### Number Theory – Applications

Computer Science & Engineering 235: Discrete Mathematics

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### Number Theory: Applications

Results from Number Theory have many applications in mathematics as well as in practical applications including security, memory management, authentication, coding theory, etc. We will only examine (in breadth) a few here.

- Hash Functions
- Pseudorandom Numbers
- Fast Arithmetic Operations

Notation:  $\mathbb{Z}_m = \{0, 1, 2, \dots, m-2, m-1\}$ 

Define a hash function  $h : \mathbb{Z} \to \mathbb{Z}_m$  as

Cryptography

Hash Functions II

### Hash Functions I

A hash function is a function that maps a large domain to a smaller codomain

- Resulting value is a key
- Clearly not one-to-one
- Values mapped to the same element: collisions
- Applications in security (cryptographic hash functions), checksums (data integrity), error correcting codes (information theory), Map data structures (Information retrieval)

### Pseudorandom Numbers

the remainder of k/m.

Many applications, such as randomized algorithms, require that we have access to a random source of information (random numbers).

 $h(k) = k \bmod m$ 

That is, h maps all integers into a subset of size m by computing

- No known truly random source in existence
- Some sources (radioactive decay, thermal noise, cosmic rays) are only weak random sources
- Weak sources only appear random because we do not know the underlying probability distribution of events

Pseudorandom numbers are numbers that are generated from weak random sources such that their distribution is "random enough".

### Hash Functions III

In general, a hash function should have the following properties

- It must be easily computable.
- It should distribute items as evenly as possible among all codomain values.
- $\blacktriangleright$  Good hash functions: choose m to be a prime, should be dependant on every bit of k
- It must be onto.

Hashing is so useful that many languages have support for hashing (perl, python). Hash functions are also a useful security tool for electronic signatures (MD5, SHA-x).

### Linear Congruence Method I

One method for generating pseudorandom numbers is the *linear* congruential method.

Choose four integers:

- $\blacktriangleright~m$  , the modulus,
- ► *a*, the multiplier,
- $\blacktriangleright \ c$  the increment and
- ▶  $x_0$  the seed.

Such that the following hold:

- $\blacktriangleright \ 2 \leq a < m$
- $\blacktriangleright \ 0 \leq c < m$
- ▶  $0 \le x_o < m$

### Linear Congruence Method III

For certain choices of  $m, a, c, x_0$ , the sequence  $\{x_n\}$  becomes *periodic*. That is, after a certain point, the sequence begins to repeat. Low periods lead to poor generators.

Furthermore, some choices are better than others; a generator that creates a sequence  $0, 5, 0, 5, 0, 5, \ldots$  is obvious bad—its not uniformly distributed.

For these reasons, very large numbers are used in practice.

### Representation of Integers I

Any integer  $\boldsymbol{n}$  can be uniquely expressed in any base  $\boldsymbol{b}$  by the following expression.

$$n = a_k b^k + a_{k-1} b^{k-1} + \dots + a_2 b^2 + a_1 b + a_0$$

In the expression, each coefficient  $a_i \mbox{ is an integer between } 0 \mbox{ and } b-1 \mbox{ inclusive.}$ 

### Linear Congruence Method II

Our goal will be to generate a sequence of pseudorandom numbers,

 $\{x_n\}_{n=1}^{\infty}$ 

with  $0 \le x_n \le m$  by using the congruence

 $x_{n+1} = (ax_n + c) \bmod m$ 

## Linear Congruence Method Example

#### Example

Let $m = 17, a = 5, c = 2, x_0 = 3$ . Then the sequence is as follows.
$\blacktriangleright x_{n+1} = (ax_n + c) \bmod m$
• $x_1 = (5 \cdot x_0 + 2) \mod 17 = 0$
▶ $x_2 = (5 \cdot x_1 + 2) \mod 17 = 2$
▶ $x_3 = (5 \cdot x_2 + 2) \mod 17 = 12$
▶ $x_4 = (5 \cdot x_3 + 2) \mod 17 = 11$
▶ $x_5 = (5 \cdot x_4 + 2) \mod 17 = 6$
▶ $x_6 = (5 \cdot x_5 + 2) \mod 17 = 15$
▶ $x_7 = (5 \cdot x_6 + 2) \mod 17 = 9$
• $x_8 = (5 \cdot x_7 + 2) \mod 17 = 13$ etc.

