



# Magnetism

Kirill Belashchenko

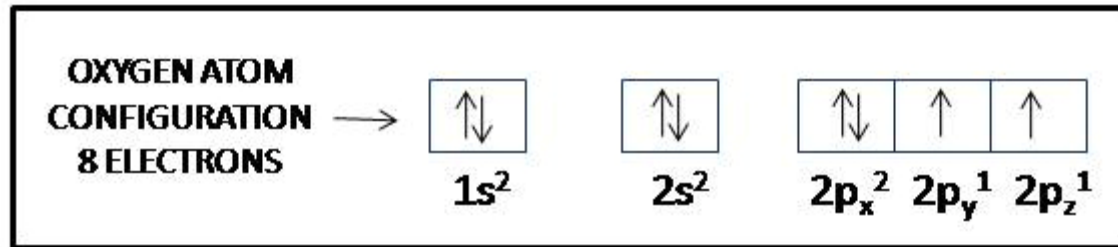
*Department of Physics and Astronomy  
University of Nebraska-Lincoln, USA*

# Outline

- **How does magnetism appear?**
  - From atoms and molecules to solids
  - Anderson and Stoner models
- **Magnetic order**
  - Exchange interaction and its mechanisms
- **Magnetism in spin density functional theory**
- **Mapping the Heisenberg model**
  - Fitting of different magnetic structures
  - Linear response technique
  - Noncollinear magnetic structures, spin spirals, generalized Bloch theorem
- **Implementation in FLEUR**
- **Practical issues and a couple examples**

# Atomic magnetism: Hund's rule

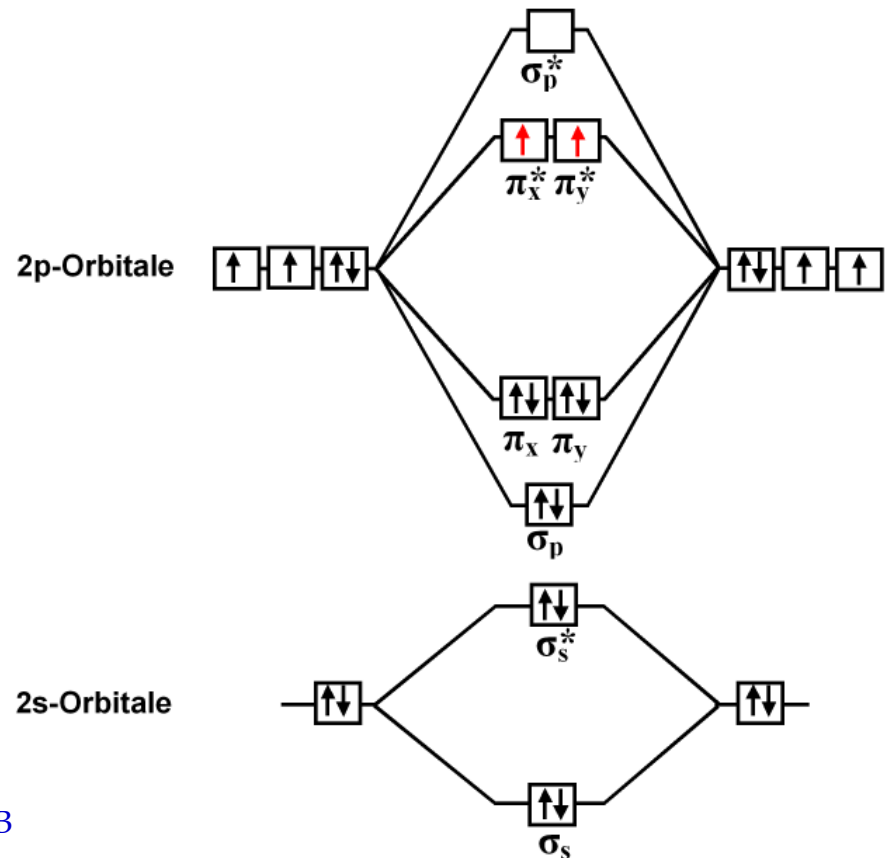
- Fill the single-electron orbitals observing the Pauli principle
- **Degenerate orbitals:** Hund's rule (maximum multiplicity)



- Many-electron effects become important for **partially filled shells**
- Many-electron  $\Psi(1, \dots, N)$  is antisymmetric for all permutations
- Non-relativistic  $H$  does not affect spin,  
hence  $\Psi(1, \dots, N) = \chi(\sigma_1, \dots, \sigma_N)\psi(\mathbf{r}_1, \dots, \mathbf{r}_N)$
- Permutation symmetry of  $\chi$  determines the total spin and matches the symmetry of the coordinate  $\psi(\mathbf{r}_1, \dots, \mathbf{r}_N)$
- Coulomb energy is minimal when the electrons in the degenerate shell avoid each other, i.e. for antisymmetrized  $\psi$  and hence symmetrized  $\chi$ ; maximal spin of the unfilled shell (Hund's rule)

# Magnetism of molecules

- Similar to atoms:
    - Fill the single-electron (SCF) orbitals observing the Pauli principle
    - Degenerate orbitals: Hund's rule (maximum multiplicity)
  - Example: O<sub>2</sub> and N<sub>2</sub> molecules
    - Ground state
      - O<sub>2</sub>: triplet
      - N<sub>2</sub>: singlet
    - Dissociation energy
      - O<sub>2</sub>: 5.13 eV
      - N<sub>2</sub>: 9.78 eV
    - Singlet-triplet splitting
      - O<sub>2</sub>: 0.98 eV
  - Hund exchange is "small"
  - Note the degeneracy!
- Delocalization reduces the magnetic moment on the O atom from 2 to 1  $\mu_B$
- Most molecules have singlet ground state



# Magnetism of solids

- From molecules to solids:
  - Bonding/antibonding orbitals become energy bands, degeneracy lifted
  - Fractional filling of bands is possible (metals)

- Band filling with orbital-independent SCF: non-magnetic state

- Effects of on-site exchange and correlation:

- On-site Coulomb repulsion – penalizes electrons for occupying the same site

$$E_C(n_{3d}) = \frac{1}{2} U_{3d} n_{3d} (n_{3d} - 1)$$

- On-site exchange  $J$  – favors electrons on the same site to have parallel spins
- These are important for  $3d$  and  $4f$  orbitals
- Magnetism appears if correlation energy wins over the band energy
- Competing energy contributions:
  - On-site repulsion  $U$  promotes integer  $n_{3d}$ , hence local moments for odd  $n_{3d}$
  - On-site (Hund) exchange directly promotes local moments
  - Hybridization promotes delocalization, tends to destroy local moments

# Anderson model: 3d impurity in a metal

$$\mathcal{H} = \sum_{k\sigma} \varepsilon_k a_{k\sigma}^\dagger a_{k\sigma} + \sum_{\sigma} E_d a_{d\sigma}^\dagger a_{d\sigma} + \sum_{k\sigma} (V_{kd} a_{k\sigma}^\dagger a_{d\sigma} + V_{dk} a_{d\sigma}^\dagger a_{k\sigma}) + U a_{d\uparrow}^\dagger a_{d\uparrow} a_{d\downarrow}^\dagger a_{d\downarrow} .$$

Hartree-Fock:  $n_{d\uparrow,\downarrow} = \langle n_{d\uparrow,\downarrow} \rangle + (n_{d\uparrow,\downarrow} - \langle n_{d\uparrow,\downarrow} \rangle)$

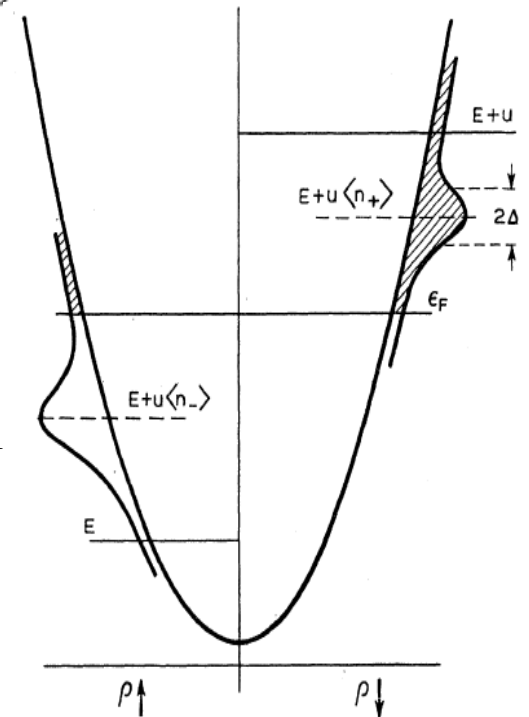
$$\mathcal{H}_{\text{HF}} = \sum_{k\sigma} \varepsilon_k a_{k\sigma}^\dagger a_{k\sigma} + \sum_{\sigma} (E_d + U \langle n_{d-\sigma} \rangle) a_{d\sigma}^\dagger a_{d\sigma} + \sum_{k\sigma} (V_{kd} a_{k\sigma}^\dagger a_{d\sigma} + V_{dk} a_{d\sigma}^\dagger a_{k\sigma}) .$$

