Introduction to NP-Complete Problems

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Definitions The 4-Step Proof Example 1: Vertex Cover Example 2: Jogger

$\mathcal P,\,\mathcal N\mathcal P$ and $\mathcal N\mathcal P\text{-Complete}$

Given a problem, it belongs to $\mathcal{P},\,\mathcal{NP}$ or $\mathcal{NP}\text{-}Complete$ classes, if:

- \mathcal{NP} : verifiable in polynomial time.
- \mathcal{P} : decidable in polynomial time.
- *NP*-Complete: all problems in *NP* can be reduced to it in polynomial time.



The 4-Step Proof

Given a problem X, prove it is in \mathcal{NP} -Complete.

- 1. Prove X is in \mathcal{NP} .
- 2. Select problem Y that is know to be in \mathcal{NP} -Complete.
- 3. Define a polynomial time reduction from Y to X.
- 4. Prove that given an instance of Y, Y has a solution *iff* X has a solution.



Vertex Cover

A vertex cover of a graph G = (V, E) is a V_C ⊆ V such that every (a, b) ∈ E is incident to at least a u ∈ V_C.



 → Vertices in V_C 'cover' all the edges of G.
The VERTEX COVER (VC) decision problem: Does G have a vertex cover of size k?

Independent Set

An independent set of a graph G = (V, E) is a $V_I \subseteq V$ such that no two vertices in V_I share an edge.



 \longrightarrow *u*, *v* \in *V*₁ cannot be neighbors.

The INDEPENDENT SET (IS) decision problem: Does G have an independent set of size k?

Prove $\operatorname{Vertex}\,\operatorname{Cover}\,$ is $\mathcal{NP}\text{-complete}$

Given that the INDEPENDENT SET (IS) decision problem is \mathcal{NP} -complete, prove that VERTEX COVER (VC) is \mathcal{NP} -complete. Solution:

1. Prove VERTEX COVER is in \mathcal{NP} .

- Given V_C , vertex cover of G = (V, E), $|V_C| = k$
- ► We can check in O(|E| + |V|) that V_C is a vertex cover for G. How?
 - For each vertex $\in V_C$, remove all incident edges.
 - Check if all edges were removed from G.
- Thus, Vertex Cover $\in \mathcal{NP}$

Prove VERTEX COVER is \mathcal{NP} -complete (2)

2. Select a known \mathcal{NP} -complete problem.

- INDEPENDENT SET (IS) is a known \mathcal{NP} -complete problem.
- Use IS to prove that VC is \mathcal{NP} -complete.



Prove VERTEX COVER is \mathcal{NP} -complete (3)

3. Define a polynomial-time reduction from IS to VC:

- Given a general instance of IS: G' = (V', E'), k'
- Construct a specific instance of VC: G = (V, E), k
 - ► V=V'

$$k = |V'| - k'$$

- This transformation is polynomial:
 - Constant time to construct G = (V, E)
 - O(|V|) time to count the number of vertices
- Prove that there is a V_I ($|V_I| = k'$) for G' iff there is an V_C ($|V_C| = k$) for G.

Prove VERTEX COVER is \mathcal{NP} -complete (4)

Prove G' has an independent set V_I of size k' iff VC has a vertex cover V_C of size k.

- ▶ Consider two sets I and J s.t. $I \cap J = \emptyset$ and $I \cup J = V = V'$
- ▶ Given any edge (*u*, *v*), one of the following four cases holds:
 - 1. $u, v \in I$
 - 2. $u \in I$ and $v \in J$
 - 3. $u \in J$ and $v \in I$
 - 4. $u, v \in J$

▶ Assume that *I* is an independent set of *G*′ then:

- Case 1 cannot be; (vertices in I cannot be adjacent)
- ▶ In cases 2 and 3, (*u*, *v*) has *exactly one* endpoint in *J*.
- In case 4, (u, v) has both endpoints in J.
- In cases 2, 3 and 4, (u, v) has at least one endpoint J.
- Thus, vertices in J cover all edges of G'.
- Also: |I| = |V| |J| since $I \cap J = \emptyset$ and $I \cup J = V = V'$
- ▶ Thus, if *I* is an independent set of *G'*, then *J* is a vertex cover of *G'*(= *G*).

Similarly, we can prove that if J is a vertex cover for G', then I is an independent set for G'.

Prove VERTEX COVER is \mathcal{NP} -complete (5)



Jogger Problem

Given a weighted, undirected graph G with:

- loops, multiple edges, and only positive weights,
- ▶ a special node v called home,
- and given an integer $i \ge 0$.

Is there a route for a jogger J that:

- starts from home,
- travels a distance i, and
- returns home
- without repeating an edge (nodes can be repeated)?



Jogger is \mathcal{NP} -complete

- 1. Jogger is in \mathcal{NP} : Given a path P, we can check in O(|P|) whether or not the sum of all edge weights is equal to i.
- Consider the Subset Sum (SS) problem¹, which is a known NP-complete problem. Given a set S of positive integers, is there a subset S' ⊆ S such that sum of the elements of S' is t. Example: S = {1,3,4,5,7,8}, find S' ⊆ S such that sum of the elements in S' is 15.
- 3. Reduce Subset Sum to the Jogger.

¹One type of knapsack problem.

Jogger is \mathcal{NP} -complete

- ▶ Given an instance of SS: S={a₁, a₂, ... a_n}, construct a graph G as follows:
 - G has a unique node, v, which is the home.
 - For each $a_i \in S$, add a self-loop to v of weight a_i .
 - Let *i* (of the Jogger) = t (of the Sum Set).
- This construction is obviously linear in the number of elements in S.



Jogger is \mathcal{NP} -complete (2)

- 4. SS has a solution *iff* Jogger has a solution.
 - G contains a path starting from home, never repeating an edge, and returning back home with a total distance exactly i iff S has a subset S' with sum of elements of S' equal to t.
 - If S' ⊆ S is a solution to SS, then the Jogger has a path of length i = t by taking the edges (loops) corresponding to the elements in S'.
 - If there a path P is a solution to Jogger, then the subset of S with elements corresponding to the edges in P is a subset with sum i = t and thus is a solution to SS.