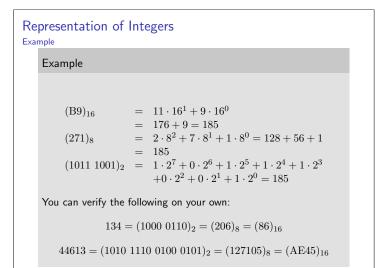
### Representation of Integers II

For b=2, we have the usual binary representation, b=8 gives us octal, b=16 gives us hexadecimal while b=10 gives us our usual decimal system.

We use the notation

 $(a_k a_{k-1} \cdots a_2 a_1 a_0)_b$ 

For  $b=10,\,{\rm we}$  omit the parentheses and subscript. We also omit leading 0s.



### Integer Operations I

Say we want to compute

 $\alpha^n \mod m$ 

where n is a very large integer.

We could simply compute

$$\underbrace{\alpha \cdot \alpha \cdot \cdots \cdot \alpha}_{n \text{ times}}$$

We make sure to  $\mathbf{mod}$  each time we multiply to prevent the product from growing too big. This requires  $\mathcal{O}(n)$  operations.

### Integer Operations III

We can do better: perform a repeated squaring of the base,

$$\alpha, \alpha^2, \alpha^4, \alpha^8, \ldots$$

requiring  $\log(n)$  operations instead.

Formally, we note that

$$\begin{aligned} \alpha^n &= \alpha^{b_k 2^k + b_{k-1} 2^{k-1} + \dots + b_1 2 + b_0} \\ &= \alpha^{b_k 2^k} \times \alpha^{b_{k-1} 2^{k-1}} \times \dots \times \alpha^{2b_1} \times \alpha^{b_0} \end{aligned}$$

So we can compute  $\alpha^n$  by evaluating each term as

$$\alpha^{b_i 2^i} = \begin{cases} \alpha^{2^i} & \text{if } b_i = 1\\ 1 & \text{if } b_i = 0 \end{cases}$$

### Base Expansion

### Algorithm

There is a simple and obvious algorithm to compute the base b expansion of an integer.

Base b Expansion

Input	: A nonnegative integer $n$ and a base $b$ .
Output	: The base $b$ expansion of $n$ .
1  q = n	
<b>2</b> $k = 0$	
<b>3</b> WHILE $q \neq 0$	
4 $a_k = c$	$l \mod b$
5 $q = \lfloor \frac{q}{d} \rfloor$	;]
$6 \qquad k=k$	+ 1
7 END	
8 output $(a_{k-}$	$a_{k-2}\cdots a_1a_0$

What is its complexity?

## Integer Operations II

Is this efficient? What is the input size?

#### 

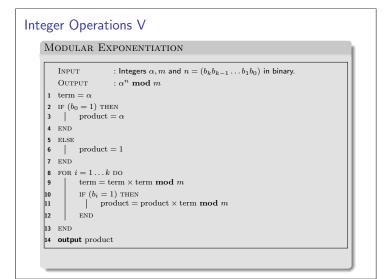
- ▶  $\log_{10}(n) \approx 54.23$ , so about 55 digits
- ▶  $\log_2(n) \approx 180.15$ , so 181 bits
- Straight-forward multiplication: n-1 multiplications
- $\blacktriangleright$  At 1 trillion multiplications per second:  $5.4046 \times 10^{34} {\rm \ years}$
- $\blacktriangleright$  54 Decillion years (sun only has about 5 billion,  $5\times10^9$  years left)
- Good luck

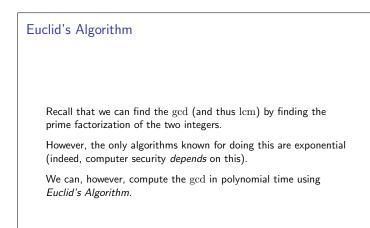
### Integer Operations IV

We can save computation because we can simply square previous values:

$$\alpha^{2^{i}} = (\alpha^{2^{i-1}})^{2}$$

We still evaluate each term independently however, since we will need it in the next term (though the accumulated value is only multiplied by 1).





