

Title: Inference in First-Order Logic

AIMA: Chapter 9

Introduction to Artificial Intelligence

CSCE 476-876, Fall 2021

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## Outline

- Reducing first order inference to propositional inference:  
Universal Instantiation, Existential Instantiation,  
Skolemization, Generalized Modus Ponens
- Unification
- Inference mechanisms in First-Order Logic:
  - Forward chaining
  - Backward chaining
  - Resolution (and CNF)

## Universal instantiation (UI)

Every instantiation of a universally quantified sentence is entailed by it:

$$\frac{\forall v\alpha}{\text{Subst}(\{v/g\}, \alpha)}$$

for any variable  $v$  and ground term  $g$

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E.g.,  $\forall x \text{King}(x) \wedge \text{Greedy}(x) \Rightarrow \text{Evil}(x)$  yields:

$$\text{King}(\text{John}) \wedge \text{Greedy}(\text{John}) \Rightarrow \text{Evil}(\text{John})$$

$$\text{King}(\text{Richard}) \wedge \text{Greedy}(\text{Richard}) \Rightarrow \text{Evil}(\text{Richard})$$

$$\text{King}(\text{Father}(\text{John})) \wedge \text{Greedy}(\text{Father}(\text{John})) \Rightarrow \text{Evil}(\text{Father}(\text{John}))$$

$$\vdots$$

## Existential instantiation (EI)

For any sentence  $\alpha$ , variable  $v$ , and constant symbol  $k$  that does not appear elsewhere in the knowledge base:

$$\frac{\exists v\alpha}{\text{Subst}(\{v/k\}, \alpha)}$$

E.g.,  $\exists x \text{Crown}(x) \wedge \text{OnHead}(x, \text{John})$  yields

$$\text{Crown}(C_1) \wedge \text{OnHead}(C_1, \text{John})$$

provided  $C_1$  is a new constant symbol, called a Skolem constant

Another example: from  $\exists x d(x^y)/dy = x^y$  we obtain

$$d(e^y)/dy = e^y$$

provided  $e$  is a new constant symbol

## UI and EI

UI can be applied several times to add new sentences;  
the new KB is logically equivalent to the old

EI can be applied once to replace the existential sentence;  
the new KB is not equivalent to the old,  
but is satisfiable iff the old KB was satisfiable

## Reduction to propositional inference (I)

$$\forall x King(x) \wedge Greedy(x) \Rightarrow Evil(x)$$

$$King(John)$$

$$Greedy(John)$$

$$Brother(Richard, John)$$

Instantiating the universal sentence in all possible ways, we have:

$$King(John) \wedge Greedy(John) \Rightarrow Evil(John)$$

$$King(Richard) \wedge Greedy(Richard) \Rightarrow Evil(Richard)$$

$$King(John)$$

$$Greedy(John)$$

$$Brother(Richard, John)$$

The new KB is propositionalized: proposition symbols are:

$$King(John), Greedy(John), Evil(John), King(Richard) \text{ etc.}$$

## Reduction to propositional inference (II)

- Claim: a ground sentence\* is entailed by new KB iff entailed by original KB
- Claim: every FOL KB can be propositionalized so as to preserve entailment
- Idea: propositionalize KB and negated query, put in CNF, apply resolution, derive contradiction
- Problem: with function symbols, there are infinitely many ground terms, e.g.,  $Father(Father(Father(John)))$

## Reduction to propositional inference (III)

- Theorem: Herbrand (1930). If a sentence  $\alpha$  is entailed by an FOL KB, it is entailed by a finite subset of the propositional KB
- Idea: For  $n = 0$  to  $\infty$  do
  - create a propositional KB by instantiating with depth- $n$  terms
  - see if  $\alpha$  is entailed by this KB
- Problem: works if  $\alpha$  is entailed, loops if  $\alpha$  is not entailed
- Theorem: Turing (1936), Church (1936), entailment in FOL is semidecidable



## Problems with propositionalization

Propositionalization generates lots of irrelevant sentences.

E.g., from

$$\forall x King(x) \wedge Greedy(x) \Rightarrow Evil(x)$$

$$King(John)$$

$$\forall y Greedy(y)$$

$$Brother(Richard, John)$$

it seems obvious that  $Evil(John)$ , but propositionalization produces lots of facts such as  $Greedy(Richard)$  that are irrelevant

With  $p$   $k$ -ary predicates and  $n$  constants, there are  $p \cdot n^k$  instantiations!

