

# Review of Recursion

Courtesy of Dr. Chuck Cusack

**CSCE310: Data Structures and Algorithms**

[www.cse.unl.edu/~choueiry/S01-310/](http://www.cse.unl.edu/~choueiry/S01-310/)

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# Recursion

- A subroutine/function that calls itself is called *recursive*
- Warning: A subroutine/function that simply calls itself would result in infinite recursion
- Thus, when using recursion, one must ensure that, at some point, the subroutine/function terminates without calling itself

Two (2) fundamental rules of recursion:

1. **Base case(s)**, solved without recursion.  
A.k.a Stopping case, Terminating condition.
2. **Making progress**. Recursive calls must always be to a case that makes progress toward a base case.  
A.k.a inductive case(s) always progress toward a base case  
Two other rules (later)

```
RecursiveFactorial(n)
```

```
  IF (n == 1) THEN RETURN 1
```

```
  RETURN n * Factorial(n-1)
```

What ensures that the function RecursiveFactorial terminates?

## Where is Recursion Seen/Used?

In problems that can be solved by combining solutions of smaller instances of the given problem

**Toy problems:** Russian Matryoshka (nested dolls), Tower of Hanoi

**CS examples:** binary search, mergesort,  $n!$ , Fibonacci numbers, divide-&-conquer algorithms

## Iteration vs. Recursion: examples

### Iterative count down:

```
CountDown (n)
WHILE i > 0
    DO Print (n)
        n <-- (n - 1)
```

### Recursive count down:

*Incorrect*

```
CountDown (n)
Print(n)
CountDown(n-1)
```

What is wrong?

### Recursive count down:

*Fixed*

```
CountDown (n)
IF n > 0
    THEN Print(n)
        CountDown(n-1)
```

# Recursion and Memory

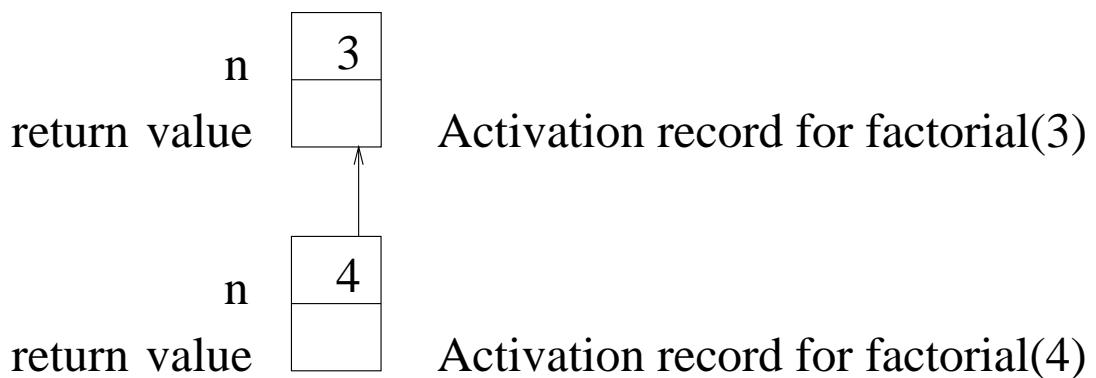
- Each function call generates a function instance
- An instance of a function contains
  - memory for each parameter (input)
  - memory for each local variable
  - memory for the return value
- This chunk of memory is referred to as activation record
- A recursive function that calls itself  $n$  times must allocate  $n$  activation records
- Usually, an iterative implementation will require on the order of one activation record, plus a constant amount of space
  - Space: reason recursion for avoiding recursion
  - Recursion simplifies code readability (good compilers remove recursion whenever possible)