## Euclid's Algorithm II

Continuing with our division we eventually get that

$$gcd(184, 1768) = gcd(184, 112) = gcd(112, 72) = gcd(72, 40) = gcd(40, 32) = gcd(32, 8) = 8$$

This concept is formally stated in the following Lemma.

Lemma

Let  $a=bq+r,\,a,b,q,r\in\mathbb{Z},$  then

 $\gcd(a,b)=\gcd(b,r)$ 

### Binary Exponentiation Example

### Example

Compute  $12^{26} \ {\rm mod} \ 17$  using Modular Exponentiation.

1	1	0	1	0	$=(26)_2$
4	3	2	1	-	i
1	16	13	8	12	term
9	9	8	8	1	product

 $12^{26} \ {\bf mod} \ 17 = 9$ 

Thus,

Euclid's Algorithm I

Consider finding the  $\gcd(184,1768).$  Dividing the large by the smaller, we get that

$$1768 = 184 \cdot 9 + 112$$

Using algebra, we can reason that any divisor of 184 and 1768 must also be a divisor of the remainder,  $112.\,$  Thus,

gcd(184, 1768) = gcd(184, 112)

# Euclid's Algorithm III

The algorithm we present here is actually the *Extended* Euclidean Algorithm. It keeps track of more information to find integers such that the gcd can be expressed as a *linear combination*.

Theorem

If a and b are positive integers, then there exist integers  $\boldsymbol{s}, t$  such that

gcd(a,b) = sa + tb

IN	PUT : Two positive integers a, b.					
0	UTPUT : $r = \gcd(a, b)$ and $s, t$ such that $sa + tb = \gcd(a, b)$ .					
1 a(	$a = a, b_0 = b$					
2 t <sub>0</sub>	$t_0 = 0, t = 1$					
3 s <sub>0</sub>	= 1, s = 0					
<b>4</b> q	$= \lfloor \frac{a_0}{b_0} \rfloor$					
5 r	$=a_{0}-qb_{0}$					
6 W	HILE $r > 0$ do					
7	$temp = t_0 - qt$					
8	$t_0 = t, t = temp$					
9	$temp = s_0 - qs$					
10	$s_0 = s, s = temp$					
1	$a_0 = b_0, \ b_0 = r$					
12	$q = \lfloor \frac{a_0}{b_0} \rfloor$ , $r = a_0 - qb_0$					
13	IF $r > 0$ THEN					
4	gcd = r					
15	END					
16 EN	<sup>I</sup> D					
17 OL	tput $gcd, s, t$					

### Algorithm 1: EXTENDEDEUCLIDEANALGORITHM

#### Euclid's Algorithm Exan Example Compute gcd(25480, 26775) and find s, t such that gcd(25480, 26775) = 25480s + 26775t $a_0$ $b_0$ $t_0$ t $s_0$ s qr25480 26775 0 1 1 0 0 25480 26775 25480 1 0 0 1 1 1295 25480 1295 19 875 0 1 1 -1 420 1295 875 20 1 -19 -1 1 875 420 -19 20 20 -21 2 35 420 35 -59 -21 62 12 20 0 Therefore, gcd(25480, 26775) = 35 = (62)25480 + (-59)26775

### Chinese Remainder Theorem

Theorem (Chinese Remainder Theorem)

Let  $m_1, m_2, \ldots, m_n$  be pairwise relatively prime positive integers. The system

 $\begin{array}{rcl} x &\equiv& a_1 (\bmod \ m_1) \\ x &\equiv& a_2 (\bmod \ m_2) \\ &\vdots \\ x &\equiv& a_n (\bmod \ m_n) \end{array}$ 

has a unique solution modulo  $m = m_1 m_2 \cdots m_n$ .

How do we *find* such a solution?

#### Euclid's Algorithm Example $b_0$ $t_0$ tr $a_0$ $s_0$ s q27 58 0 1 1 0 0 27 58 27 0 0 2 4 1 1 27 4 0 1 1 -2 6 3 4 3 1 -6 -2 13 1 1 3 1 -6 7 13 -15 3 0 Therefore, gcd(27, 58) = 1 = (-15)27 + (7)58

### Chinese Remainder Theorem

We've already seen an application of linear congruences (pseudorandom number generators).

