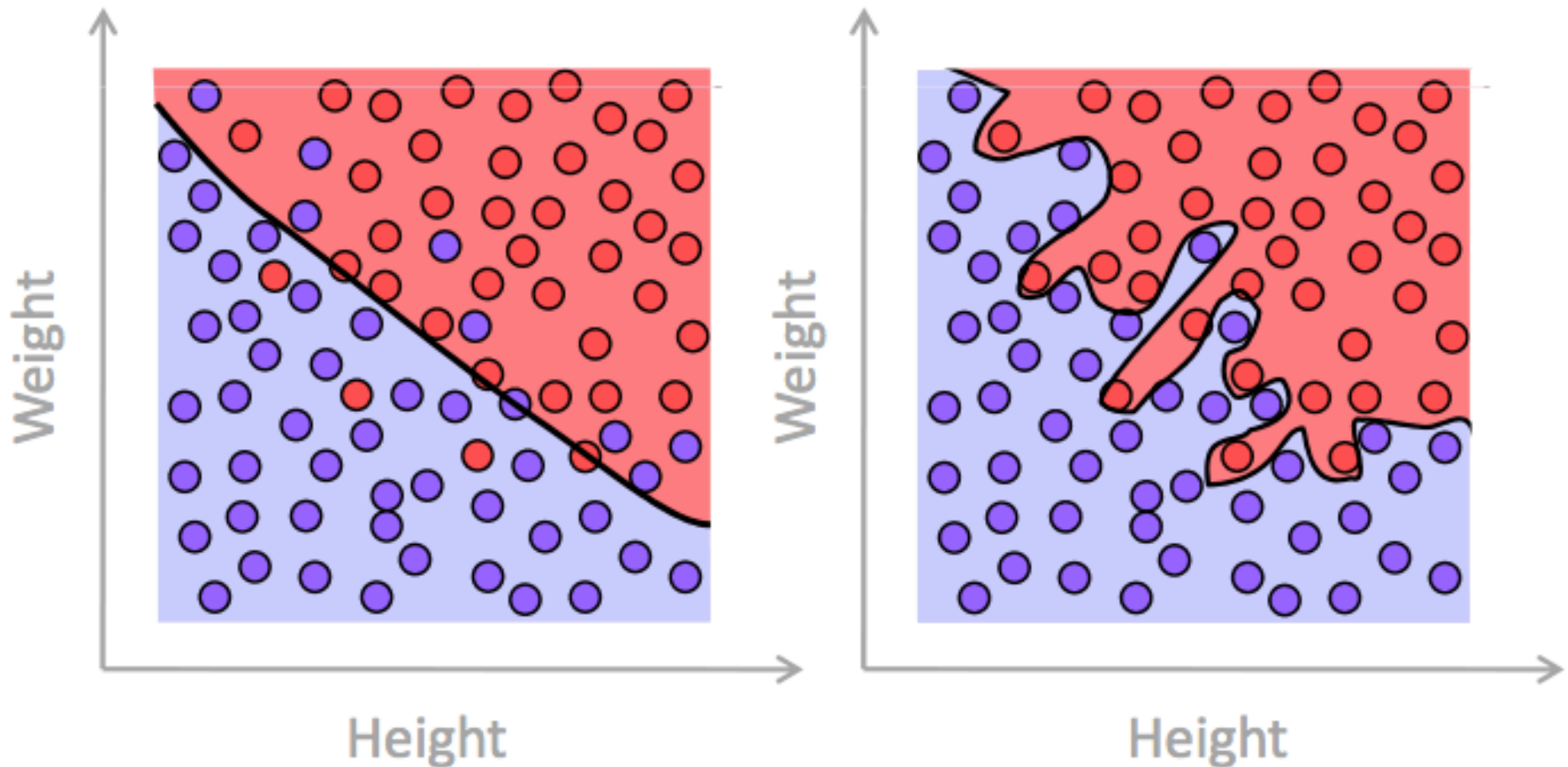
- In classification and regression, possible to find hypothesis that perfectly classifies all training data
  - But should we necessarily use it?



# Model Complexity (cont'd)

Label: Football player?

● No  
● Yes



→ To generalize well, need to balance  
**training accuracy** with **simplicity**



# Relevant Disciplines

- Artificial intelligence: Learning as a search problem, using prior knowledge to guide learning
- Probability theory: computing probabilities of hypotheses
- Computational complexity theory: Bounds on inherent complexity of learning
- Control theory: Learning to control processes to optimize performance measures
- Philosophy: Occam's razor (everything else being equal, simplest explanation is best)
- Psychology and neurobiology: Practice improves performance, biological justification for artificial neural networks
- Statistics: Estimating generalization performance



# Conclusions

- Idea of intelligent machines has been around a long time
- Early on was primarily academic interest
- Past few decades, improvements in processing power plus very large data sets allows highly sophisticated (and successful!) approaches
- Prevalent in modern society
  - You've probably used it several times today
- No single “best” approach for any problem
  - Depends on requirements, type of data, volume of data