## Example:

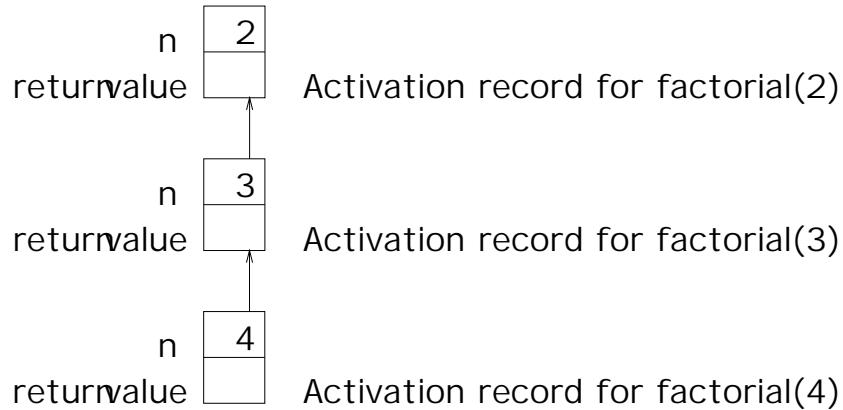
- `RecursiveFactorial(4)`



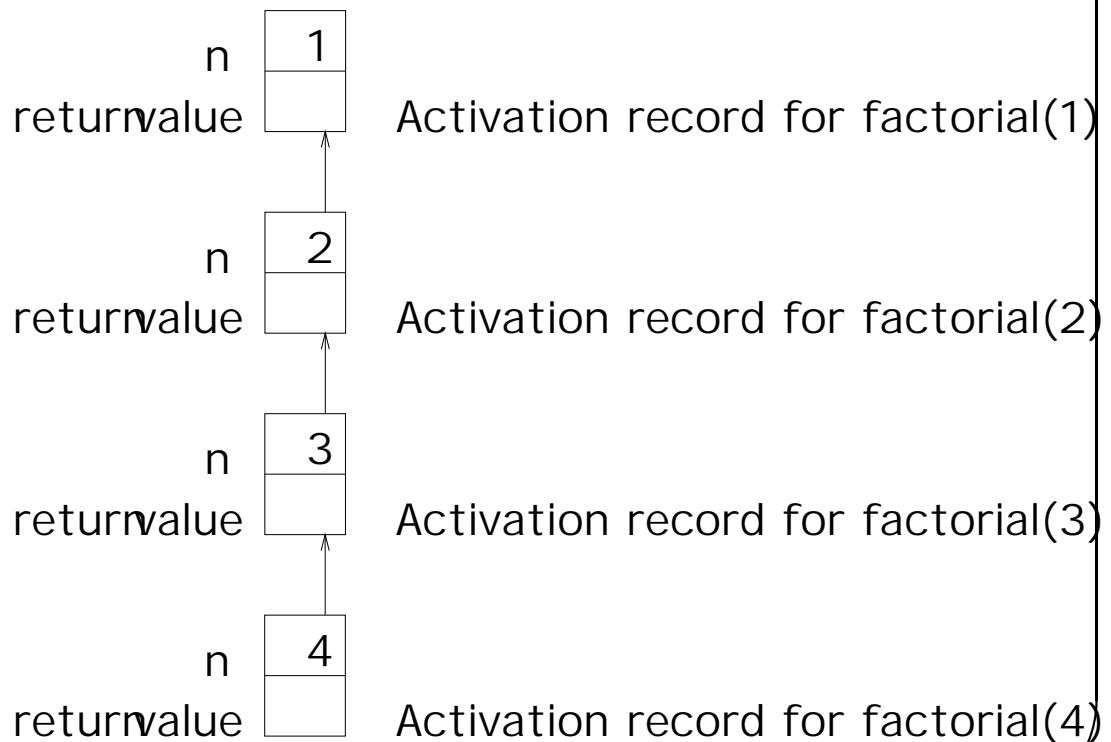
- `RecursiveFactorial(4)`: call to  
`RecursiveFactorial(3)`