However, *systems* of linear congruences also have many applications (as we will see).

A system of linear congruences is simply a set of equivalences over a single variable.

Example

 $\begin{array}{rcl} x &\equiv& 5(\bmod\ 2)\\ x &\equiv& 1(\mod\ 5)\\ x &\equiv& 6(\mod\ 9) \end{array}$ 

### Chinese Remainder Theorem

Proof/Procedure

This is a good example of a constructive proof; the construction gives us a procedure by which to solve the system. The process is as follows.

- 1. Compute  $m = m_1 m_2 \cdots m_n$ .
- 2. For each  $k = 1, 2, \ldots, n$  compute

$$M_k = \frac{m}{m_k}$$

- 3. For each k = 1, 2, ..., n compute the inverse,  $y_k$  of  $M_k \mod m_k$  (note these are *guaranteed* to exist by a Theorem in the previous slide set).
- 4. The solution is the sum

$$x = \sum_{k=1}^{n} a_k M_k y_k$$

### Chinese Remainder Theorem I Example Example Give the unique solution to the system $x \equiv 2 \pmod{4}$ $x \equiv 1 \pmod{5}$ $x \equiv 6 \pmod{7}$ $x \equiv 3 \pmod{9}$ First, $m = 4 \cdot 5 \cdot 7 \cdot 9 = 1260$ and $M_1 = \frac{1260}{4} = 315$ $M_2 = \frac{1260}{25} = 252$ $M_3 = \frac{1260}{7} = 180$ $M_4 = \frac{1269}{9} = 140$

## Chinese Remainder Theorem Wait, what?

To solve the system in the previous example, it was necessary to determine the inverses of  $M_k$  modulo  $m_k$ —how'd we do that?

One way (as in this case) is to try every single element a,  $2 \leq a \leq m-1$  to see if

 $aM_k \equiv 1 \pmod{m}$ 

But there is a more efficient way that we already know how to do—*Euclid's Algorithm!* 

### Chinese Remainder Representations

In many applications, it is necessary to perform simple arithmetic operations on *very* large integers.

Such operations become inefficient if we perform them bitwise.

Instead, we can use *Chinese Remainder Representations* to perform arithmetic operations of large integers using *smaller* integers saving computations. Once operations have been performed, we can uniquely recover the large integer result.

### Chinese Remainder Theorem II Example

The inverses of each of these is  $y_1 = 3, y_2 = 3, y_3 = 3$  and  $y_4 = 2$ . Therefore, the unique solution is

$$x = a_1 M_1 y_1 + a_2 M_2 y_2 + a_3 M_3 y_3 + a_4 M_4 y_4$$

- $= 2 \cdot 315 \cdot 3 + 1 \cdot 252 \cdot 3 + 6 \cdot 180 \cdot 3 + 3 \cdot 140 \cdot 2$
- $= 6726 \mod 1260 = 426$

### Computing Inverses

### Lemma

Let a, b be relatively prime. Then the linear combination computed by the Extended Euclidean Algorithm,

$$gcd(a,b) = sa + tb$$

gives the inverse of a modulo b; i.e.  $s = a^{-1}$  modulo b.

Note that  $t = b^{-1}$  modulo a.

Also note that it may be necessary to take the modulo of the result.

### Chinese Remainder Representations

Lemma

Let  $m_1, m_2, \ldots, m_n$  be pairwise relatively prime integers,  $m_i \geq 2$ . Let

 $m = m_1 m_2 \cdots m_n$ 

Then every integer  $a,0 \leq a < m$  can be uniquely represented by n remainders over  $m_i;$  i.e.

 $(a \mod m_1, a \mod m_2, \ldots, a \mod m_n)$ 

Chinese Remainder Representations I Example

Example

Let  $m_1 = 47, m_2 = 48, m_3 = 49, m_4 = 53$ . Compute 2, 459, 123 + 789, 123 using Chinese Remainder Representations.

By the previous lemma, we can represent any integer up to 5,858,832 by four integers all less than 53.

