

# Algorithms: A Brief Introduction

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Fall 2007

Computer Science & Engineering 235  
Introduction to Discrete Mathematics  
Section 3.1 of Rosen

**Real World**

Objects

Relations

Actions

**Computing World**

Data Structures, ADTs, Classes

Relations and functions

Operations

**Problems** are specified by (1) a formulation and (2) a query.

**Formulation** is a set of objects and a set of relations between them

**Query** is the information one is trying to extract from the formulation, the question to answer.

**Algorithms**<sup>1</sup> are methods or procedures that solve instances of a problem

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<sup>1</sup>"Algorithm" is a distortion of *al-Khwarizmi*, a Persian mathematician

## Definition

An **algorithm** is a sequences of unambiguous instructions for solving a problem. Algorithms must be

- Finite – must eventually *terminate*.
- Complete – *always* gives a solution when there is one.
- Correct (sound) – *always* gives a “correct” solution.

For an algorithm to be an acceptable solution to a problem, it must also be *effective*. That is, it must give a solution in a “reasonable” amount of time.

There can be many algorithms for the same problem.

There are many broad categories of Algorithms: Randomized algorithms, Monte-Carlo algorithms, Approximation algorithms, Parallel algorithms, et al.

Usually, algorithms are studied corresponding to relevant data structures. Some general *styles* of algorithms include

- 1 Brute Force (enumerative techniques, exhaustive search)
- 2 Divide & Conquer
- 3 Transform & Conquer (reformulation)
- 4 Greedy Techniques

Algorithms are usually presented using some form of *pseudo-code*. Good pseudo-code is a balance between clarity and detail.

*Bad* pseudo-code gives too many details or is too implementation specific (i.e. actual C++ or Java code or giving every step of a sub-process).

*Good* pseudo-code abstracts the algorithm, makes good use of mathematical notation and is easy to read.

# Good Pseudo-code

## Example

### INTERSECTION

INPUT : Two sets of integers,  $A$  and  $B$

OUTPUT : A set of integers  $C$  such that  $C = A \cap B$

```
1  $C \leftarrow \emptyset$ 
2 IF  $|A| > |B|$  THEN
3     swap( $A, B$ )
4 END
5 FOR every  $x \in A$  DO
6     IF  $x \in B$  THEN
7          $C \leftarrow C \cup \{x\}$ 
8     END
9 END
10 output  $C$ 
```

Latex notation: `\leftarrow`.

A general approach to designing algorithms is as follows.

- 1 Understand the problem, assess its difficulty
- 2 Choose an approach (e.g., exact/approximate, deterministic/probabilistic)
- 3 (Choose appropriate data structures)
- 4 Choose a strategy
- 5 Prove termination
- 6 Prove correctness
- 7 Prove completeness
- 8 Evaluate complexity
- 9 Implement and test it.
- 10 Compare to other known approaches *and* algorithms.

When designing an algorithm, we usually give a formal statement about the problem we wish to solve.

### Problem

**Given** a set  $A = \{a_1, a_2, \dots, a_n\}$  integers.

**Output** the index  $i$  of the maximum integer  $a_i$ .

A straightforward idea is to simply store an initial maximum, say  $a_1$  then compare it to every other integer, and update the stored maximum if a new maximum is ever found.



## MAX

INPUT : A set  $A = \{a_1, a_2, \dots, a_n\}$  of integers.

OUTPUT : An index  $i$  such that  $a_i = \max\{a_1, a_2, \dots, a_n\}$

```
1 index  $\leftarrow$  1
2 FOR  $i = 2, \dots, n$  DO
3     IF  $a_i > a_{\text{index}}$  THEN
4         index  $\leftarrow i$ 
5     END
6 END
7 output  $i$ 
```

This is a simple enough algorithm that you should be able to:

- Prove it correct
- Verify that it has the properties of an algorithm.
- Have some intuition as to its *cost*.

That is, how many “steps” would it take for this algorithm to complete its run? What constitutes a step? How do we measure the complexity of the step?

These questions will be answered in the next few lectures, for now let us just take a look at a couple more examples.

Check Bubble Sort and Insertion Sort in your textbooks, which you have seen ad nauseum, in CSE155, CSE156, and will see again in CSE310.

