

Title: Informed Search Methods  
Required reading: AIMA, Chapter 4 (Sections 4.1, 4.2, & 4.3)  
LWH: Chapters 6, 10, 13 and 14.

Introduction to Artificial Intelligence  
CSCE 476-876, Spring 2009  
**URL:** [www.cse.unl.edu/~choueiry/S09-476-876](http://www.cse.unl.edu/~choueiry/S09-476-876)

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## Outline

- Categorization of search techniques
- Ordered search (search with an evaluation function)
- Best-first search:
  - (1) Greedy search
  - (2) A\*
- Admissible heuristic functions:
  - how to compare them?
  - how to generate them?
  - how to combine them?
- Iterative improvement search:
  - (1) Hill-climbing
  - (2) Simulated annealing

## Types of Search (I)

- 1- Uninformed vs. informed
- 2- Systematic/constructive vs. iterative improvement

### Uninformed :

use only information available in problem definition,  
no idea about distance to goal  
→ can be incredibly ineffective in practice

### Heuristic :

exploits some knowledge of the domain  
also useful for solving optimization problems

## Types of Search (II)

### Systematic, exhaustive, constructive search:

a partial solution is incrementally extended into global solution

Partial solution =

sequence of transitions between states

Global solution =

Solution from the initial state to the goal state

Examples:  $\left\{ \begin{array}{l} \text{Uninformed} \\ \text{Informed (heuristic): Greedy search, A}^* \end{array} \right.$

→ Returns the path; solution = path

## Types of Search (III)

### Iterative improvement:

A state is gradually modified and evaluated until reaching an (acceptable) optimum

- We don't care about the path, we care about 'quality' of state
- Returns a state; a solution = good quality state
- Necessarily an informed search

Examples (informed): {

- Hill climbing
- Simulated Annealing (physics), Taboo search
- Genetic algorithms (biology)

## Ordered search

- Strategies for systematic search are generated by choosing which node from the fringe to expand first
- The node to expand is chosen by an evaluation function, expressing 'desirability' → ordered search
- When nodes in queue are sorted according to their decreasing values by the evaluation function → best-first search
- Warning: 'best' is actually 'seemingly-best' given the evaluation function. Not always best (otherwise, we could march directly to the goal!)

## Search using an evaluation function

- Example: uniform-cost search!

What is the evaluation function?

Evaluates cost from ..... to .....?

- How about the cost to the goal?

$h(n)$  = estimated cost of the cheapest path from the state at node  $n$  to a goal state

$h(n)$  would help focusing search

## Cost to the goal

This information is not part of the problem description

<b>Arad</b>	366	<b>Mehadia</b>	241
<b>Bucharest</b>	0	<b>Neamt</b>	234
<b>Craiova</b>	160	<b>Oradea</b>	380
<b>Dobreta</b>	242	<b>Pitesti</b>	100
<b>Eforie</b>	161	<b>Rimnicu Vilcea</b>	193
<b>Fagaras</b>	176	<b>Sibiu</b>	253
<b>Giurgiu</b>	77	<b>Timisoara</b>	329
<b>Hirsova</b>	151	<b>Urziceni</b>	80
<b>Iasi</b>	226	<b>Vaslui</b>	199
<b>Lugoj</b>	244	<b>Zerind</b>	374

## Best-first search

1. Greedy search chooses the node  $n$  closest to the goal  
such as  $h(n)$  is minimal

2. A\* search chooses the least-cost solution

solution cost  $f(n)$   $\left\{ \begin{array}{l} g(n): \text{ cost from root to a given node } n \\ + \\ h(n): \text{ cost from the node } n \text{ to the goal node} \end{array} \right.$   
such as  $f(n) = g(n) + h(n)$  is minimal

## Greedy search

→ First expand the node whose state is 'closest' to the goal!

