Lecture 03 — Dynamic Programming (Chapter 15)

Rod Cutting (1)

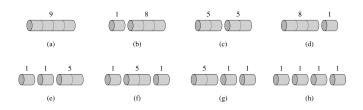
Notes and Questions

- A company has a rod of length n and wants to cut it into smaller rods to maximize profit
- Have a table telling how much they get for rods of various lengths: A rod of length i has price pi
- The cuts themselves are free, so profit is based solely on the prices charged for of the rods
- If cuts only occur at integral boundaries 1, 2, ..., n-1, then can make or not make a cut at each of n-1 positions, so total number of possible solutions is 2^{n-1}

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Rod Cutting (2)

i 1 2 3 4 5 6 7 8 9 10 p_i 1 5 8 9 10 17 17 20 24 30



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Notes and Questions

Rod Cutting (3)

- ▶ Given a rod of length n, want to find a set of cuts into lengths i_1, \ldots, i_k (where $i_1 + \cdots + i_k = n$) and **revenue** $r_n = p_{i_1} + \cdots + p_{i_k}$ is maximized
- For a specific value of n, can either make no cuts (revenue $= p_n$) or make a cut at some position i, then optimally solve the problem for lengths i and n i:

$$r_n = \max(p_n, r_1 + r_{n-1}, r_2 + r_{n-2}, \dots, r_i + r_{n-i}, \dots, r_{n-1} + r_1)$$

- Notice that this problem has the optimal substructure property, in that an optimal solution is made up of optimal solutions to subproblems
 - ► Easy to prove via contradiction (How?)
 - Can find optimal solution if we consider all possible subproblems
- ▶ Alternative formulation: Don't further cut the first segment:

$$r_n = \max_{1 \le i \le n} (p_i + r_{n-i})$$

Notes and Questions

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Notes and Questions

```
1 if n == 0 then
2 | return 0;
q=-\infty ;
4 for i = 1 \text{ to } n \text{ do}
\mathsf{5} \, \left| \, \right| \, \, q = \max \left( q, p[i] + \mathsf{CUT}\text{-}\mathsf{ROD}(p, n-i) \right)
6 end
7 return q;
```

Time Complexity

- ▶ Let *T*(*n*) be number of calls to Cut-RoD
- ▶ Thus T(0) = 1 and, based on the **for** loop,

$$T(n) = 1 + \sum_{j=0}^{n-1} T(j) = 2^n$$

- ▶ Why exponential? Cut-Rod exploits the optimal substructure property, but repeats work on these subproblems
- ▶ E.g., if the first call is for n = 4, then there will be:
 - ▶ 1 call to Cut-Rop(4)

 - ► 1 call to CUT-ROD(3) ► 2 calls to CUT-ROD(2)
 - ▶ 4 calls to Cut-Rop(1)
 - ▶ 8 calls to Cut-Rod(0)

Notes and Questions

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Time Complexity (2)

Recursion Tree for n = 4

Dynamic Programming Algorithm

Notes and Questions

- Can save time dramatically by remembering results from prior calls
- ▶ Two general approaches:
 - Top-down with memoization: Run the recursive algorithm as defined earlier, but before recursive call, check to see if the calculation has already been done and memoized
 - Bottom-up: Fill in results for "small" subproblems first, then use these to fill in table for "larger" ones
- ▶ Typically have the same asymptotic running time

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Memoized-Cut-Rod-Aux(p, n, r)

```
 \begin{array}{lll} & \text{if } r[n] \geq 0 \text{ then} \\ 2 & | & \text{return } r[n] & \textit{// r} \text{ initialized to all } -\infty \,; \\ 3 & \text{if } n == 0 \text{ then} \\ 4 & | & q = 0 \,; \\ 5 & \text{else} \\ 6 & | & q = -\infty \,; \\ 7 & \text{for } i = 1 \text{ to } n \text{ do} \\ 8 & | & q = \\ & & \max \left(q, p[i] + \text{MEMOIZED-CUT-ROD-AUX}(p, n-i, r)\right) \\ 9 & & \text{end} \\ 10 & | & r[n] = q \,; \\ 11 & \text{return } q \,; \\ \end{array}
```

Notes and Questions

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Bottom-Up-Cut-Rod(p, n)

```
1 Allocate r[0...n];

2 r[0] = 0;

3 for j = 1 to n do

4 q = -\infty;

5 for i = 1 to j do

6 q = max(q, p[i] + r[j - i])

end

7 p[i] = q;

9 end

10 return r[n];
```

First solves for n = 0, then for n = 1 in terms of r[0], then for n = 2 in terms of r[0] and r[1], etc.