## Unification

We can get the inference immediately if we can find a substitution  $\theta$  such that  $King(x)$  and  $Greedy(x)$  match  $King(John)$  and  $Greedy(y)$

$\theta = \{x/John, y/John\}$  works

Fig 9.1, page 285

$Unify(\alpha, \beta) = \theta$  if  $\alpha\theta = \beta\theta$

$p$	$q$	$\theta$
$Knows(John, x)$	$Knows(John, Jane)$	$\{x/Jane\}$
$Knows(John, x)$	$Knows(y, OJ)$	$\{x/OJ, y/John\}$
$Knows(John, x)$	$Knows(y, Mother(y))$	$\{y/John, x/Mother(John)\}$
$Knows(John, x)$	$Knows(x, OJ)$	<i>fail</i>

Standardizing apart eliminates overlap of variables, e.g.,

$Knows(z_{17}, OJ)$

## Generalized Modus Ponens (GMP)

$$\frac{p_1', p_2', \dots, p_n', (p_1 \wedge p_2 \wedge \dots \wedge p_n \Rightarrow q)}{q\theta} \quad \text{where } p_i'\theta = p_i\theta \text{ for all } i$$

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$p_1'$  is *King(John)*      $p_1$  is *King(x)*

$p_2'$  is *Greedy(y)*      $p_2$  is *Greedy(x)*

$\theta$  is  $\{x/John, y/John\}$       $q$  is *Evil(x)*

$q\theta$  is *Evil(John)*

GMP used with KB of definite clauses (exactly one positive literal)

All variables assumed universally quantified

## Example knowledge base

The law says that it is a crime for an American to sell weapons to hostile nations. The country Nono, an enemy of America, has some missiles, and all of its missiles were sold to it by Colonel West, who is American.

Prove that Col. West is a criminal

... it is a crime for an American to sell weapons to hostile nations:

$$\textit{American}(x) \wedge \textit{Weapon}(y) \wedge \textit{Sells}(x, y, z) \wedge \textit{Hostile}(z) \Rightarrow \textit{Criminal}(x)$$

## Example of KB (2)

Nono ... has some missiles, i.e.,  $\exists x \text{Owns}(\text{Nono}, x) \wedge \text{Missile}(x)$ :  
 $\text{Owns}(\text{Nono}, M_1)$  and  $\text{Missile}(M_1)$

... all of its missiles were sold to it by Colonel West  
 $\forall x \text{Missile}(x) \wedge \text{Owns}(\text{Nono}, x) \Rightarrow \text{Sells}(\text{West}, x, \text{Nono})$

Missiles are weapons:  
 $\text{Missile}(x) \Rightarrow \text{Weapon}(x)$

## Example of KB (3)

An enemy of America counts as “hostile”:

$Enemy(x, America) \Rightarrow Hostile(x)$

West, who is American ...

$American(West)$

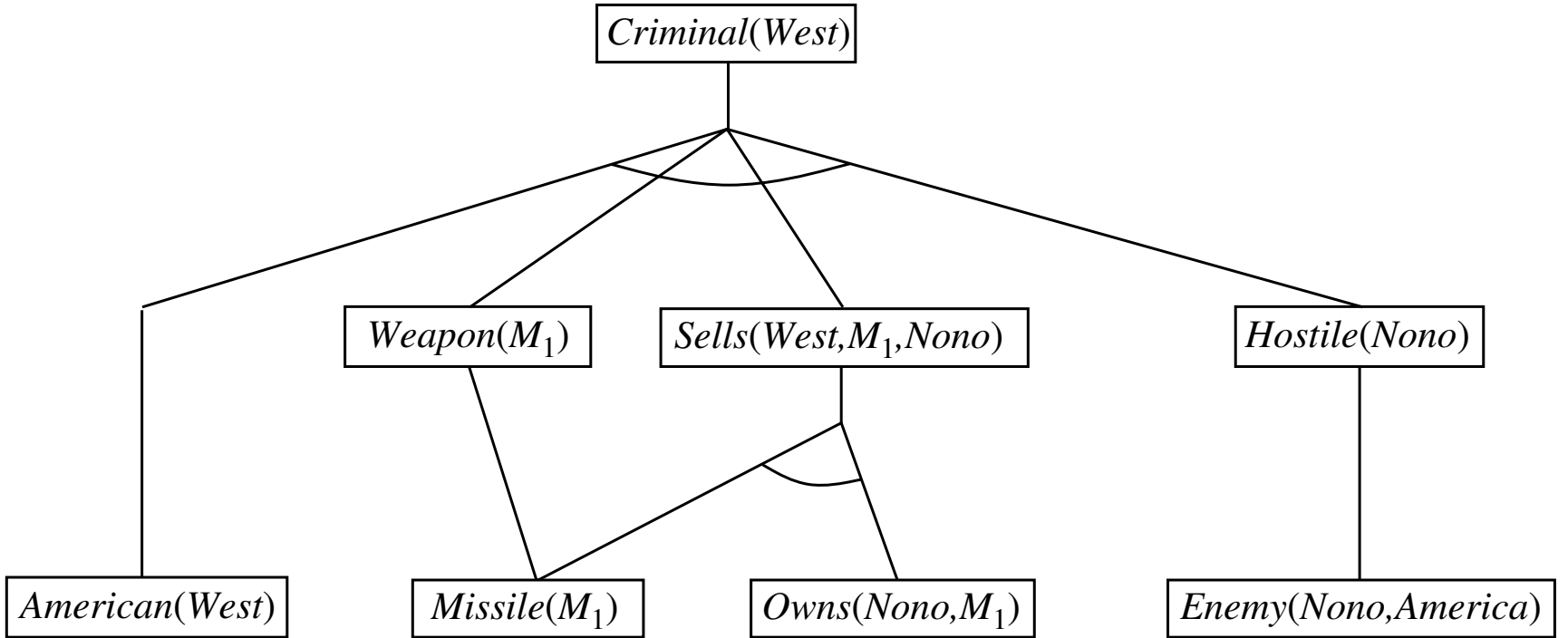
The country Nono, an enemy of America ...