→ Minimize  $h(n)$

**function** BEST-FIRST-SEARCH(*problem*, EVAL-FN) **returns** a solution sequence

**inputs:** *problem*, a problem  
*Eval-Fn*, an evaluation function

*Queueing-Fn* ← a function that orders nodes by EVAL-FN

**return** GENERAL-SEARCH(*problem*, *Queueing-Fn*)

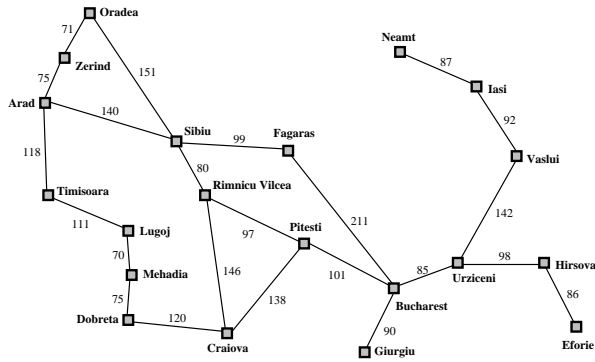
→ Usually, cost of reaching a goal may be estimated,  
not determined exactly

→ If state at  $n$  is goal,  $h(n) =$  ?

→ How to choose  $h(n)$ ? Problem specific! Heuristic!

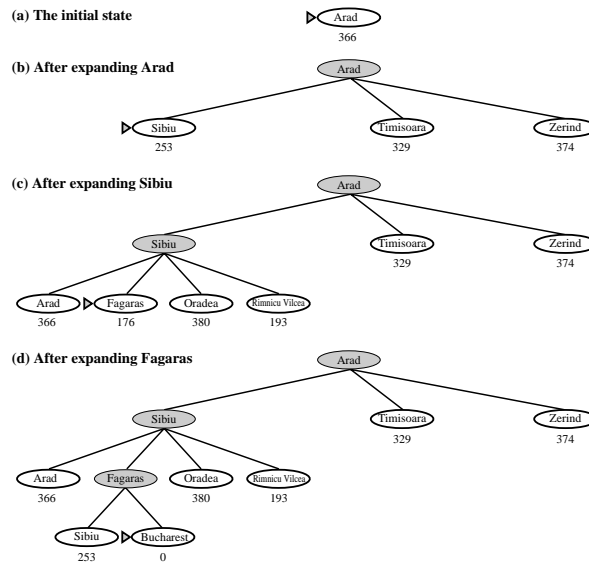
## Greedy search: Romania

$h_{SLD}(n)$  = straight-line distance between  $n$  and goal location



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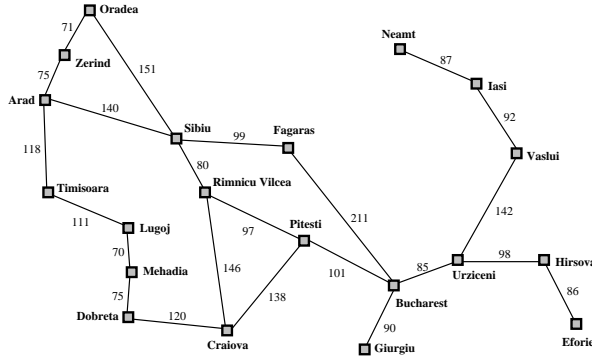
## Greedy search: Trip from Arad to Bucharest



... Greedy search! quick, but not optimal!

## Greedy search: Problems

From Iasi to Fagaras?  $\left\{ \begin{array}{l} \text{False starts: Neamt is a dead-end} \\ \text{Looping} \end{array} \right.$



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## Greedy search: Properties

- Like depth-first, tends to follow a single path to the goal
- Like depth-first  $\left\{ \begin{array}{l} \text{Not complete} \\ \text{Not optimal} \end{array} \right.$
- Time complexity:  $O(b^m)$ ,  $m$  maximum depth
- Space complexity:  $O(b^m)$  retains all nodes in memory
- Good  $h$  function (considerably) reduces space and time but  $h$  functions are problem dependent :—(