Example

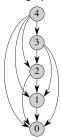
i 1 2 3 4 5 6 7 8 9 10 p_i 1 5 8 9 10 17 17 20 24 30

$p_1 + r_0 = 1 = r_1$ *j* = 2 i = 1 $p_1 + r_1 = 2$ $p_2 + r_0 = 5 = r_2$ i = 2 $p_1 + r_2 = 1 + 5 = 6$ $p_2 + r_1 = 5 + 1 = 6$ i = 1i = 2 $p_3 + r_0 = 8 + 0 = 8 = r_3$ i = 3 $p_1 + r_3 = 1 + 8 = 9$ i = 1 $p_2 + r_2 = 5 + 5 = 10 = r_4$ i = 2i = 3 $p_3 + r_1 + 8 + 1 = 9$ i = 4 $p_4 + r_0 = 9 + 0 = 9$

Notes and Questions

Time Complexity

Subproblem graph for n = 4



Both algorithms take linear time to solve for each value of n, so total time complexity is $\Theta(n^2)$

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Notes and Questions

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Reconstructing a Solution

- If interested in the set of cuts for an optimal solution as well as the revenue it generates, just keep track of the choice made to optimize each subproblem
- Will add a second array s, which keeps track of the optimal size of the first piece cut in each subproblem

Extended-Bottom-Up-Cut-Rod(p, n)

Notes and Questions

```
1 Allocate r[0...n] and s[0...n];

2 r[0] = 0;

3 for j = 1 to n do

4 q = -\infty;

5 for i = 1 to j do

6 if q < p[i] + r[j - i] then

7 q = p[i] + r[j - i];

8 end

10 r[j] = q;

11 end

12 return r, s;
```

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Print-Cut-Rod-Solution(p, n)

1 $(r,s) = \text{EXTENDED-BOTTOM-UP-CUT-ROD}(\rho,n)$; 2 while n > 0 do 3 | print s[n]; 4 | n = n - s[n]; 5 end

Example:

i	0	1	2	3	4	5	6	7	8	9	10
r[i]	0	1	5	8	10	13	17	18	22	25	30
s[i]	0	1	2	3	2	2	6	1	2	3	10

If n = 10, optimal solution is no cut; if n = 7, then cut once to get segments of sizes 1 and 6

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Notes and Questions

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Matrix-Chain Multiplication (1)

- ▶ Given a chain of matrices $\langle A_1, \dots, A_n \rangle$, goal is to compute their product $A_1 \cdots A_n$
- ► This operation is associative, so can sequence the multiplications in multiple ways and get the same result
- Can cause dramatic changes in number of operations required
- ▶ Multiplying a $p \times q$ matrix by a $q \times r$ matrix requires pqr steps and yields a $p \times r$ matrix for future multiplications
- ▶ E.g., Let A_1 be 10×100 , A_2 be 100×5 , and A_3 be 5×50
 - 1. Computing $((A_1A_2)A_3)$ requires $10 \cdot 100 \cdot 5 = 5000$ steps to compute (A_1A_2) (yielding a 10×5), and then $10 \cdot 5 \cdot 50 = 2500$ steps to finish, for a total of 7500
 - 2. Computing $(A_1(A_2A_3))$ requires $100 \cdot 5 \cdot 50 = 25000$ steps to compute (A_2A_3) (yielding a 100×50), and then $10 \cdot 100 \cdot 50 = 50000$ steps to finish, for a total of 75000

Matrix-Chain Multiplication (2)

Notes and Questions

- ▶ The **matrix-chain multiplication problem** is to take a chain $\langle A_1, \ldots, A_n \rangle$ of n matrices, where matrix i has dimension $p_{i-1} \times p_i$, and fully parenthesize the product $A_1 \cdots A_n$ so that the number of scalar multiplications is minimized.
- ▶ Brute force solution is infeasible, since its time complexity is Ω ($4^n/n^{3/2}$)
- ▶ We will follow **4-step procedure** for dynamic programming:
 - 1. Characterize the structure of an optimal solution
 - 2. Recursively define the value of an optimal solution
 - 3. Compute the value of an optimal solution
 - 4. Construct an optimal solution from computed information