- `RecursiveFactorial(4)`: call to `RecursiveFactorial(2)`

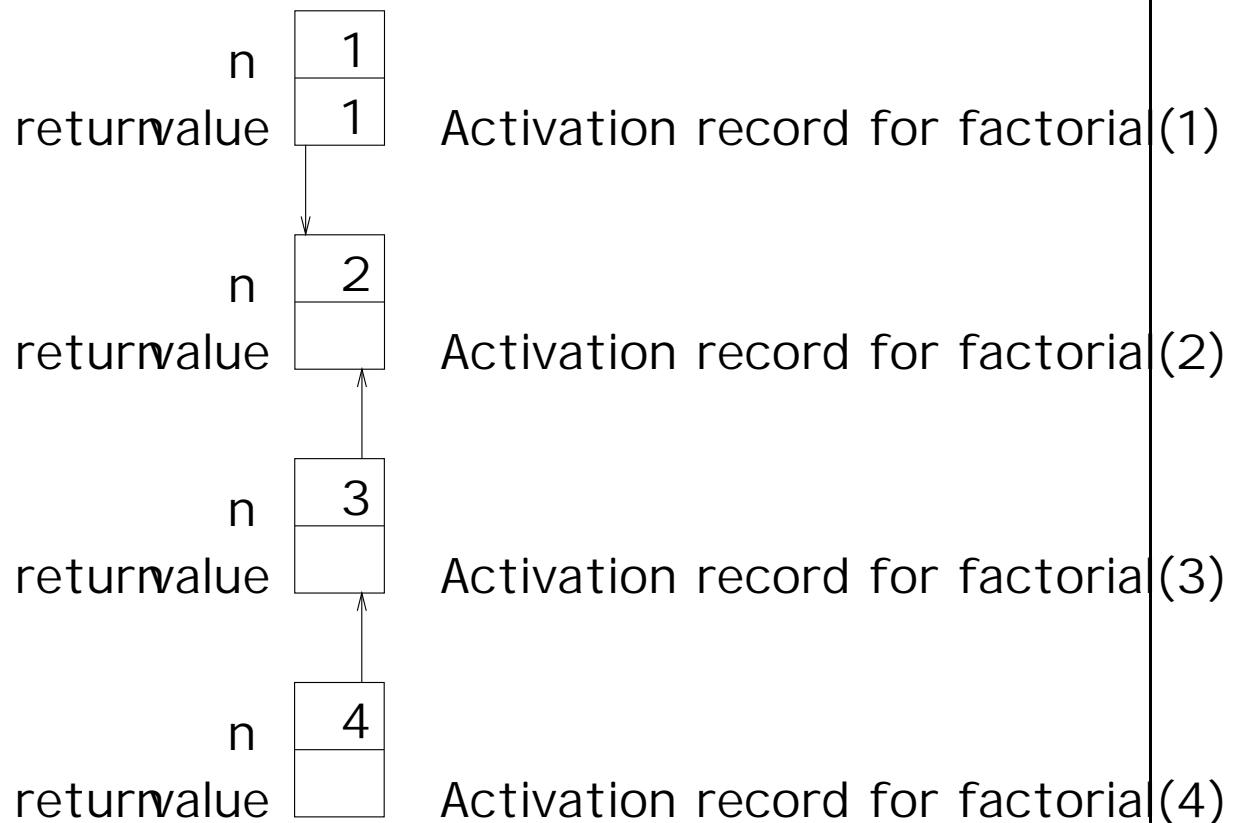


- `RecursiveFactorial(4)`: call to `RecursiveFactorial(1)`

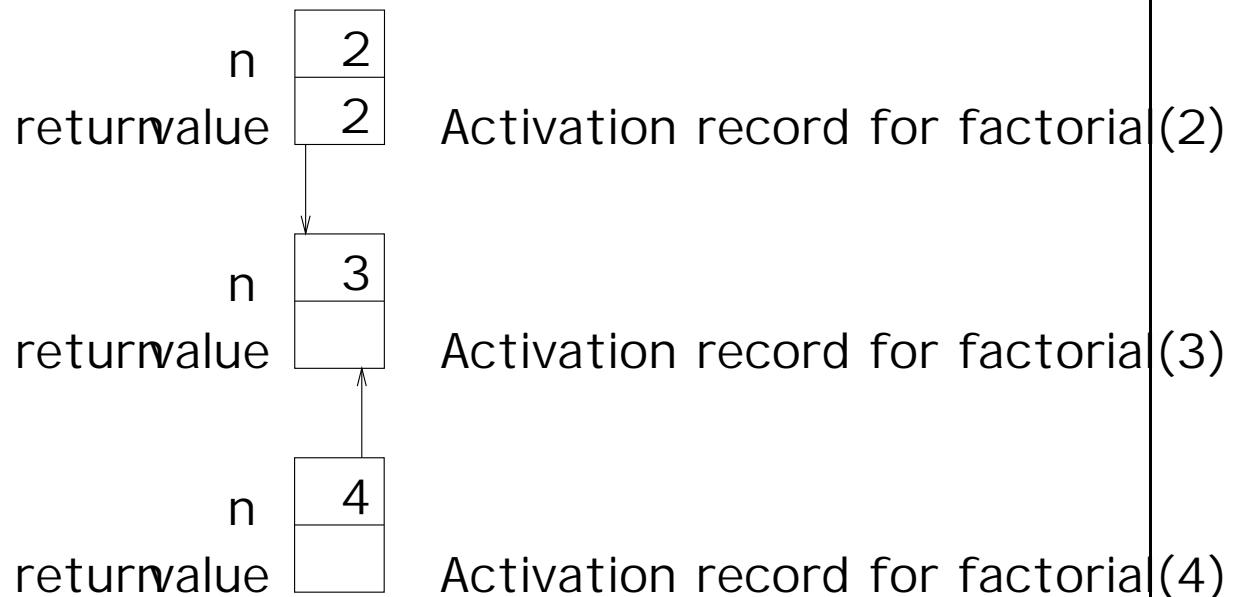


- `RecursiveFactorial(4):`

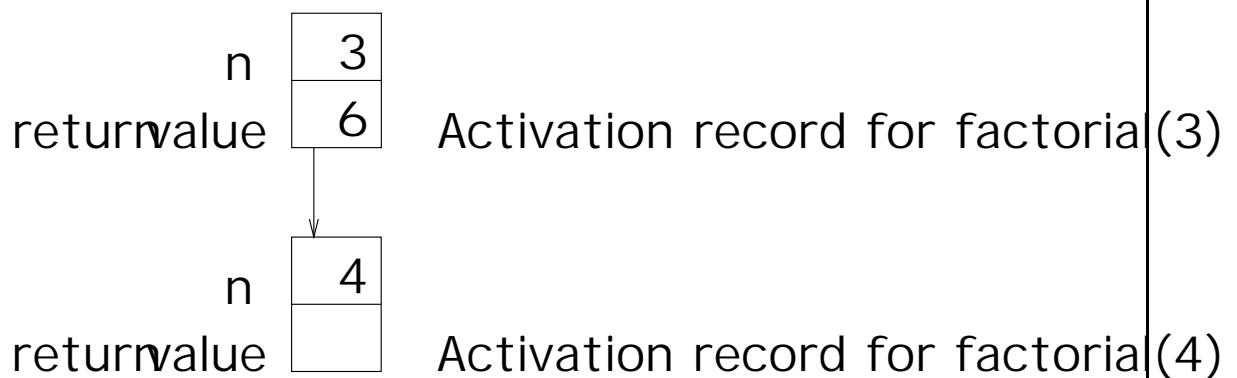
`RecursiveFactorial(1)` is the base case, so it returns ‘1’.



- `RecursiveFactorial(4):`  
`RecursiveFactorial(2)` now returns '2'.



- `RecursiveFactorial(4):`  
`RecursiveFactorial(3)` now returns ‘6’.



- `RecursiveFactorial(4):` returns ‘24’. This was the original function call, so the execution is finished.



# The Run-Time Stack

- In order to support recursive function calls, the run-time system treats memory as a stack of activation records