Solve the impurity problem by Green's function method, minimize the HF total energy

Result:

Stable solution with  $\langle n_{d\uparrow} \rangle \neq \langle n_{d\downarrow} \rangle$  if  $U\rho_d(E_F) > 1$

Impurities with resonant d levels near Fermi level develop local moments



Delocalization: kinetic energy gain

vs

Localization: potential energy gain

# Stoner model

- Band electrons with short-range Coulomb interaction (Slater; Stoner)
- Originally  $H_C = I \delta(\mathbf{r}_i - \mathbf{r}_j)$ , but let's take the Hubbard model instead

$$H = \sum_{\mathbf{k}\sigma} \varepsilon_{\mathbf{k}} \hat{c}_{\mathbf{k}\sigma}^\dagger \hat{c}_{\mathbf{k}\sigma} + U \sum_i \hat{n}_{i\uparrow} \hat{n}_{i\downarrow} - \frac{g\mu_B H}{2} \sum_i (\hat{n}_{i\uparrow} - \hat{n}_{i\downarrow})$$

- Denote  $n_i = \langle \hat{n}_{i\uparrow} \rangle + \langle \hat{n}_{i\downarrow} \rangle$ ,  $m_i = \langle \hat{n}_{i\uparrow} \rangle - \langle \hat{n}_{i\downarrow} \rangle$
- Assume homogeneous  $n$  and  $m$ , use Hartree-Fock:

$$\hat{n}_{i\uparrow} \hat{n}_{i\downarrow} \approx \hat{n}_{i\uparrow} \langle \hat{n}_{i\downarrow} \rangle + \hat{n}_{i\downarrow} \langle \hat{n}_{i\uparrow} \rangle - \langle \hat{n}_{i\downarrow} \rangle \langle \hat{n}_{i\uparrow} \rangle$$

- In the magnetic state, energy bands are shifted:

$$\varepsilon_{\mathbf{k}\sigma} = \varepsilon_{\mathbf{k}} + U n_{-\sigma} - \frac{g\mu_B H}{2} \sigma$$

This can also come from Hund exchange  $J$  for degenerate bands

- Total energy:  $\Delta E(m) = \frac{m^2}{4\rho(\varepsilon_F)} - \frac{Um^2}{4} - \frac{g\mu_B H}{2} m$

- Susceptibility:  $\chi = \frac{g\mu_B m}{2H} = \frac{\chi_0}{1 - U\rho(\varepsilon_F)}$  diverges at  $U\rho(\varepsilon_F) = 1$   
Stoner criterion

**Degeneracy favors magnetism** (cf. O<sub>2</sub>)

# Interatomic exchange interaction... which is not really exchange

- Suppose that local moments exist
- How do they arrange themselves in the crystal?
- **Magnetic ordering is driven by kinetic energy gain**  
(interatomic exchange integrals are small and irrelevant)

## Various mechanisms

- **Direct exchange** (virtual hopping between two magnetic sites)
- **Superexchange** (hopping through an intermediary anion)
- **Zener double exchange** (mixed valence manganites, etc.)
- **Indirect exchange**
  - Rare earth metals and compounds
  - RKKY (Ruderman-Kittel-Kasuya-Yosida): its linear response regime
- **Exchange in metals**
  - Interplay of many mechanisms
  - Local moments may depend on magnetic ordering



# Exchange interaction in insulators

- Stable local moments
- Treat the hybridization perturbatively:

$$H = H_0 + H' = \sum_i H_i + \sum_{ij} t_{ij} c_i^\dagger c_j$$

Eigenstates  $|\psi_i\rangle$  of  $H_i$  (single band):

$$|0\rangle, E_0$$

$$|\uparrow\rangle, E_0 + \varepsilon$$

$$|\downarrow\rangle, E_0 + \varepsilon$$

$$|\uparrow\downarrow\rangle, E_0 + 2\varepsilon + U$$

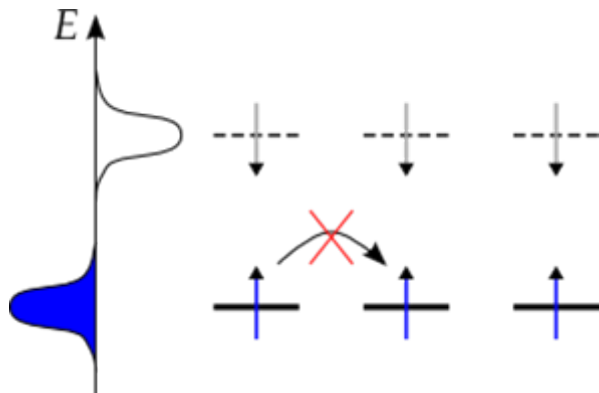
Eigenstates of  $H_0$ :

$$|\Psi_N\rangle = |\psi_1\rangle \otimes \dots \otimes |\psi_N\rangle$$

# Antiferromagnetic direct exchange

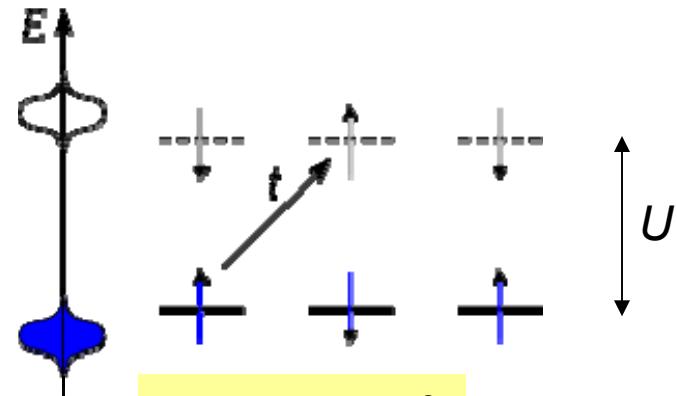
## Half-filled band

### Ferromagnetic



$$\Delta E = 0$$

### Antiferromagnetic



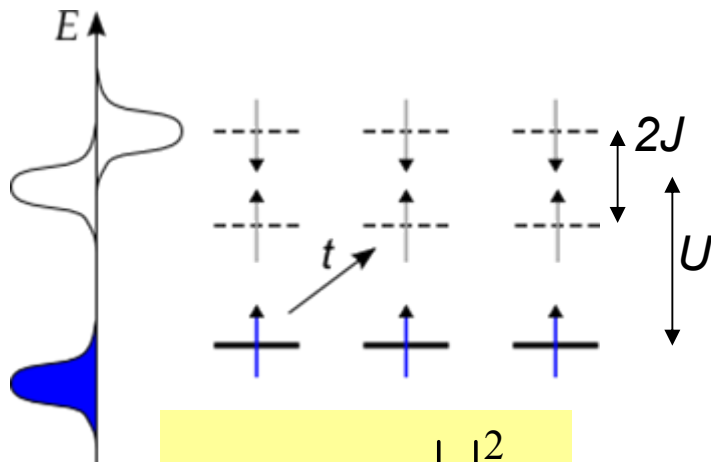
$$\Delta E = -\frac{|t|^2}{U}$$

- Hopping is possible only for antiferromagnetic pairs
- Energy is reduced for AFM (second-order perturbation theory)
- **Band picture:** in AFM state the occupied and empty states hybridize and repel each other; occupied states are pushed down

# Ferromagnetic direct exchange

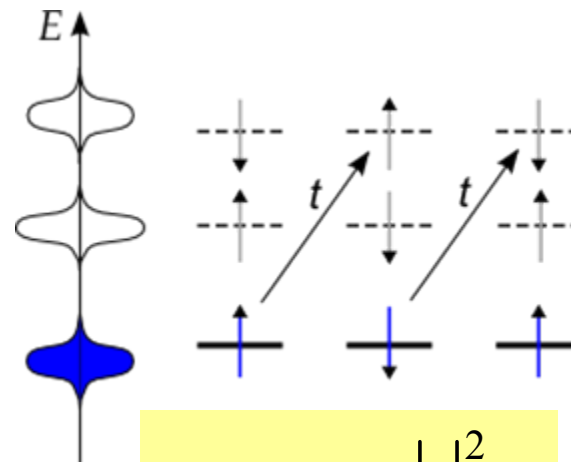
Half-filled orbital to empty orbital

Ferromagnetic



$$\Delta E = -\frac{|t|^2}{U - J}$$

Antiferromagnetic



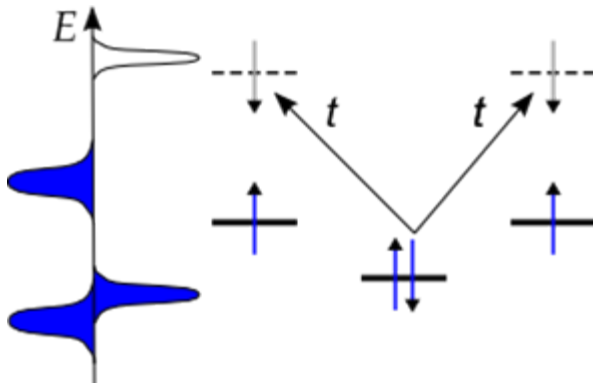
$$\Delta E = -\frac{|t|^2}{U + J}$$

- Excited state is lower if the local moments are parallel
- **Band picture:** in the FM state the occupied band shifts down further in the ferromagnetic state