## Hmm...

**Greedy search** minimizes estimated cost to goal  $h(n)$

- cuts search cost considerably
- but not optimal, not complete

**Uniform-cost search** minimizes cost of the path so far  $g(n)$

- is optimal and complete
- but can be wasteful of resources

**New-Best-First search** minimizes  $f(n) = g(n) + h(n)$

- combines greedy and uniform-cost searches
- $f(n)$  = estimated cost of cheapest solution via  $n$
- Provably: complete and optimal, if  $h(n)$  is admissible

## A\* Search

- **A\* search**  
Best-first search expanding the node in the fringe with minimal  $f(n) = g(n) + h(n)$
- **A\* search with admissible  $h(n)$**   
Provably complete, optimal, and optimally efficient using TREE-SEARCH
- **A\* search with consistent  $h(n)$**   
Remains optimal even using GRAPH-SEARCH

(See TREE-SEARCH page 72 and GRAPH-SEARCH page 83)



## Admissible heuristic

An admissible heuristic is a heuristic that never overestimates the cost to reach the goal

- is optimistic
- thinks the cost of solving is less than it actually is

travel: straight line distance  
 Example: { I can finish college in 3 years  
 We can fly to Mars by 2003

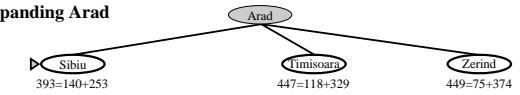
If  $h$  is admissible,  
 $f(n)$  never overestimates the actual cost of  
the best solution through  $n$ .

## A\* Search From Arad to Bucharest

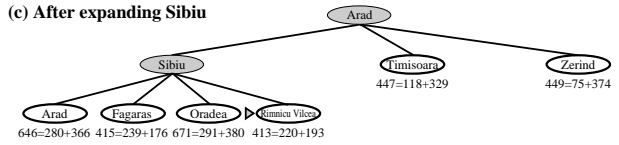
(a) The initial state



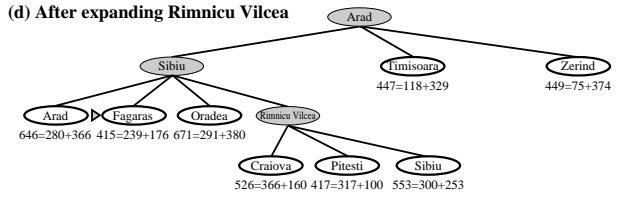
(b) After expanding Arad



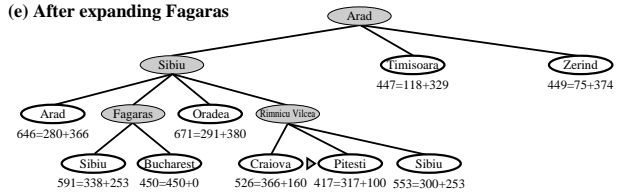
(c) After expanding Sibiu



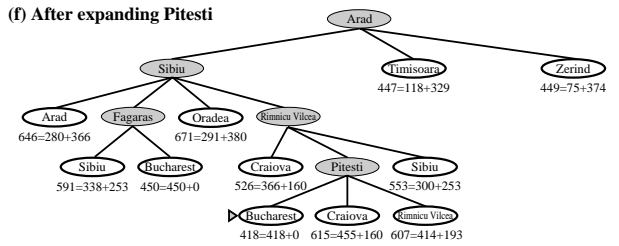
(d) After expanding Rimnicu Vilcea



(e) After expanding Fagaras



(f) After expanding Pitesti



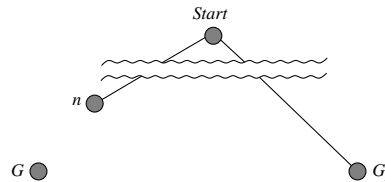
## A\* Search is optimal

$G, G_2$  goal states  $\Rightarrow g(G) = f(G), f(G_2) = g(G_2)$   $h(G) = h(G_2) = 0$

$G$  optimal goal state  $\Rightarrow C^* = f(G)$

$G_2$  suboptimal  $\Rightarrow f(G_2) > C^* = f(G)$  (1)