$Enemy(Nono, America)$

# Forward chaining algorithm

<FOL-FC-Ask, Figure 9.3 page 288>

# Forward chaining proof





## Properties of forward chaining

- Sound and complete for first-order definite clauses (proof similar to propositional proof)
- Datalog = first-order definite clauses + no functions (e.g., crime KB)  
FC terminates for Datalog in poly iterations: at most  $p \cdot n^k$  literals
- May not terminate in general if  $\alpha$  is not entailed
- This is unavoidable: entailment with definite clauses is semidecidable

## Efficiency of forward chaining

- Simple observation: no need to match a rule on iteration  $k$  if a premise wasn't added on iteration  $k - 1$   
 $\Rightarrow$  match each rule whose premise contains a newly added literal
- Matching itself can be expensive
- Database indexing allows  $O(1)$  retrieval of known facts  
l e.g., query  $Missile(x)$  retrieves  $Missile(M_1)$
- Matching conjunctive premises against known facts is NP-hard
- Forward chaining is widely used in deductive databases

# Backward chaining algorithm

<FOL-BC-Ask, Figure 9.6 page 293>



## Properties of backward chaining

- Depth-first recursive proof search: space is linear in size of proof
- Incomplete due to infinite loops  
⇒ fix by checking current goal against every goal on stack
- Inefficient due to repeated subgoals (both success and failure)  
⇒ fix using caching of previous results (extra space!)
- Widely used (without improvements!) for logic programming

**Resolution:** brief summary

Full first-order version:

$$\frac{l_1 \vee \cdots \vee l_k, \quad m_1 \vee \cdots \vee m_n}{(l_1 \vee \cdots \vee l_{i-1} \vee l_{i+1} \vee \cdots \vee l_k \vee m_1 \vee \cdots \vee m_{j-1} \vee m_{j+1} \vee \cdots \vee m_n)\theta}$$

where  $\text{Unify}(l_i, \neg m_j) = \theta$ .

For example,

$$\frac{\neg Rich(x) \vee Unhappy(x) \quad Rich(Ken)}{Unhappy(Ken)}$$

with  $\theta = \{x/Ken\}$

Apply resolution steps to  $CNF(KB \wedge \neg\alpha)$ ; complete for FOL

## Conversion to CNF (I)

Everyone who loves all animals is loved by someone:

$$\forall x[\forall y \textit{Animal}(y) \Rightarrow \textit{Loves}(x, y)] \Rightarrow [\exists y \textit{Loves}(y, x)]$$

1. Eliminate biconditionals and implications

$$\forall x[\neg \forall y \neg \textit{Animal}(y) \vee \textit{Loves}(x, y)] \vee [\exists y \textit{Loves}(y, x)]$$

2. Move  $\neg$  inwards:  $\neg \forall x, p \equiv \exists x \neg p$ ,  $\neg \exists x, p \equiv \forall x \neg p$ :

$$\forall x[\exists y \neg (\neg \textit{Animal}(y) \vee \textit{Loves}(x, y))] \vee [\exists y \textit{Loves}(y, x)]$$

$$\forall x[\exists y \neg \neg \textit{Animal}(y) \wedge \neg \textit{Loves}(x, y)] \vee [\exists y \textit{Loves}(y, x)]$$

$$\forall x[\exists y \textit{Animal}(y) \wedge \neg \textit{Loves}(x, y)] \vee [\exists y \textit{Loves}(y, x)]$$

## Conversion to CNF (II)

3. Standardize variables: each quantifier should use a different one

$$\forall x[\exists y \textit{Animal}(y) \wedge \neg \textit{Loves}(x, y)] \vee [\exists z \textit{Loves}(z, x)]$$

4. Skolemize: a more general form of existential instantiation.

Each existential variable is replaced by a Skolem function of the enclosing universally quantified variables:

$$\forall x[\textit{Animal}(F(x)) \wedge \neg \textit{Loves}(x, F(x))] \vee \textit{Loves}(G(x), x)$$

5. Drop universal quantifiers:

$$[\textit{Animal}(F(x)) \wedge \neg \textit{Loves}(x, F(x))] \vee \textit{Loves}(G(x), x)$$

6. Distribute  $\wedge$  over  $\vee$ :

$$[\textit{Animal}(F(x)) \vee \textit{Loves}(G(x), x)] \wedge [\neg \textit{Loves}(x, F(x)) \vee \textit{Loves}(G(x), x)]$$



# Resolution proof: definite clauses