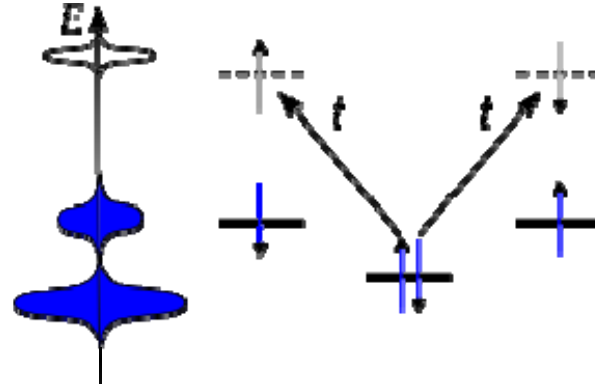
# Superexchange

Half-filled orbitals and a filled anion band

Ferromagnetic



Antiferromagnetic

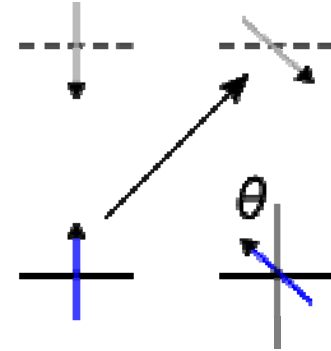


- Fourth-order virtual process in  $t$   
AFM state is lower in energy by  $\sim t^4/\Delta^3$   
AFM also wins away from half-filling for like cation orbitals
- Band picture: Less gain from hybridization in the FM state
- Goodenough-Kanamori rules describe the sign of interaction

# Description in terms of spin rotations

- Consider a pair of **spins at an angle**
- Different unperturbed basis

$$\chi_i = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad \chi_j = \begin{pmatrix} \cos \frac{\theta}{2} e^{-i\varphi} \\ \sin \frac{\theta}{2} e^{i\varphi} \end{pmatrix},$$



- The amplitude for the hopping is now

$$\langle i | H' | j \rangle = t_{ij} \langle \chi_i | T \chi_j \rangle = t_{ij} \sin \frac{\theta}{2} e^{i\varphi}$$

- Second-order correction to total energy:

$$\delta E_{ij} = -2 \frac{|t_{ij}|^2}{U} \sin^2 \frac{\theta}{2} \rightarrow \frac{|t_{ij}|^2}{U} \cos \theta \rightarrow -J_{ij} \mathbf{e}_i \mathbf{e}_j$$

- $J_{ij}$ : exchange parameter (AFM in this case)
- Heisenberg model appears in second-order in  $t_{ij}$

# Magnetism in density functional theory

Hohenberg-Kohn theorem:

External potential  $V$  enters as  $H = H_0 + V(\mathbf{r})$

It follows that the GS charge density uniquely determines  $V(\mathbf{r})$

The proof is based on the fact that  $\langle \Psi | H_1 - H_2 | \Psi \rangle = \int (V_1 - V_2) \rho d^3r$

Now add external magnetic field  $\mathbf{B}$ :

$$H = H_0 + V(\mathbf{r})\hat{1} + \mu_B \mathbf{B}(\mathbf{r})\hat{\boldsymbol{\sigma}} = H_0 + \begin{pmatrix} V + \mu_B B_z & \mu_B B_- \\ \mu_B B_+ & V - \mu_B B_z \end{pmatrix} \triangleq H_0 + \hat{V}$$

where  $B_{\pm} = B_x \pm iB_y$

But  $\langle \Psi | \hat{V} | \Psi \rangle = \int \text{Tr}(\hat{V} \hat{\rho}) d^3r$  where  $\rho_{\alpha\beta}(\mathbf{r}) = \langle \Psi | \hat{\psi}_{\alpha}^{\dagger}(\mathbf{r}) \hat{\psi}_{\beta}(\mathbf{r}) | \Psi \rangle$

The GS *spin density matrix*  $\rho_{\alpha\beta}$  uniquely determines  $V$  and  $\mathbf{B}$

$$\hat{\rho} = \frac{1}{2} \begin{pmatrix} n + m_z & m_- \\ m_+ & n - m_z \end{pmatrix}$$

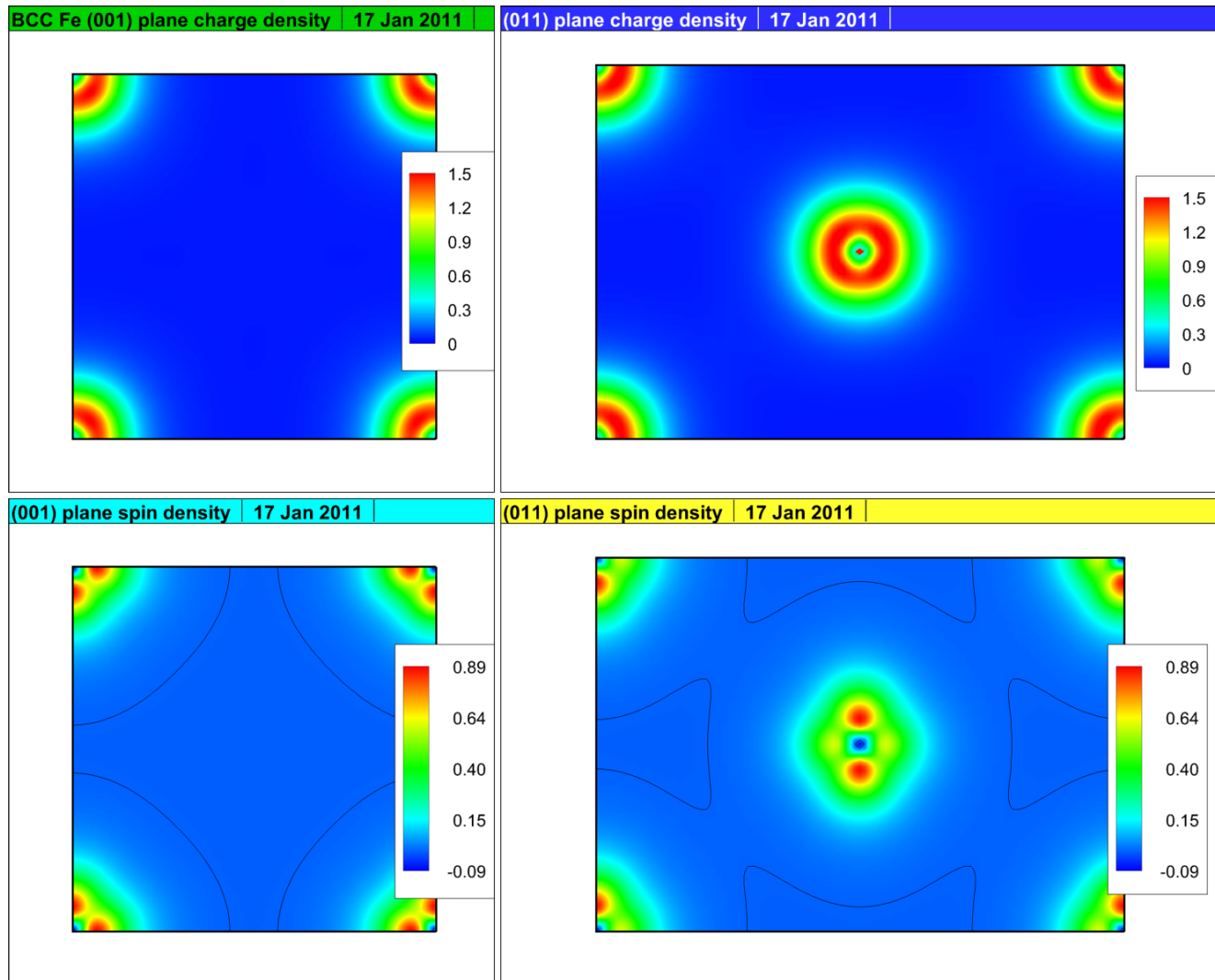
$$\text{or in Kohn-Sham: } \rho_{\alpha\beta} = \sum_{i \in \text{occ}} \psi_{i\alpha}^*(\mathbf{r}) \psi_{i\beta}(\mathbf{r})$$

$$\text{LSDA: } \mathbf{B}_{xc}(\mathbf{r}) = B_{xc}[m(\mathbf{r})] \frac{\mathbf{m}(\mathbf{r})}{m(\mathbf{r})}$$