First,

### Chinese Remainder Representations II Example

Next,

 $789, 123 \mod 47 = 40$   $789, 123 \mod 48 = 3$   $789, 123 \mod 49 = 27$  $789, 123 \mod 53 = 6$ 

So we've reduced our calculations to computing (coordinate wise) the addition:

(36, 35, 9, 29) + (40, 3, 27, 6) = (76, 38, 36, 35)= (29, 38, 36, 35)

Chinese Remainder Representations III

ExamNow we wish to recover the result, so we solve the system of linear congruences,

 $\begin{array}{ll} x &\equiv 29 ({\rm mod} \ 47) \\ x &\equiv 38 ({\rm mod} \ 48) \\ x &\equiv 36 ({\rm mod} \ 49) \\ x &\equiv 35 ({\rm mod} \ 53) \\ \end{array}$   $\begin{array}{ll} M_1 &= 124656 \\ M_2 &= 122059 \\ M_3 &= 119568 \\ M_4 &= 110544 \\ \end{array}$ uclidean Algorithm

We use the Extended Euclidean Algorithm to find the inverses of each of these w.r.t. the appropriate modulus:

### Caesar Cipher I

Cryptography is the study of secure communication via encryption.

One of the earliest uses was in ancient Rome and involved what is now known as a *Caesar cipher*.

This simple encryption system involves a *shift* of letters in a fixed alphabet. Encryption and decryption is simple modular arithmetic.

Chinese Remainder Representations IV Example

And so we have that

- $x = 29(124656 \mod 47)4 + 38(122059 \mod 48)19 +$ 
  - $36(119568 \mod 49)43 + 35(110544 \mod 53)34$

= 3,248,246

= 2,459,123+789,123

### Caesar Cipher II

In general, we fix an alphabet,  $\Sigma$  and let  $m=|\Sigma|.$  Second, we fix an secret key, an integer k such that 0< k < m. Then the encryption and decryption functions are

$$e_k(x) = (x+k) \mod m$$
  
 $d_k(y) = (y-k) \mod m$ 

respectively.

Cryptographic functions must be one-to-one (why?). It is left as an exercise to verify that this Caesar cipher satisfies this condition.

### Caesar Cipher Example

xample

### Example

Let  $\Sigma = \{A, B, C, \dots, Z\}$  so m = 26. Choose k = 7. Encrypt "HANK" and decrypt "KLHU".

"HANK" can be encoded (7-0-13-10), so

=	$(7+7) \mod 26$	= 14
=	$(0+7) \mod 26$	=7
=	$(13+7) \mod 26$	= 20
=	$(10+7) \ \mathbf{mod} \ 26$	= 17
	=	$\begin{array}{rrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrrr$

so the encrypted word is "OHUR".

### Affine Cipher I

Clearly, the Caesar cipher is insecure—the key space is only as large as the alphabet.

An alternative (though still not secure) is what is known as an *affine* cipher. Here the encryption and decryption functions are as follows.

$$e_k(x) = (ax+b) \mod m$$
  

$$d_k(y) = a^{-1}(y-b) \mod m$$

Question: How big is the key space?

## Affine Cipher

Example Continued

When do we attack? Computing the inverse, we find that  $a^{-1} = 3$ .

We can decrypt the message "ODHKML" (14-3-7-10-12-11) as follows.

## Caesar Cipher Example Continued "KLHU" is encoded as (10-11-7-20), so $e(10) = (10-7) \mod 26 = 3$ $e(11) = (11-7) \mod 26 = 4$ $e(7) = (7-7) \mod 26 = 0$ $e(20) = (20-7) \mod 26 = 13$ So the decrypted word is "DEAN".

	fine Cipher
LXa	Example
	Let $m = 26, a = 9, b = 14$ ; perform the following:
	<ol> <li>Verify that this scheme ensures a bijection</li> <li>Encrypt the word "PROOF"</li> <li>Decrypt the message "ODHKML".</li> </ol>
	"PROOF" can be encoded as (15-17-14-14-5). The encryption is as follows.
	$e(15) = (9 \cdot 15 + 14) \mod 26 = 19$
	$e(17) = (9 \cdot 17 + 14) \mod 26 = 11$
	$e(14) = (9 \cdot 14 + 14) \mod 26 = 10$
	$e(14) = (9 \cdot 14 + 14) \mod 26 = 10$

The encrypted message is "TLKKH".