Suppose  $n$  is not chosen for expansion



$h$  admissible  $\Rightarrow C^* \geq f(n)$  (2)

Since  $n$  was not chosen for expansion  $\Rightarrow f(n) \geq f(G_2)$  (3)

(2) + (3)  $\Rightarrow C^* \geq f(G_2)$  (4)

(1) and (4) are contradictory  $\Rightarrow n$  should be chosen for expansion

## Which nodes does A\* expand?

GOAL-TEST is applied to STATE(node) when a node is chosen from the fringe for expansion, not when the node is generated

Theorem 3 & 4 in Pearl 84, original results by Nilsson

- *Necessary condition:* Any node expanded by A\* cannot have an  $f$  value exceeding  $C^*$ : For all nodes expanded,  $f(n) \leq C^*$
- *Sufficient condition:* Every node in the fringe for  $f(n) < C^*$  will eventually be expanded by A\*

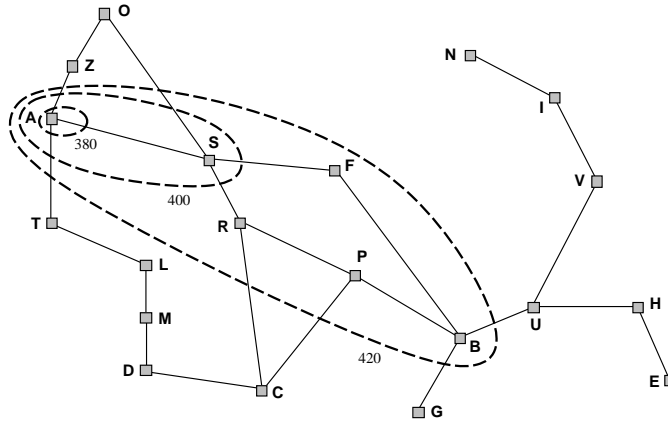
In summary

- A\* expands all nodes with  $f(n) < C^*$
- A\* expands some nodes with  $f(n) = C^*$
- A\* expands no nodes with  $f(n) > C^*$

## Expanding contours

A\* expands nodes from fringe in increasing  $f$  value

We can conceptually draw contours in the search space



The first solution found is necessarily the optimal solution

Careful: a TEST-GOAL is applied at node expansion

**A\* Search** is complete

Since A\* search expands all nodes with  $f(n) < C^*$ , it must eventually reach the goal state unless there are infinitely many

nodes  $f(n) < C^*$   $\left\{ \begin{array}{l} 1. \exists \text{ a node with infinite branching factor} \\ \text{or} \\ 2. \exists \text{ a path with infinite number of nodes along it} \end{array} \right.$

A\* is complete if  $\left\{ \begin{array}{l} \text{on locally finite graphs} \\ \text{and} \\ \exists \delta > 0 \text{ constant, the cost of each operator} > \delta \end{array} \right.$

## A\* Search Complexity

### Time:

Exponential in (relative error in  $h \times$  length of solution path)  
... quite bad

### Space: must keep all nodes in memory

Number of nodes within goal contour is exponential in length of solution.... unless the error in the heuristic function  
 $|h(n) - h^*(n)|$  grows no faster than the log of the actual path cost:  $|h(n) - h^*(n)| \leq O(\log h^*(n))$

In practice, the error is proportional... impractical..  
major drawback of A\*: runs out of space quickly

→ Memory Bounded Search IDA\* (not addressed here)

## A\* Search is optimally efficient

.. for any given evaluation function: no other algorithms that finds the optimal solution is guaranteed to expend fewer nodes than A\*

Interpretation (proof not presented): Any algorithm that does not expand all nodes between root and the goal contour risks missing the optimal solution

## Tree-Search vs. Graph-Search

After choosing a node from the fringe and before expanding it, GRAPH-SEARCH checks whether STATE(node) was visited before to avoid loops.