- Ground state may be collinear or noncollinear

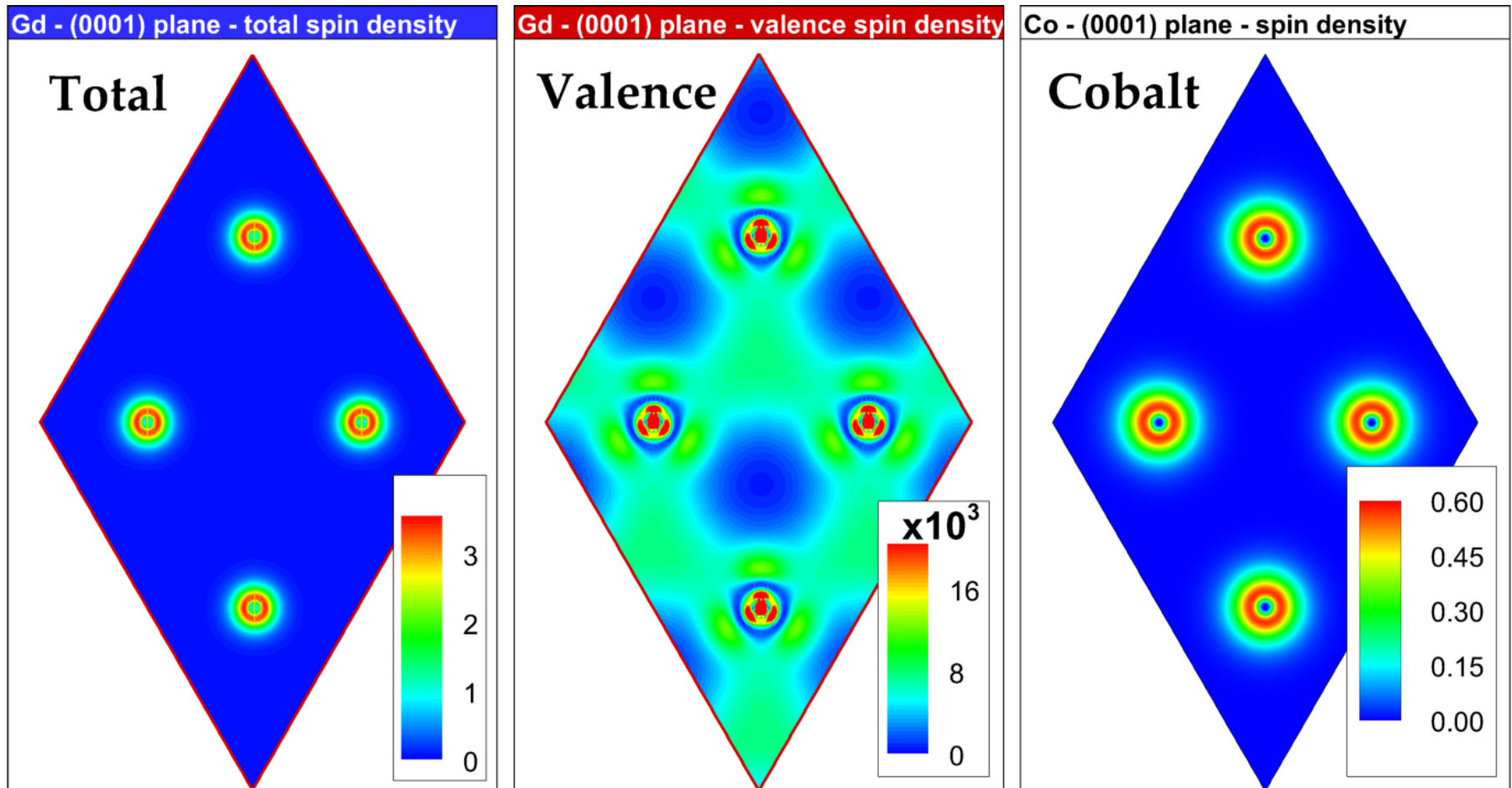
This is what drives magnetism in LSDA

# Charge and spin density in b.c.c. Fe



- Magnetization is formed within a 0.7 Å atomic sphere (3d wavefunction range)

# Spin density in Gd compared to Co



- The 4f part (“open core” here) of the magnetization ( $\sim 7 \mu_B$ ) is strongly localized
- The valence part (mainly 5d) is delocalized ( $\sim 0.4 \mu_B$  in and  $0.3 \mu_B$  out of the MT)
- Valence band is polarized by the 4f shell, otherwise would be non-magnetic



# Exchange interaction from DFT

- Many practical options for systems with stable local moments
- Fitting of total energies for different orderings
  - Usually Heisenberg model
- Linear response calculations with respect to the ground state
  - Directly applicable to spin wave spectra
  - Rigid spin approximation: valid in many cases, easy
- Spin spirals
  - Total energies for noncollinear “spin-spiral” configurations
  - Applicable both to spin waves and beyond linear response
  - Alternative to the supercell method
- Thermodynamics
  - Given a mapping to Heisenberg model, thermodynamic properties can be studied; however, poorly justified assumptions are needed about the character of magnetic fluctuations (e.g. classical spins)
  - Mean field theory or Monte Carlo

# Heisenberg model

- Map the total energies of a solid onto an effective spin model

$$E = -\sum_{i<j} J_{ij} \mathbf{S}_i \mathbf{S}_j$$

- $\mathbf{S}_i$  are classical vector spin variables (with DFT - what else?)
- Mapping works well in most insulators and in some metals
- **Adiabatic approximation** (“slow” effective spin variables)

## Fitting of a collinear set

- Straightforward way: fit the total energies of a number of collinear spin configurations in supercells (i. e. treat  $\mathbf{S}_i$  as Ising  $\sigma_i$ )
- **Similar to Connolly-Williams method** in alloy theory, but odd powers of  $\mathbf{S}$  are not allowed (can't make a scalar)
- **Some higher-order terms can not be extracted**  
For example,  $(\mathbf{S}_i \mathbf{S}_j)^2 = S^4 = \text{const}$  for all collinear configurations

# Constrained DFT for noncollinear states

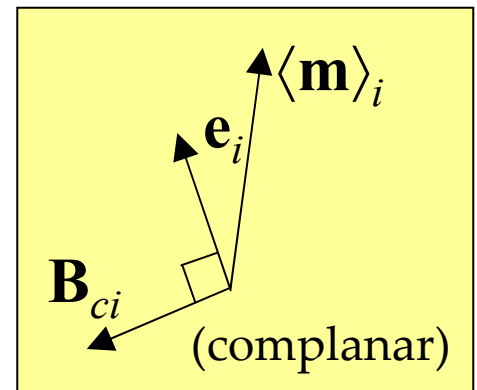
- Imagine that the low-lying spin excitations are classical adiabatic rotations of local moments (not well justified, but still...)
- We wish to stabilize (muffin-tin) local moments  $\langle \mathbf{m} \rangle_i = M_i \mathbf{e}_i$
- Introduce constraining fields in DFT as Lagrange multipliers

$$\tilde{E}[\rho_{\alpha\beta}(\mathbf{r})] = E[\rho_{\alpha\beta}(\mathbf{r})] + \sum_i \boldsymbol{\lambda}_i \cdot \mathbf{e}_i \times \langle \mathbf{m} \rangle_i, \quad \text{with } \boldsymbol{\lambda}_i \parallel \mathbf{e}_i \times \langle \mathbf{m} \rangle_i$$

- Denote  $\mathbf{e}_i \times \boldsymbol{\lambda}_i = \mathbf{B}_{ci}$  (constraining fields), then

$$\tilde{E}[\hat{\rho}] = E[\hat{\rho}] - \sum_i \mathbf{B}_{ci} \langle \mathbf{m} \rangle_i, \quad \text{with } \mathbf{B}_{ci} \cdot \mathbf{e}_i \times \langle \mathbf{m} \rangle_i = 0$$

- This is well-defined in DFT, and one can use  $E[\mathbf{e}_i]$  to map out the spin configuration space
- In practice,  $\mathbf{B}_{ci}$  are iteratively adjusted to achieve  $\langle \mathbf{m} \rangle_i \parallel \mathbf{e}_i$  in parallel with DFT iterations
- In LSDA one can also use  $\mathbf{B}_{ci}(\mathbf{r}) = B_i^{xc}(\mathbf{r}) \delta \mathbf{e}_i$  to keep the total  $\mathbf{B}_i$  collinear