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Step 1: Characterizing Structure of Optimal Solution

- ▶ Let $A_{i...i}$ be the matrix from the product $A_iA_{i+1} \cdots A_i$
- ➤ To compute A_{i...j}, must split the product and compute A_{i...k} and A_{k+1...j} for some integer k, then multiply the two together
- Cost is the cost of computing each subproduct plus cost of multiplying the two results
- Say that in an optimal parenthesization, the optimal split for A_iA_{i+1} · · · A_i is at k
- ▶ Then in an optimal solution for $A_iA_{i+1}\cdots A_j$, the parenthisization of $A_i\cdots A_k$ is itself optimal for the subchain $A_i\cdots A_k$ (if not, then we could do better for the larger chain, i.e., proof by contradiction)
- ▶ Similar argument for $A_{k+1} \cdots A_i$
- ➤ Thus if we make the right choice for k and then optimally solve the subproblems recursively, we'll end up with an optimal solution
- ► Since we don't know optimal k, we'll try them all_

Notes and Questions

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Step 2: Recursively Defining Value of Optimal Solution

- Define m[i, j] as minimum number of scalar multiplications needed to compute A_{i...j}
- ► (What entry in the *m* table will be our final answer?)
- ▶ Computing m[i, j]:
 - 1. If i = j, then no operations needed and m[i, i] = 0 for all i
 - If i < j and we split at k, then optimal number of operations needed is the optimal number for computing A_{i...k} and A_{k+1...j}, plus the number to multiply them:

$$m[i,j] = m[i,k] + m[k+1,j] + p_{i-1}p_kp_j$$

3. Since we don't know k, we'll try all possible values:

$$m[i,j] = \begin{cases} 0 & \text{if } i = j \\ \min_{i \le k < j} \{m[i,k] + m[k+1,j] + \rho_{i-1}\rho_k \rho_j\} & \text{if } i < j \end{cases}$$

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► To track the optimal solution itself, define *s*[*i*, *j*] to be the value of *k* used at each split

Step 3: Computing Value of Optimal Solution

- As with the rod cutting problem, many of the subproblems we've defined will overlap
- Exploiting overlap allows us to solve only $\Theta(n^2)$ problems (one problem for each (i,j) pair), as opposed to exponential
- We'll do a bottom-up implementation, based on chain length
- ▶ Chains of length 1 are trivially solved (m[i, i] = 0 for all i)
- ▶ Then solve chains of length 2, 3, etc., up to length n
- ▶ Linear time to solve each problem, quadratic number of problems, yields O(n³) total time

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Notes and Questions

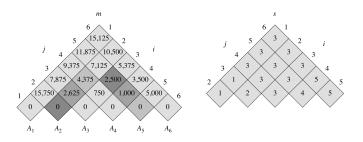
Matrix-Chain-Order(p, n)

```
1 allocate m[1 \dots n, 1 \dots n] and s[1 \dots n, 1 \dots n];
 initialize m[i, i] = 0 \forall 1 \le i \le n;
 3 for \ell = 2 to n do
        for i = 1 to n - \ell + 1 do
             j = i + \ell - 1 ;
             m[i,j]=\infty;
             for k = i \text{ to } j - 1 \text{ do}
                  q = m[i, k] + m[k + 1, j] + p_{i-1}p_kp_j;
                  if q < m[i, j] then
                       m[i,j]=q\;;
10
11
                       s[i,j]=k;
12
             end
13
        end
14 end
15 return (m, s)
```

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Notes and Questions

Example



matrix	A ₁	A_2	A ₃	A_4	A ₅	A ₆
dimension	30 × 35	35 × 15	15 × 5	5 × 10	10 × 20	20 × 25
p_i	$p_0 \times p_1$	$p_1 \times p_2$	$p_2 \times p_3$	$p_3 \times p_4$	$p_4 \times p_5$	$p_5 \times p_6$

Step 4: Constructing Optimal Solution from Computed Information

Notes and Questions

- ▶ Cost of optimal parenthesization is stored in m[1, n]
- First split in optimal parenthesization is between s[1, n] and s[1, n] + 1
- ▶ Descending recursively, next splits are between s[1, s[1, n]] and s[1, s[1, n]] + 1 for left side and between s[s[1, n] + 1, n] and s[s[1, n] + 1, n] + 1 for right side
- and so on...