→ GRAPH-SEARCH may lose optimal solution

### Solutions

1. In Graph-Search, discard the more expensive path to a node
2. Ensure that the optimal path to any repeated state is the first one found
  - Consistency

## Consistency

$h(n)$  is consistent

If  $\forall n$  and  $\forall n'$  successor of  $n$  along a path, we have

$$h(n) \leq k(n, n') + h(n'), \quad k \text{ cost of cheapest path from } n \text{ to } n'$$

## Monotonicity

$h(n)$  is monotone

If  $\forall n$  and  $\forall n'$  successor of  $n$  generated by action  $a$ , we have

$$h(n) \leq c(n, a, n') + h(n'), \quad n' \text{ is an immediate successor of } n$$

Triangle inequality ( $\langle n, n', \text{goal} \rangle$ )

**Important:**  $h$  is consistent  $\Leftrightarrow h$  is monotone

**Beware:** of confusing terminology 'consistent' and 'monotone'

Values of  $h$  not necessarily decreasing/nonincreasing

## Properties of $h$ : Important results

- $h$  consistent  $\Leftrightarrow h$  monotone (Pearl 84)
- $h$  consistent  $\Rightarrow h$  admissible (AIMA, Exercise 4.7)  
consistency is stricter than admissibility
- $h$  consistent  $\Rightarrow f$  is nondecreasing  
 $f(n') = g(n') + h(n') = g(n) + c(n, a, n') + h(n') \geq g(n) + h(n) = f(n)$
- $h$  consistent  $\Rightarrow A^*$  using GRAPH-SEARCH is optimally efficient

## Pathmax equation

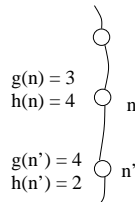
*You may ignore this slide*

**Monotonicity of  $f$ :** values along a path are nondecreasing

When  $f$  is not monotonic, use **pathmax** equation

$$f(n') = \max(f(n), g(n') + h(n'))$$

$A^*$  never decreases along any path out from root



Pathmax

- guarantees  $f$  nondecreasing
- does not guarantee  $h$  consistent
- does not guarantee  $A^*$  + GRAPH-SEARCH is optimally efficient

## Summarizing definitions for A\*

- A\* is a best-first search that expands the node in the fringe with minimal  $f(n) = g(n) + h(n)$
- An admissible function  $h$  never overestimates the distance to the goal.
- $h$  admissible  $\Rightarrow$  A\* is complete, optimal, optimally efficient using TREE-SEARCH
- $h$  consistent  $\Leftrightarrow h$  monotone  
 $h$  consistent  $\Rightarrow h$  admissible  
 $h$  consistent  $\Rightarrow f$  nondecreasing
- $h$  consistent  $\Rightarrow$  A\* remains optimal using GRAPH-SEARCH

## Admissible heuristic functions

### Examples

- Route-finding problems: straight-line distance
- 8-puzzle:  $\begin{cases} h_1(n) = \text{number of misplaced tiles} \\ h_2(n) = \text{total Manhattan distance} \end{cases}$

5	4	
6	1	8
7	3	2

Start State

1	2	3
8		4
7	6	5

Goal State

$$h_1(S) = ?$$

$$h_2(S) = ?$$

## Performance of admissible heuristic functions

Two criteria to compare admissible heuristic functions:

1. Effective branching factor:  $b^*$
2. Dominance: number of nodes expanded

## Effective branching factor $b^*$

- The heuristic expands  $N$  nodes in total
- The solution depth is  $d$

→  $b^*$  is the branching factor had the tree been uniform

$$N = 1 + b^* + (b^*)^2 + \dots + (b^*)^d = \frac{(b^*)^{d+1} - 1}{b^* - 1}$$

- Example:  $N=52, d=5 \rightarrow b^* = 1.92$



## Dominance

If  $h_2(n) \geq h_1(n)$  for all  $n$  (both admissible)  
then  $h_2$  dominates  $h_1$  and is better for search