# Exchange parameters: Linear response

- Small deviations from the ground state
- *In the lattice model*: Apply external fields  $\mathbf{B}_{ci}$ , linear response:

$$\delta M_i^\alpha = \sum_j \chi_{ij}^{\alpha\beta} B_{ci}^\beta, \quad \delta^2 E = \frac{1}{2} \sum_{ij} B_{ci}^\alpha \chi_{ij}^{\alpha\beta} B_{cj}^\beta$$

- Inverse susceptibility:  $B_{ci}^\alpha = \sum_j (\chi^{-1})_{ij}^{\alpha\beta} \delta M_j^\beta$
- Energy in terms of local moments:

$$\delta^2 E = \frac{1}{2} \sum_{ij} \delta M_i^\alpha (\chi^{-1})_{ij}^{\alpha\beta} \delta M_j^\beta$$

- Exchange parameters (collinear reference state, only rotations):

$$J_{ij} = M_i (\chi^{-1})_{ij}^{+-} M_j$$

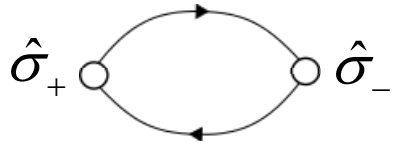
- Thus, one needs to calculate the inverse transverse susceptibility

# Calculating the susceptibility in DFT

- In general,  $\delta\hat{V}_{KS} = \hat{V}_{\text{ext}} + \delta\hat{V}_{\text{int}}$ ,  $\hat{\rho} = \hat{\rho} \left[ \hat{V}_{KS} \right]$
- Assuming zero charge response to **transverse** magnetic field:

$$\delta\mathbf{B}_{KS} = \mathbf{B}_{\text{ext}} + \delta\mathbf{B}_{xc}, \quad \delta\mathbf{m}(\mathbf{r}) = \hat{\chi}_{+-}^0 \delta\mathbf{B}_{KS} = \hat{\chi}_{+-} \mathbf{B}_{\text{ext}}$$

- Result:**  $\hat{\chi}^{-1} = \hat{\chi}_0^{-1} - \hat{I}$ , where  $\hat{I}(\mathbf{r}, \mathbf{r}') = \delta\mathbf{B}_{xc}(\mathbf{r}) / \delta\mathbf{m}(\mathbf{r}')$



$$\hat{\chi}_{+-}^0(\mathbf{r}, \mathbf{r}') = \frac{1}{\pi} \text{Im} \int^{\epsilon_F} G_{\uparrow}^{KS}(\mathbf{r}, \mathbf{r}', z) G_{\downarrow}^{KS}(\mathbf{r}', \mathbf{r}, z) dz$$

$$\delta^2 E = \frac{1}{2} \sum_{ij} \int_{V_i, V_j} \delta\mathbf{B}_{KS}(\mathbf{r}_i) \chi_{+-}^0(\mathbf{r}_i, \mathbf{r}_j) \delta\mathbf{B}_{KS}(\mathbf{r}_j) d^3 r_i d^3 r_j - \frac{1}{2} \sum_i \int_{V_i} \delta m_i \hat{I} \delta m_i d^3 r d^3 r'$$

- How to turn this into a reasonable Heisenberg model-like form?**
- We may ask for the softest mode producing the given  $\delta\mathbf{M}_i$
- Then we need to calculate  $\chi^0$  and  $I$  in a complete basis, use matrix inversion to obtain  $\chi^{-1}$ , find its lowest eigenvalue
- This is likely a good approach to calculate the spin wave spectrum (as long as the adiabatic approximation is valid)

# Rigid spin approximation

- **Drastic simplification:** assume that when  $\mathbf{M}_i$  are rotated, the total  $\mathbf{B}_{KS}$  rotate rigidly with them:

$$\delta \mathbf{B}_{KS}^i(\mathbf{r}) = B_{xc}^i(\mathbf{r}) \delta \mathbf{e}_i, \quad \delta \mathbf{m}_i = M_i \delta \mathbf{e}_i$$

- Then for  $i \neq j$  in second-order

$$\delta^2 E_{ij} = \frac{1}{2} \delta \mathbf{e}_i \cdot \delta \mathbf{e}_j \underbrace{\int_{V_i} \int_{V_j} B_{xc}(\mathbf{r}_i) \chi_{+-}^0(\mathbf{r}_i, \mathbf{r}_j) B_{xc}(\mathbf{r}_j) d^3 r_i d^3 r_j}_{-J_{ij}}$$

- Easy to implement in KKR or LMTO (very popular)

$$J_{ij} = \frac{1}{4\pi} \text{Im} \int^{\varepsilon_F} \text{Tr} \Delta t_i^{-1} T_{ij}^{\uparrow} \Delta t_j^{-1} T_{ji}^{\downarrow} dz \quad \text{Liechtenstein et al., 1984}$$

- How come we have  $J \sim \chi$ , while we are supposed to have  $J \sim \chi^{-1}$ ?

# Long-wave or rigid spin approximation

- To generate a large-angle rotation:

- Constraining fields are of order Weiss field  $J_0M$ , where  $J_0 = \sum_j J_{ij}$
- Exchange-correlation field of order  $IM$  (exchange splitting)

- Therefore  $\hat{\chi}_0^{-1} = \frac{\partial B_{KS}^i}{\partial M_j} = I \cdot \hat{1} + \hat{J} = I \left( \hat{1} + \frac{\hat{J}}{I} \right)$  close to diagonal

$$\hat{\chi}_0 \approx \frac{1}{I} \cdot \hat{1} - \frac{1}{I^2} \hat{J} \quad \chi_{ij}^0 = -\frac{1}{I^2} (\chi^0)_{ij}^{-1}$$

- Or, in  $\mathbf{q}$ -space at small  $q$  (“long-wave approximation)

$$\hat{\chi}_0^{-1}(\mathbf{q}) - \hat{\chi}_0^{-1}(\mathbf{0}) \approx \hat{\chi}_0^{-1}(\mathbf{0}) \left[ \hat{\chi}_0(\mathbf{0}) - \hat{\chi}_0(\mathbf{q}) \right] \hat{\chi}_0^{-1}(\mathbf{0})$$

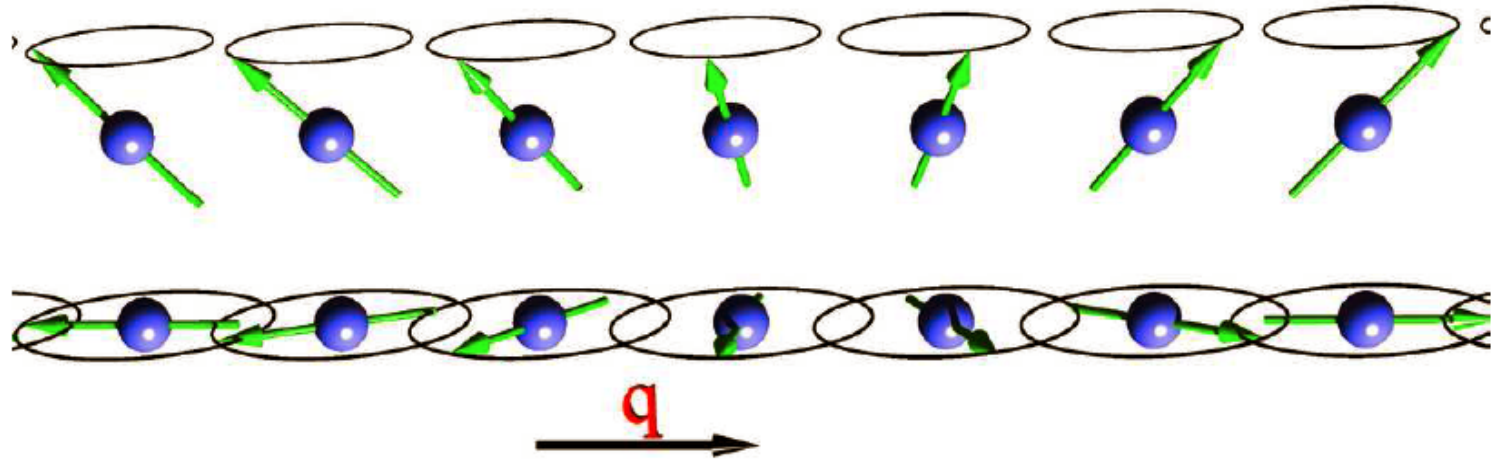
- Generally valid for small  $q$  (long-wavelength magnons)
- At high  $q$  it is invalid in strongly itinerant metals (e.g. Ni)

Antropov, 2003; Bruno, 2003

- Non-adiabatic effects (damping of higher- $q$  spin waves, longitudinal spin fluctuations; no consistent treatment available)

# Spin spirals

- Finite rotations - alternative to linear response
  - Mapping to a Heisenberg model (plus higher-order terms) possible
- Small deviations from ground state require large supercells
- Solution: **Spin spirals**



Using “twisted” boundary conditions, total energies of such spirals are accessible without increasing the unit cell size beyond that at  $q = 0$ .