- `RecursiveFactorial(n)` requires the allocation of  $n$  activation records on the stack

```
RecursiveFactorial(n)
  IF (n <= 1) THEN 1
  RETURN n * RecursiveFactorial(n-1)
```

- What if we have infinite recursion:

```
InfiniteRecursion (n)
  IF (n=0) THEN 1
  ELSE InfiniteRecursion(n)
```

The value of  $n$  never reaches zero, so the function is called, and records are pushed onto the stack, until the system runs out of memory.

- Even if our recursion is not infinite, system may run out of memory: the recursion may be too deep, and since memory is finite

## Recursion vs. iteration

Recursive functions can be translated to functions that use loops.

- Recursive:

```
RecursiveFactorial(n)
```

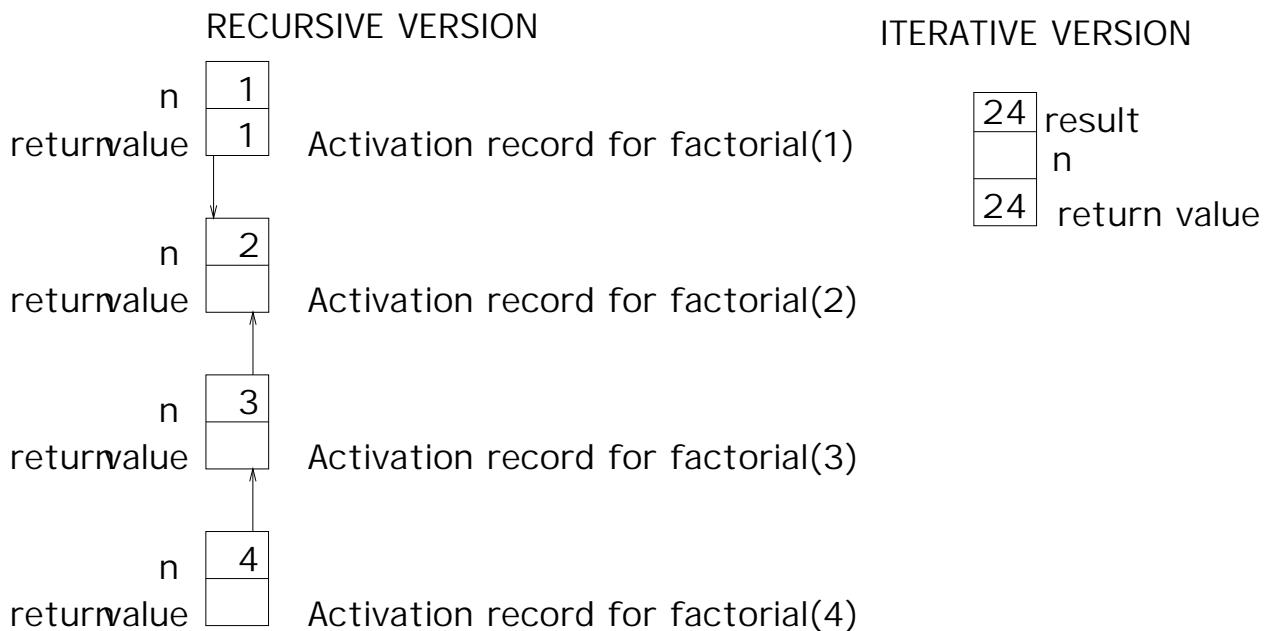
```
  IF (n <= 1) THEN 1  
    n * Factorial(n-1)
```