Typical search costs: nodes expanded

Sol. depth	IDS	A*( $h_1$ )	A*( $h_2$ )
$d = 12$	3,644,035	227	73
$d = 24$	too many	39,135	1,641

A\* expands all nodes  $f(n) < C^* \Rightarrow g(n) + h(n) < C^*$   
 $\Rightarrow h(n) < C^* - g(n)$

If  $h_1 \leq h_2$ , A\* with  $h_1$  will always expand at least as many (if not more) nodes than A\* with  $h_2$

→ It is always better to use a heuristic function with  
higher values, as long as it does not overestimate (remains  
admissible)

## How to generate admissible heuristics?

→ Use *exact* solution cost of a relaxed (easier) problem

Steps:

- Consider problem  $P$
- Take a problem  $P'$  easier than  $P$
- Find solution to  $P'$
- Use solution of  $P'$  as a heuristic for  $P$

## Relaxing the 8-puzzle problem

A tile can move from square A to square B if

A is (horizontally or vertically) adjacent to B and B is blank

1. A tile can move from square A to square B if A is adjacent to B  
The rules are relaxed so that a tile can move to *any adjacent square*: the shortest solution can be used as a heuristic ( $\equiv h_2(n)$ )
2. A tile can move from square A to square B if B is blank  
Gaschnig heuristic (Exercice 4.9, AIMA, page 135)
3. A tile can move from square A to square B  
The rules of the 8-puzzle are relaxed so that a tile can move *anywhere*: the shortest solution can be used as a heuristic ( $\equiv h_1(n)$ )

## An admissible heuristic for the TSP

Let path be *any* structure that connects all cities

$\implies$  minimum spanning tree heuristic (polynomial)

(Exercice 4.8, AIMA, page 135)

## Combining several admissible heuristic functions

We have a set of admissible heuristics  $h_1, h_2, h_3, \dots, h_m$  but no heuristic that dominates all others, what to do?

$$\longrightarrow h(n) = \max(h_1(n), h_2(n), \dots, h_m(n))$$

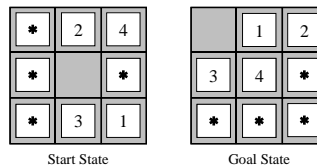
$h$  is admissible and dominates all others.

→ Problem:

Cost of computing the heuristic (vs. cost of expanding nodes)

## Using subproblems to derive an admissible heuristic function

Goal: get 1, 2, 3, 4 into their correct positions, ignoring the 'identity' of the other tiles



Cost of optimal solution to subproblem used as a lower bound (and is substantially more accurate than Manhattan distance)

Pattern databases:

- Identify patterns (which represent several possible states)
- Store cost of exact solutions of patterns
- During search, retrieve cost of pattern and use as a (tight) estimate

Cost of building the database is amortized over 'time'

## Iterative improvement (a.k.a. local search)

→ Sometimes, the 'path' to the goal is irrelevant  
only the state description (or its quality) is needed

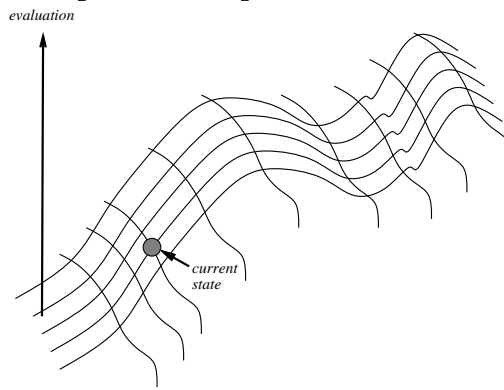
### Iterative improvement search

- choose a single current state, sub-optimal
- gradually modify current state
- generally visiting 'neighbors'
- until reaching a near-optimal state

**Example:** complete-state formulation of  $N$ -queens

## Main advantages of local search techniques

1. Memory (usually a constant amount)
2. Find reasonable solutions in large spaces  
where we cannot possibly search the space exhaustively
3. Useful for optimization problems:  
best state given an objective function (quality of the goal)

**Intuition:** state-space landscape

- All states are layed up on the surface of a landscape
- A state's location determines its neighbors (where it can move)
- A state's elevation represents its quality (value of objective function)
- Move from one neighbor of the current state to another state until reaching the highest peak

**Two major classes**

1. Hill climbing (a.k.a. gradient ascent/descent)
  - try to make changes to improve quality of current state
2. Simulated Annealing (physics)
  - things can temporarily get worse

Others: tabu search, local beam search, genetic algorithms, etc.