# Global magnetization rotation

- Let's drop spin-orbit coupling from the Hamiltonian
- Then,  $H$  does not contain spin operators, and the wavefunctions look like  $\Psi(r_i, \sigma_i) = \chi(\sigma_i)\varphi(r_i)$
- The total spin operator effects a global rotation of the spin wavefunction, preserves its permutation symmetry
- Hence, the total spin operator applied to an eigenstate from the Fock space produces a degenerate eigenstate within the Fock space
- This is preserved in DFT ( $\chi c$  functional is invariant under rotation of the magnetization density)
- **How does this help us map the energies of noncollinear spin configurations?**

# Generalized Bloch theorem

- One can attach an operator rotating  $\mathbf{m}(\mathbf{r})$  (denote it  $D_\varphi$ ) to any space group operator  $g$ , and then all  $gD_\varphi$  commute with  $H$

$$D_\varphi = \begin{pmatrix} e^{-i\varphi} & 0 \\ 0 & e^{i\varphi} \end{pmatrix}$$

- Generalized translation group:
  - Select a common rotation axis (usually chosen as the  $z$  axis)
  - Attach  $D_\varphi$  to all translations  $T_{\mathbf{R}}$  with  $\varphi = \mathbf{q}\mathbf{R}$ , i.e. redefine  $T_{\mathbf{R}} \rightarrow D_{\mathbf{q}\mathbf{R}}T_{\mathbf{R}}$
  - The generalized translation group is Abelian (and commutes with  $H$ )
- Generalized Bloch theorem follows:
  - We can find all wavefunctions as eigenstates of generalized translations with a given  $\mathbf{q}$ , and thereby a state with  $\mathbf{M}(\mathbf{r})$  invariant under them
- These are spin spirals; ground states under a constraint
- In general constraining fields are needed (otherwise such eigenstates generally do not exist!)

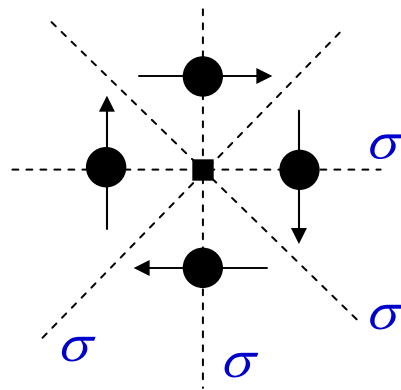
# Magnetic symmetry

Magnetic space (or point) group can contain regular symmetry operations plus their combinations with time reversal  $R$

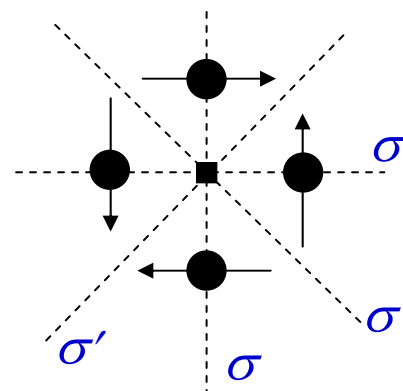
- (1)  $R$  is in the group – non magnetic or AFM (for point groups)
- (2)  $R$  does not appear at all
- (3) Index-2 subgroup  $H$  of a regular space group  $G$ :  
Magnetic point group is formed as  $M = H + Rg_1H$   
2 cosets – one without  $R$  (includes  $E$ ), another one with  $R$

*Magnetic symmetry is not implemented in FLEUR*

## Examples



4mm



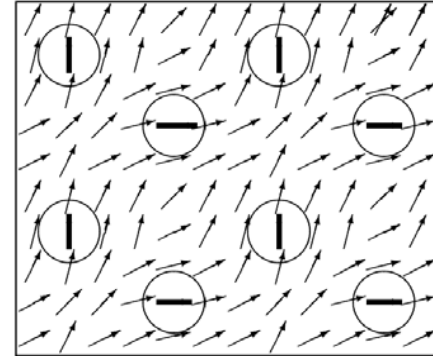
4'mm'

$H = C_{2v}$

# Non-collinear magnetism in FLEUR

- “Hybrid approach”

- General  $\mathbf{m}(\mathbf{r})$  outside the MT spheres
- Collinear  $\mathbf{m}(\mathbf{r}) = m(\mathbf{r}) \mathbf{e}_i$  inside the MT spheres



- Augmentation orbitals in MT's:

- Local frame with spin quantization axis along  $\mathbf{e}_i$
- $V_{xc}$  is diagonal in this frame ( $\mathbf{B}_{xc} \parallel \mathbf{e}_i$ )
- Orbitals  $\psi_{lm\sigma}(\mathbf{r}) = \varphi_{l\sigma}(r)Y_{lm}$  and  $\dot{\varphi}_{l\sigma}(r)Y_{lm}$  in each MT

- Interstitial region:

- Plane waves  $e^{i(\mathbf{k}+\mathbf{G})} \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $e^{i(\mathbf{k}+\mathbf{G})} \begin{pmatrix} 0 \\ 1 \end{pmatrix}$  in the global frame

- Basis function:

- Plane wave augmented by a linear combination of  $\psi_{lm\sigma}(\mathbf{r})$  with both spins; both  $\Psi$  and  $\partial\Psi/\partial r$  matched at the MT boundary

- Hamiltonian matrix off-diagonal; both spins mix

- Spin density matrix  $\rho_{\alpha\beta} = \sum_{v \in occ} \psi_{v\alpha}^*(\mathbf{r}) \psi_{v\beta}(\mathbf{r})$

- Magnetization  $\mathbf{m}(\mathbf{r})$  in MT's projected onto  $\mathbf{e}_i$ ; collinear  $\mathbf{B}_{xc}(\mathbf{r})$  in MT

# Non-collinear magnetism in FLEUR: Options

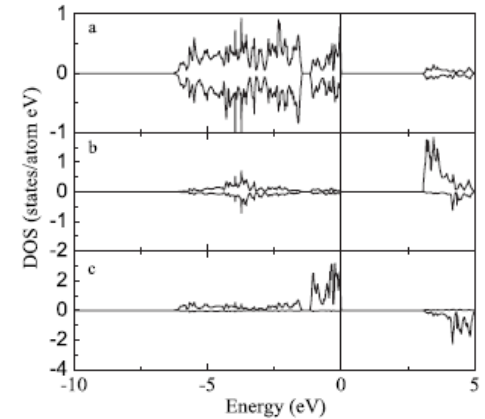
- Constraining fields
  - Effective field  $\mathbf{B}_{\text{eff}}(\mathbf{r}) = \mathbf{B}_{\text{xc}}(\mathbf{r}) + \mathbf{B}_{ci}$  in MT approximated as collinear
  - $\mathbf{B}_{ci}$  adjusted to enforce the local moment directions specified by user
- Relaxation of the spin configuration
  - User defines initial local moment directions (not local minimum of  $E$ )
  - Output spin density used to find the transverse components of  $\mathbf{M}_i$
  - Spin directions are rotated (mixing specified by user)
  - Repeated until self-consistent directions  $\mathbf{e}_i$  are found (minimum of  $E$ )
- If neither  $\mathbf{B}_c$ 's nor relaxation is used, self-consistency is incomplete (except for special symmetric configurations), and energy is wrong
- Spin spirals
  - Spin rotation around the  $z$  axis (user must align rotation axis with  $z$ )
  - User specifies the spiral  $\mathbf{q}$ -vector
  - $\mathbf{B}_c$ 's not implemented (thus not self-consistent except for a planar spiral)
- Calculation of Heisenberg model parameters
  - Automated calculation through spin spirals with many  $\mathbf{q}$ 's
  - “Force theorem” is used (not self-consistent)
  - **Results are valid only if the rigid spin approximation is valid**

# Practical issues for magnetic insulators

- LSDA is always bad (small band gap or wrong ground state)
- LSDA+U often reasonable, but needs to be validated
- Exchange is sensitive to  $U$  and  $J$  parameters!