- Iterative:

```
IterativeFactorial(n)
```

```
result <-- 1  
WHILE (n >= 1)  
  result <-- result * n  
  n <-- n - 1  
RETURN result
```

# Memory Usage?



For input  $n$ :

**Recursive implementation** needs to allocate  
 $2n$  integers

**Iterative implementation** needs only 3

## Recursion: Disadvantages

Each time one function calls another, the computer's operating system must:

- Record how to re-start the calling function later on,
- Pass the parameters from the calling function to the called function (often by pushing the parameters onto a stack controlled by the system)
- Set up space for the called function's local variables
- Record where the calling function's local variables are stored

Doing all this requires time and memory.

## Common recursion errors

- Forgetting or having incomplete base cases

```
Sum1toN(N)
  IF (N == 0)
    THEN RETURN 0
  ELSE RETURN (N + Sum1toN(N-1))
```

- Getting things backwards

```
CountHow(n)
  IF n > 0
    THEN Print(n)
    CountHow(n-1)

CountGuess(n)
  IF n > 0
    THEN CountGuess(n-1)
    Print(n)
```

Two (2) fundamental rules of recursion:

1. Base case(s)
2. Making progress

Two other rules:

1. **Design rule.** Assume all recursive calls work.  
Don't do the bookkeeping yourself.
2. **Compound interest rule.**  
Don't duplicate work by solving the same instance of a problem in separate recursive calls.

## Recursion: Conclusion

- A recursive function is one that invokes another instance of itself
- Recursion is an alternative to iteration
- Recursion often provides a more elegant solution than iteration
- Each instance of a function has its own set of local variables and parameters
- Recursive solutions are often less efficient, in terms of time and space, than an iterative solution.
- Some problems are difficult to solve without recursion.
  - Particularly when the problem is recursive in its definition, example Tower of Hanoi.

Exercise 11.4-2 (not tested)

```
Print-node (node)
IF (left-child[node]=nil) AND (right-sibling[node]=nil)
THEN print(key[node])
ELSE IF right-sibling[node]=nil
THEN print(key[node])
      print-node (left-child[node])
ELSE IF left-child[node] = nil
THEN print(key[node])
      print-node (right-sibling[node])
ELSE print(key[node])
      print-node (left-child[node])
      print-node (right-sibling[node])
```

# Common functions

Floors and ceilings:

- The floor of  $x$ :  $\lfloor x \rfloor$
- The ceiling of  $x$ :  $\lceil x \rceil$
- $\forall n \in \mathbb{N}, \lfloor n/2 \rfloor + \lceil n/2 \rceil = n$

Logarithms:

- Binary logarithm:  $\lg n = \log_2 n$
- Natural logarithm:  $\ln n = \log_e n$
- $\log_a n = \frac{\ln n}{\ln a}$  and  $\log n = \frac{\ln n}{\ln 10}$
- $\ln e = 1, \ln 1 = 0, \log_k 1 = 0, \log_k k = 1$
- Exponentiation:  $\lg^k n = (\lg n)^k$
- Composition:  $\lg \lg n = \lg(\lg n)$
- $a = b^{\log_b a}$
- $\log_b a^n = n \log_b a$
- $a^{\log_b n} = n^{\log_b a}$

Sum of finite series:

- Arithmetic:  $S_n = \frac{n(t_1 + t_n)}{2}$
- Geometric:  $S_n = t_1 \frac{r^n - 1}{r - 1} = \frac{t_n r - t_1}{r - 1}$