I will be glad to discuss them with any of you if you have not seen them yet.

In many problems, we wish to not only find a solution, but to find the best or *optimal* solution.

A simple technique that works for *some* optimization problems is called the *greedy technique*.

As the name suggests, we solve a problem by being greedy—that is, choosing the best, most immediate solution (i.e. a *local* solution).

However, for some problems, this technique is not guaranteed to produce the best *globally optimal* solution.

# Example

## Change-Making Problem

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For anyone who's had to work a service job, this is a familiar problem: we want to give change to a customer, but we want to minimize the number of total coins we give them.

### Problem

**Given** An integer  $n$  and a set of coin denominations  $(c_1, c_2, \dots, c_r)$  with  $c_1 > c_2 > \dots > c_r$

**Output** A set of coins  $d_1, d_2, \dots, d_k$  such that  $\sum_{i=1}^k d_i = n$  and  $k$  is minimized.

# Example

## Change-Making Algorithm

### CHANGE

**INPUT** : An integer  $n$  and a set of coin denominations  $(c_1, c_2, \dots, c_r)$  with  $c_1 > c_2 > \dots > c_r$ .

**OUTPUT** : A set of coins  $d_1, d_2, \dots, d_k$  such that  $\sum_{i=1}^k d_i = n$  and  $k$  is minimized.

```
1  $C \leftarrow \emptyset$ 
2 FOR  $i = 1, \dots, r$  DO
3     WHILE  $n \geq c_i$  DO
4          $C \leftarrow C \cup \{c_i\}$ 
5          $n \leftarrow n - c_i$ 
6     END
7 END
8 output  $C$ 
```

Will this algorithm *always* produce an optimal answer?

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Consider a coinage system:

- where  $c_1 = 20, c_2 = 15, c_3 = 7, c_4 = 1$
- and we want to give 22 “cents” in change.

What will this algorithm produce?

Is it optimal?



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- and we want to give 22 “cents” in change.

What will this algorithm produce?

Is it optimal?

It is *not* optimal since it would give us one  $c_4$  and two  $c_1$ , for three coins, while the optimal is one  $c_2$  and one  $c_3$  for two coins.

# Change-Making Algorithm

## Optimal?

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What about the US currency system—is the algorithm correct in this case?

Yes, in fact, we can prove it by contradiction.

For simplicity, let  $c_1 = 25$ ,  $c_2 = 10$ ,  $c_3 = 5$ ,  $c_4 = 1$ .

# Change-Making Algorithm

Proving optimality

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Proof.

# Change-Making Algorithm

Proving optimality

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## Proof.

- Let  $C = \{d_1, d_2, \dots, d_k\}$  be the solution given by the greedy algorithm for some integer  $n$ . By way of contradiction, assume there is *another* solution  $C' = \{d'_1, d'_2, \dots, d'_l\}$  with  $l < k$ .

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- Consider the case of quarters. Say in  $C$  there are  $q$  quarters and in  $C'$  there are  $q'$ . If  $q' > q$ , the greedy algorithm would have used  $q'$ .

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- Consider the case of quarters. Say in  $C$  there are  $q$  quarters and in  $C'$  there are  $q'$ . If  $q' > q$ , the greedy algorithm would have used  $q'$ .
- Since the greedy algorithm uses as many quarters as possible,  $n = q(25) + r$ , where  $r < 25$ , thus if  $q' < q$ , then in  $n = q'(25) + r'$ ,  $r' \geq 25$  and so  $C'$  does not provide an optimal solution.

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- Finally, if  $q = q'$ , then we continue this argument on dimes and nickels. Eventually we reach a contradiction.

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- Finally, if  $q = q'$ , then we continue this argument on dimes and nickels. Eventually we reach a contradiction.
- Thus,  $C = C'$  is our optimal solution.



Why (and where) does this proof fail in our previous counter example to the general case?

We need the following lemma:

*If  $n$  is a positive integer then  $n$  cents in change using quarters, dimes, nickels, and pennies using the fewest coins possible*

- ① *has at most two dimes, at most one nickel, at most most four pennies, and*
- ② *cannot have two dimes and a nickel.*

*The amount of change in dimes, nickels, and pennies cannot exceed 24 cents.*