Example:  $\text{Cr}_2\text{O}_3$

$U$	$J_1$	$J_2$	$J_3$	$J_4$	$J_5$
2.5	30.9	21.9	-0.60	-1.83	4.92
3.0	23.9	17.3	-1.26	-2.36	3.72
3.5	18.6	13.8	-1.74	-2.72	2.84
4.0	14.6	11.1	-2.11	-2.96	2.16
4.5	11.1	9.04	-2.41	-3.11	1.64



- $U$  needs to be either carefully calculated (difficult) or adjusted to experimental data (band gap, structure, etc.)
- Manipulate the band positions to explore mechanisms of exchange

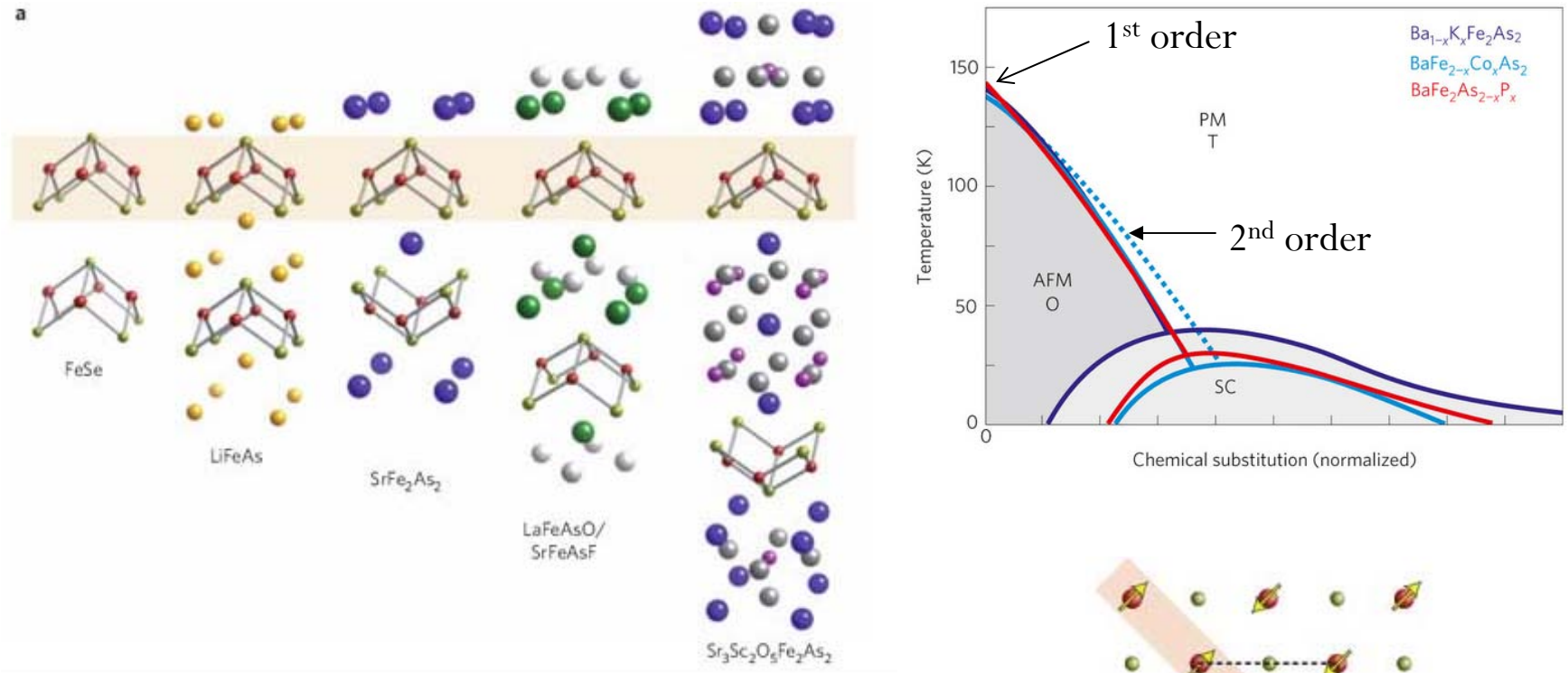
$\text{Cr}_2\text{O}_3$ : Shifting the O-p states down has little effect on exchange parameters, hence superexchange is ruled out

$V$	$U$	$\Delta$	++++	++--	+--+
0	3.5	4.5	130	124	66
-12	3.5	5.8	115	102	59
-12	2.5	5.6	154	128	74
-24	3.5	7.9	102	80	51
-24	2.15	7.7	145	110	71

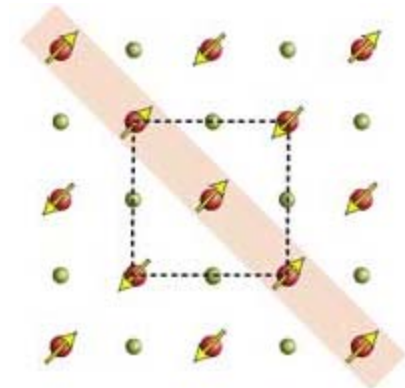
Shi, Wysocki, KB, 2009

# Ferropnictides (itinerant metals)

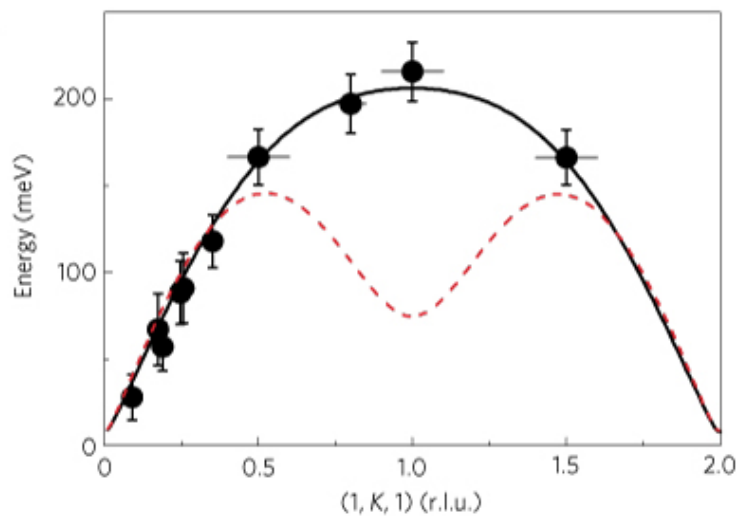
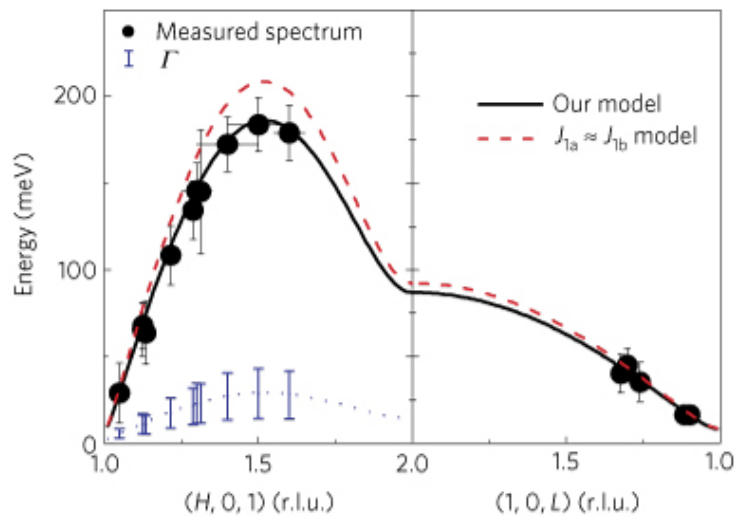
- New family of high-temperature superconductors,  $T_c$  up to 60 K
- Magnetism competes with superconductivity
- Pairing likely mediated by spin fluctuations



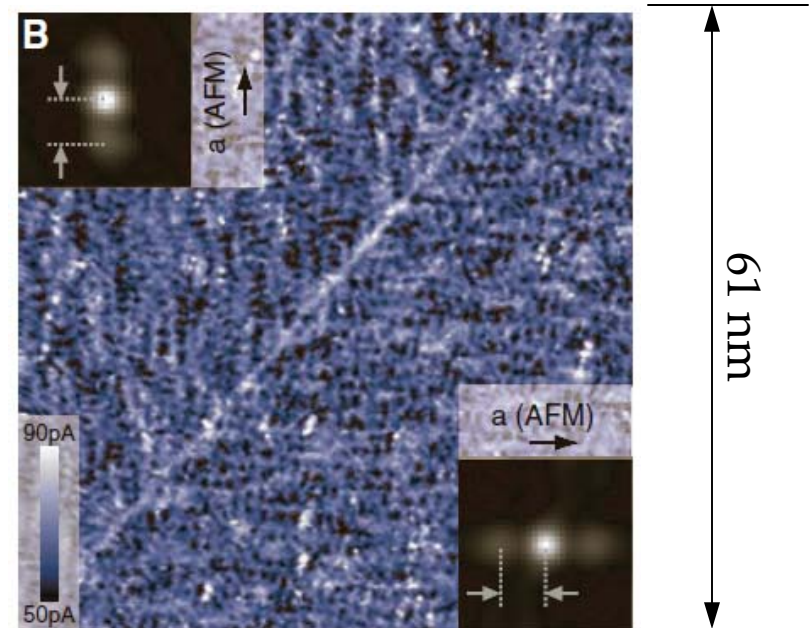
- “Stripe” AFM ground state



# Heisenberg model fails! What is missing?



- Spin waves need  $J_{1a} \neq J_{1b}$  to fit
- First-principles LR calculations confirm this
- Heisenberg model gives a continuously degenerate ground state; contradicts first-principles calculations
- Thin domain walls are observed