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Print-Optimal-Parens(s, i, j)

Notes and Questions

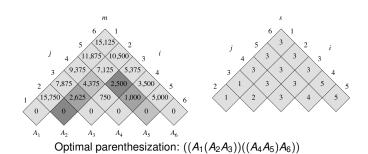
```
 \begin{aligned} &\text{if } i == j \text{ then} \\ &\text{print "A"}_i; \\ &\text{else} \\ &\text{print "(";} \\ &\text{5} &\text{PRINT-OPTIMAL-PARENS}(s,i,s[i,j]); \\ &\text{6} &\text{PRINT-OPTIMAL-PARENS}(s,s[i,j]+1,j); \\ &\text{7} &\text{print ")";} \end{aligned}
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Example

Notes and Questions

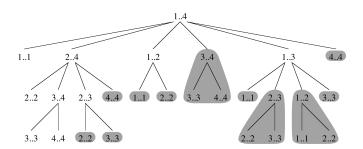


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Example of How Subproblems Overlap

Notes and Questions

Entire subtrees overlap:



See Section 15.3 for more on optimal substructure and overlapping subproblems

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Aside: More on Optimal Substructure

Notes and Questions



The shortest path problem is to find a shortest path between two nodes in a graph

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- The longest simple path problem is to find a longest simple path between two nodes in a graph
- Does the shortest path problem have optimal substructure? Explain
- What about longest simple path?

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Aside: More on Optimal Substructure (2)

- No, LSP does not have optimal substructure
- A LSP from q to t is $q \rightarrow r \rightarrow t$
- ▶ But $q \rightarrow r$ is **not** a LSP from q to r
- What happened?
- ▶ The subproblems are **not independent**: LSP $q \rightarrow s \rightarrow t \rightarrow r$ from q to r uses up all the vertices, so we cannot independently solve LSP from r to t and combine them
 - In contrast, SP subproblems don't share resources: can combine any SP u → w with any SP w → v to get a SP from u to v
- In fact, the LSP problem is NP-complete, so probably no efficient algorithm exists

Longest Common Subsequence

- ▶ Sequence $Z = \langle z_1, z_2, \dots, z_k \rangle$ is a **subsequence** of another sequence $X = \langle x_1, x_2, \dots, x_m \rangle$ if there is a strictly increasing sequence $\langle i_1, \dots, i_k \rangle$ of indices of X such that for all $j = 1, \dots, k$, $x_{i_j} = z_j$
- ▶ I.e., as one reads through Z, one can find a match to each symbol of Z in X, in order (though not necessarily contiguous)
- ▶ E.g., $Z = \langle B, C, D, B \rangle$ is a subsequence of $X = \langle A, B, C, B, D, A, B \rangle$ since $z_1 = x_2$, $z_2 = x_3$, $z_3 = x_5$, and $z_4 = x_7$
- Z is a common subsequence of X and Y if it is a subsequence of both
- ▶ The goal of the **longest common subsequence problem** is to find a maximum-length common subsequence (LCS) of sequences $X = \langle x_1, x_2, \dots, x_m \rangle$ and $Y = \langle y_1, y_2, \dots, y_n \rangle$

Notes and Questions

Step 1: Characterizing Structure of Optimal Solution

- ▶ Given sequence $X = \langle x_1, \dots, x_m \rangle$, the *i*th **prefix** of X is $X_i = \langle x_1, \dots, x_i \rangle$
- ▶ Theorem If $X=\langle x_1,\dots,x_m\rangle$ and $Y=\langle y_1,\dots,y_n\rangle$ have LCS $Z=\langle z_1,\dots,z_k\rangle$, then
 - 1. $x_m = y_n \Rightarrow z_k = x_m = y_n$ and Z_{k-1} is LCS of X_{m-1} and Y_{m-1}
 - ▶ If $z_k \neq x_m$, can lengthen Z, \Rightarrow contradiction
 - ▶ If Z_{k-1} not LCS of X_{m-1} and Y_{n-1} , then a longer CS of X_{m-1} and Y_{n-1} could have x_m appended to it to get CS of X and Y that is longer than Z, \Rightarrow contradiction
 - 2. If $x_m \neq y_n$, then $z_k \neq x_m$ implies that Z is an LCS of X_{m-1} and Y
 - If z_k ≠ x_m, then Z is a CS of X_{m-1} and Y. Any CS of X_{m-1} and Y that is longer than Z would also be a longer CS for X and Y, ⇒ contradiction
 - 3. If $x_m \neq y_n$, then $z_k \neq y_n$ implies that Z is an LCS of X and Y_{n-1}
 - ► Similar argument to (2)

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Notes and Questions

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Step 2: Recursively Defining Value of Optimal Solution

- ▶ The theorem implies the kinds of subproblems that we'll investigate to find LCS of $X = \langle x_1, \dots, x_m \rangle$ and $Y = \langle y_1, \dots, y_n \rangle$
- ▶ If $x_m = y_n$, then find LCS of X_{m-1} and Y_{n-1} and append x_m (= y_n) to it
- ▶ If $x_m \neq y_n$, then find LCS of X and Y_{n-1} and find LCS of X_{m-1} and Y and identify the longest one
- ▶ Let c[i,j] = length of LCS of X_i and Y_i

$$c[i,j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0\\ c[i-1,j-1] + 1 & \text{if } i,j > 0 \text{ and } x_i = y_j\\ \max(c[i,j-1],c[i-1,j]) & \text{if } i,j > 0 \text{ and } x_i \neq y_j \end{cases}$$

Step 3: LCS-Length(X, Y, m, n)

Notes and Questions