→ Optimality (soundness)? Completeness?

→ Complexity: space? time?

→ In practice, surprisingly good..

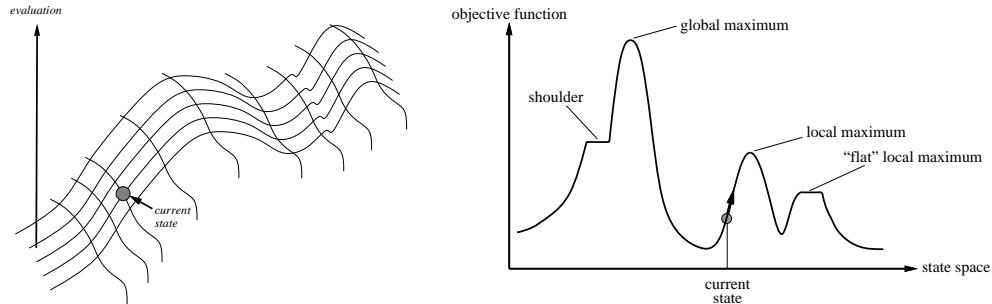
(eroding myth)

## Hill climbing

Start from any state at random and loop:

Examine all direct neighbors

If a neighbor has higher value then move to it else exit

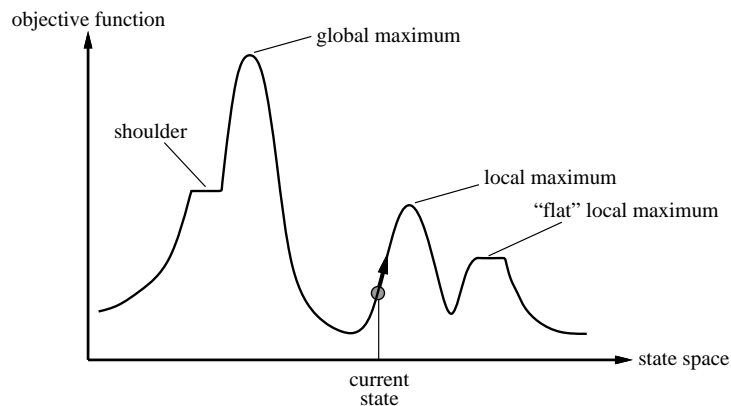


Problems: {

- Local optima: (maxima or minima) search halts
- Plateau: flat local optimum or shoulder
- Ridge

## Plateaux

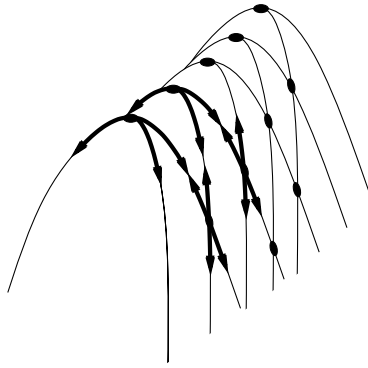
Allow sideways moves



- For shoulder, good solution
  - For flat local optima, may result in an infinite loop
- Limit number of moves

## Ridges

Sequence of local optima that is difficult to navigate



## Variants of Hill Climbing

- Stochastic hill climbing: random walk  
Choose to disobey the heuristic, sometimes  
Parameter: How often?
- First-choice hill climbing  
Choose first best neighbor examined  
Good solution when we have too many neighbors
- Random-restart hill climbing  
A series of hill-climbing searches from random initial states