### Public-Key Cryptography I

The problem with the Caesar & Affine ciphers (aside from the fact that they are insecure) is that you still need a secure way to exchange the keys in order to communicate.

Public key cryptosystems solve this problem.

- ► One can publish a *public key*.
- Anyone can encrypt messages.
- However, decryption is done with a *private* key.
- ▶ The system is secure if no one can *feasibly* derive the private key from the public one.
- Essentially, encryption should be computationally easy, while decryption should be computationally hard (without the private key).
- ► Such protocols use what are called "trap-door functions".

### Public-Key Cryptography II

Many public key cryptosystems have been developed based on the (assumed) hardness of *integer factorization* and the *discrete log* problems.

Systems such as the *Diffie-Hellman* key exchange protocol (used in SSL, SSH, https) and the *RSA* cryptosystem are the basis of modern secure computer communication.

### The RSA Cryptosystem II

Then the encryption function is simply

 $e_k(x) = x^a \mod n$ 

The decryption function is

 $d_k(y) = y^b \mod n$ 

### The RSA Cryptosystem

Example

Example

Let p = 13, q = 17, a = 47.

### We have

- ▶  $n = 13 \cdot 17 = 221.$
- ▶  $\phi(n) = 12 \cdot 16 = 192.$
- Using the Euclidean Algorithm,  $b = 47^{-1} = 143 \mod \phi(n)$

 $e(130) = 130^{47} \mod 221 = 65$ 

 $d(99) = 99^{143} \bmod 221 = 96$ 

### The RSA Cryptosystem I

The RSA system works as follows.

- ► Choose 2 (large) primes *p*, *q*.
- Compute n = pq.
- Compute  $\phi(n) = (p-1)(q-1)$  (called the *totient*)
- Choose  $a, 2 \le a < \phi(n)$  such that  $gcd(a, \phi(n)) = 1$ .
- ► Compute  $b = a^{-1}$  modulo  $\phi(n)$ .
- ▶ Note that *a* must be relatively prime to  $\phi(n)$ .
- Publish n, a
- Keep p, q, b private.

# The RSA Cryptosystem Computing Inverses Revisited Recall that we can compute inverses using the Extended Euclidean Algorithm. With RSA we want to find $b = a^{-1} \mod \phi(n)$ . Thus, we compute

 $gcd(a, \phi(n)) = sa + t\phi(n)$ 

and so  $b = s = a^{-1}$  modulo  $\phi(n)$ .

Public-Key Cryptography I Cracking the System

How can we break an RSA protocol? "Simple"—just factor n.

If we have the two factors p and q, we can easily compute  $\phi(n)$  and since we already have a, we can also easily compute  $b=a^{-1}$  modulo  $\phi(n).$ 

Thus, the security of RSA is contingent on the hardness of *integer factorization*.

### Public-Key Cryptography II Cracking the System

If someone were to come up with a polynomial time algorithm for factorization (or build a feasible quantum computer and use Shor's Algorithm), breaking RSA may be a trivial matter. Though this is not likely.

In practice, large integers, as big as 1024 bits are used. 2048 bit integers are considered unbreakable by today's computer; 4096 bit numbers are used by the truly paranoid.

But if you care to try, RSA Labs has a challenge:

http://www.rsasecurity.com/rsalabs/node.asp?id=2091

Public-Key Cryptography Cracking RSA - Example

### Example

Let a=2367 and let n=3127. Decrypt the message, 1125-2960-0643-0325-1884 (Who is the father of modern computer science?)

Factoring n, we find that  $n = 53 \cdot 59$  so

 $\phi(n) = 52 \cdot 58 = 3016$ 

 Public-Key Cryptography Cracking RSA - Example

 Using the Euclidean algorithm,  $b = a^{-1} \mod \phi(n) = 79$ . Thus, the decryption function is

  $d(x) = x^{79} \mod 3127$  

 Decrypting the message we get that

  $d(1225) = 1225^{79} \mod 3127 = 112$ 
 $d(2960) = 2960^{79} \mod 3127 = 114$ 
 $d(0643) = 643^{79} \mod 3127 = 2021$ 
 $d(0325) = 325^{79} \mod 3127 = 1809$ 
 $d(1884) = 1884^{79} \mod 3127 = 1407$  

 Thus, the message is "ALAN TURING".