Zhao *et al.*, Nature Physics 2009

Chuang *et al.*, Science 2010



# The solution: Biquadratic interaction

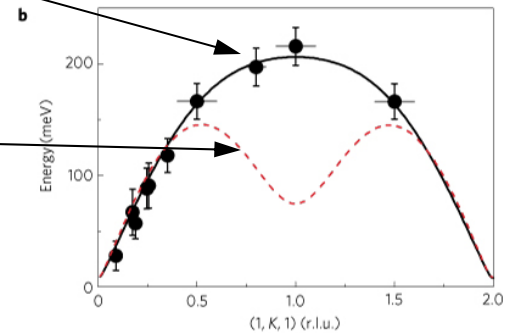
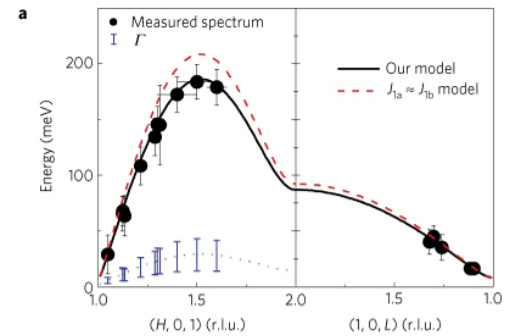
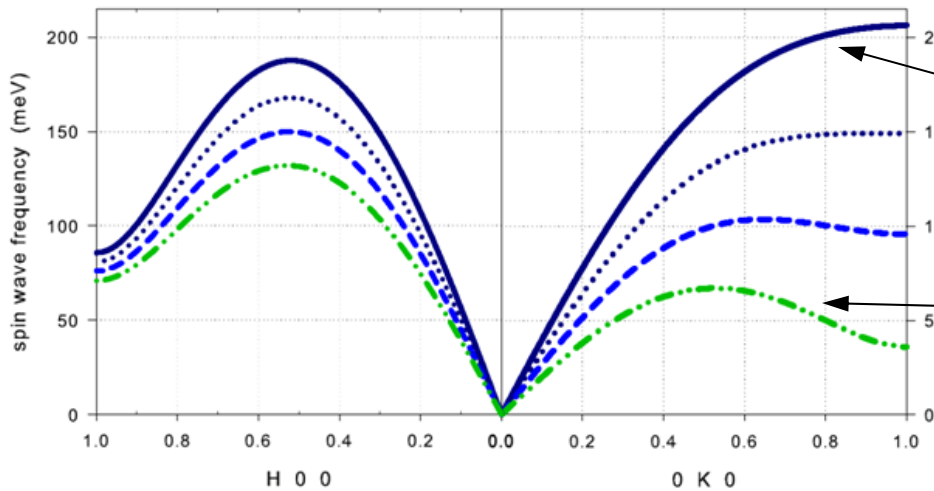
$$H = \sum_{i<j} J_{ij} \mathbf{S}_i \cdot \mathbf{S}_j + K \sum_{ij}^{nn} (\mathbf{S}_i \cdot \mathbf{S}_j)^2$$

Reinterpretation of linear response results:  $\tilde{J}_{ij} = -(\mathbf{S}_i \cdot \mathbf{S}_j)^{-1} \frac{\partial^2 H}{\partial \theta_{ij}^2} = J_{ij} - 2K_{ij} S^2 \mathbf{e}_i \cdot \mathbf{e}_j$

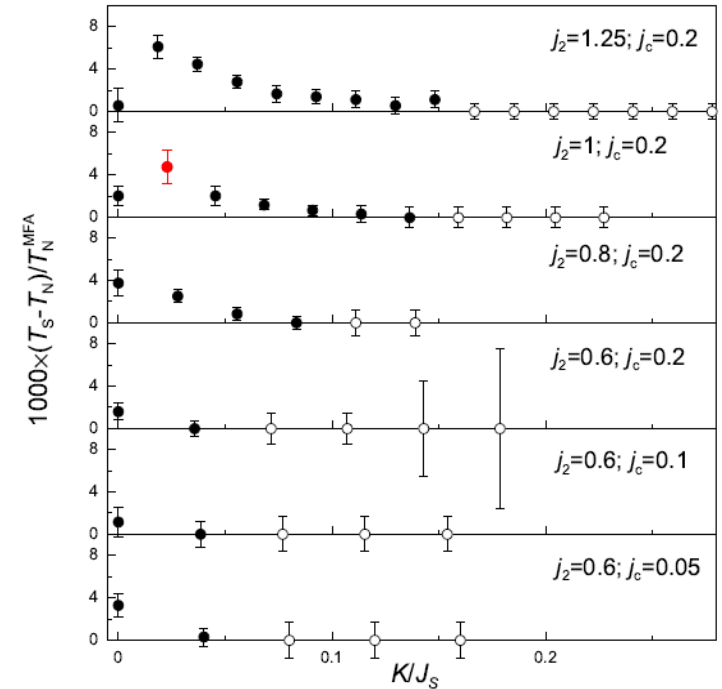
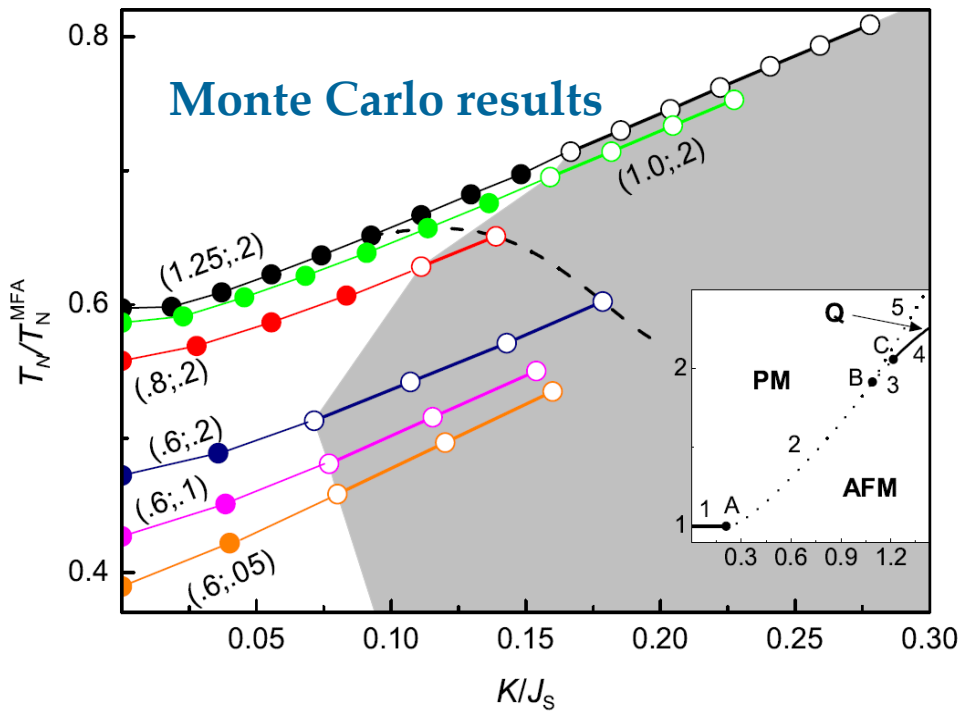
Explains dependence of  $J_{ij}$  on local moment

Restores energy barrier between stripe domains

Parameters from spin waves:  $J_1$ -K- $J_2$ - $J_C$  model



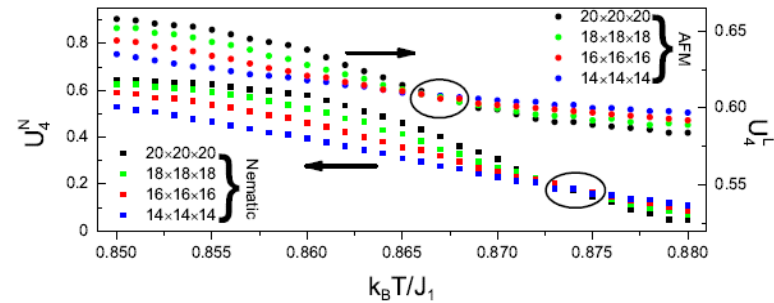
# Thermodynamics of the $J_1$ -K- $J_2$ - $J_c$ model



$T_c$  for  $\text{CaFe}_2\text{As}_2$ : 90 K predicted  
170 K experiment

May indicate that the (bare) local moment is higher than (renormalized) measured

- Consistent, satisfactory model of magnetism
- Large K indicates strong electron-spin fluctuation coupling: pairing mechanism?



Nematic phase?

Wysocki, KB, Antropov, arXiv:1011.1715

# Warnings

- Heisenberg model sometimes fails (sometimes miserably)
- Why classical spins? There is no good justification
- Thermodynamic properties of itinerant metals are inaccessible to current techniques
- “Good agreement with experiment” often coincidental