## Random-restart hill-climbing

- When HC halts or no progress is made
  - re-start from a different (randomly chosen) starting
  - save best results found so far
  
- Repeat random restart
  - for a fixed number of iterations, or
  - until best results have not been improved for a certain number of iterations

## Simulated annealing (I)

**Basic idea:** When stuck in a local maximum allow few steps towards less good neighbors to escape the local maximum

Start from any state at random, start count down and loop until time is over:

Pick up a neighbor at random

Set  $\Delta E = \text{value}(\text{neighbor}) - \text{value}(\text{current state})$

**If**  $\Delta E > 0$  (neighbor is better)

**then** move to neighbor

**else**  $\Delta E < 0$  move to it with probability  $< 1$

Transition probability  $\simeq e^{\Delta E/T}$   $\left\{ \begin{array}{l} \Delta E \text{ is negative} \\ T: \text{count-down time} \end{array} \right.$

as time passes, less and less likely to make the move towards 'unattractive' neighbors



## Simulated annealing (II)

Analogy to physics:

Gradually cooling a liquid until it freezes

If temperature is lowered sufficiently slowly, material will attain lowest-energy configuration (perfect order)

Count down	$\longleftrightarrow$	Temperature
Moves between states	$\longleftrightarrow$	Thermal noise
Global optimum	$\longleftrightarrow$	Lowest-energy configuration

## How about decision problems?

### Optimization problems

Iterative improvement

State value

Sub-optimal state

Optimal state

### Decision problems

Iterative repair

Number of constraints violated

Inconsistent state

Consistent state

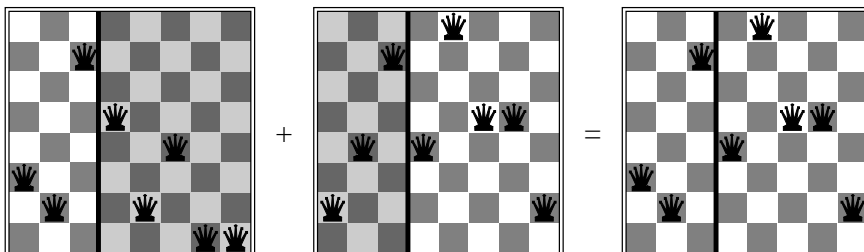
Iterative improvement	$\longleftrightarrow$	Iterative repair
State value	$\longleftrightarrow$	Number of constraints violated
Sub-optimal state	$\longleftrightarrow$	Inconsistent state
Optimal state	$\longleftrightarrow$	Consistent state

## Local beam search

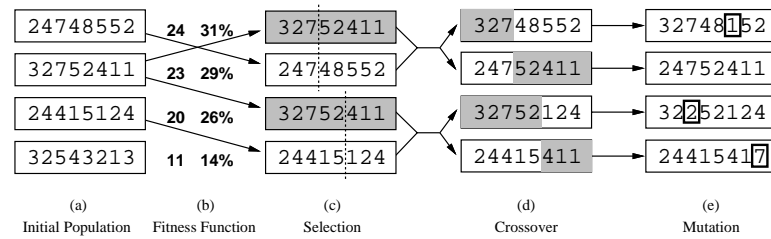
- Keeps track of  $k$  states
- Mechanism:
  - Begins with  $k$  states
  - At each step, all successors of all  $k$  states generated
  - Goal reached? Stop.
  - Otherwise, selects  $k$  best successors, and repeat.
- Not exactly a  $k$  restarts:  $k$  runs are not independent
- Stochastic beam search increases diversity

## Genetic algorithms

- Basic concept: combines two (parent) states
- Mechanism:
  - Starts with  $k$  random states (population)
  - Encodes individuals in a compact representation (e.g., a string in an alphabet)
  - Combines partial solutions to generate new solutions (next generation)



## Important components of a genetic algorithm



- Fitness function ranks a state's quality, assigns probability for selection
- Selection randomly chooses pairs for combinations depending on fitness
- Crossover point randomly chosen for each individual, offsprings are generated
- Mutation randomly changes a state