```
allocate b[1 \dots m, 1 \dots n] and c[0 \dots m, 0 \dots n];
initialize c[i, 0] = 0 and c[0, j] = 0 \ \forall 0 \le i \le m and 0 \le j \le n;

for i = 1 to m do

for j = 1 to n do

if x_i == y_j then

c[i, j] = c[i - 1, j - 1] + 1;
b[i, j] = \text{``} \text{``} \text{`};
else if c[i - 1, j] \ge c[i, j - 1] then
c[i, j] = c[i - 1, j];
b[i, j] = \text{``} \text{``} \text{``};
else
c[i, j] = c[i, j - 1];
b[i, j] = \text{``} \text{``} \text{``};
end

end

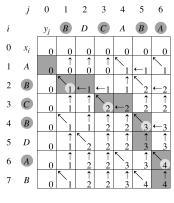
return (c, b);
```

What is the time complexity?

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Example

 $X = \langle A, B, C, B, D, A, B \rangle, Y = \langle B, D, C, A, B, A \rangle$



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Notes and Questions

Step 4: Constructing Optimal Solution from Computed Information

- ▶ Length of LCS is stored in c[m, n]
- ► To print LCS, start at *b*[*m*, *n*] and follow arrows until in row or column 0
- If in cell (i, j) on this path, when $x_i = y_j$ (i.e., when arrow is " \nwarrow "), print x_i as part of the LCS
- ► This will print LCS backwards

Notes and Questions

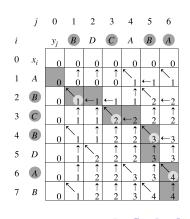
```
1 if i = 0 or j = 0 then
2 | return;
3 if b[i,j] == \text{``} \text{`` then}
4 | PRINT-LCS(b, X, i - 1, j - 1);
5 | print x_i;
6 else if b[i,j] == \text{``} \text{`` then}
7 | PRINT-LCS(b, X, i - 1, j);
8 else PRINT-LCS(b, X, i, j - 1);
```

What is the time complexity?

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Example

 $X = \langle A, B, C, B, D, A, B \rangle$, $Y = \langle B, D, C, A, B, A \rangle$, prints "BCBA"



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Notes and Questions

Optimal Binary Search Trees

- Goal is to construct binary search trees such that most frequently sought values are near the root, thus minimizing expected search time
- ▶ Given a sequence $K = \langle k_1, \dots, k_n \rangle$ of n distinct keys in sorted order
- Key k_i has probability p_i that it will be sought on a particular search
- To handle searches for values not in K, have n + 1 dummy keys d₀, d₁,..., dn to serve as the tree's leaves
- ▶ Dummy key d_i will be reached with probability q_i
- If depth_T(k_i) is distance from root of k_i in tree T, then expected search cost of T is

$$1 + \sum_{i=1}^{n} \rho_{i} \operatorname{depth}_{T}(k_{i}) + \sum_{i=0}^{n} q_{i} \operatorname{depth}_{T}(d_{i})$$

 An optimal binary search tree is one with minimum expected search cost

Optimal Binary Search Trees (2)

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Notes and Questions

Step 1: Characterizing Structure of Optimal Solution

- ▶ Observation: Since K is sorted and dummy keys interspersed in order, any subtree of a BST must contain keys in a contiguous range k_i,..., k_j and have leaves d_{i-1},..., d_i
- ▶ Thus, if an optimal BST T has a subtree T' over keys k_i, \ldots, k_j , then T' is optimal for the subproblem consisting of only the keys k_i, \ldots, k_j
 - ▶ If T' weren't optimal, then a lower-cost subtree could replace T' in T, \Rightarrow contradiction
- Given keys k_i,..., k_j, say that its optimal BST roots at k_r for some i ≤ r ≤ j
- ▶ Thus if we make right choice for k_r and optimally solve the problem for k_i, \ldots, k_{r-1} (with dummy keys d_{i-1}, \ldots, d_{r-1}) and the problem for k_{r+1}, \ldots, k_j (with dummy keys d_r, \ldots, d_i), we'll end up with an optimal solution
- ▶ Since we don't know optimal k_r , we'll try them all

Notes and Questions

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Step 2: Recursively Defining Value of Optimal Solution

- ▶ Define e[i,j] as the expected cost of searching an optimal BST built on keys k_i, \ldots, k_j
- If j = i 1, then there is only the dummy key d_{i-1} , so $e[i, i-1] = q_{i-1}$
- ▶ If $j \ge i$, then choose root k_r from k_i, \ldots, k_j and optimally solve subproblems k_i, \ldots, k_{r-1} and k_{r+1}, \ldots, k_j
- ▶ When combining the optimal trees from subproblems and making them children of k_r, we increase their depth by 1, which increases the cost of each by the sum of the probabilities of its nodes
- ▶ Define $w(i,j) = \sum_{\ell=i}^{j} p_{\ell} + \sum_{\ell=i-1}^{j} q_{\ell}$ as the sum of probabilities of the nodes in the subtree built on k_i, \ldots, k_j , and get

$$e[i,j] = p_r + (e[i,r-1] + w(i,r-1)) + (e[r+1,j] + w(r+1,j))$$

Notes and Questions

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Recursively Defining Value of Optimal Solution (2)

Notes and Questions

Note that

$$w(i,j) = w(i,r-1) + p_r + w(r+1,j)$$

- ► Thus we can condense the equation to e[i,j] = e[i,r-1] + e[r+1,j] + w(i,j)
- Finally, since we don't know what k_r should be, we try them all:

$$e[i,j] = \begin{cases} q_{i-1} & \text{if } j = i-1\\ \min_{1 \le r \le j} \{e[i,r-1] + e[r+1,j] + w(i,j)\} & \text{if } i \le j \end{cases}$$

▶ Will also maintain table root[i, j] = index r for which k_r is root of an optimal BST on keys $k_i, ..., k_j$



4□ → 4**□** → 4 **□** → 4 **□** → 4 **□** → 4 **□** → 42/44

Step 3: Optimal-BST(p, q, n)

| allocate $e[1 \dots n+1, 0 \dots n], w[1 \dots n+1, 0 \dots n],$ and $root[1 \dots n, 1 \dots n]$; | nitialize $e[i, i-1] = w[i, i-1] = q_{i-1} \ \forall \ 1 \le i \le n+1$; | 3 | for t = 1 to n do | 4 | for i = 1 to n = 1 to n = 1 for n = 1

What is the time complexity?

return (e, root)

Notes and Questions

 4□ > 4□ > 4□ > 4 = > 4 = > 4 = 43/4

Example

