

## Algorithms: A Brief Introduction

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## Algorithms

### Brief Introduction

#### Real World

Objects  
Relations  
Actions

#### Computing World

Data Structures, ADTs, Classes  
Relations and functions  
Operations

**Problems** are specified by (1) a formulation and (2) a query.

**Formulation** is a set of objects and a set of relations between them

**Query** is the information one is trying to extract from the formulation, the question to answer.

**Algorithms**<sup>1</sup> are methods or procedures that solve instances of a problem

<sup>1</sup>"Algorithm" is a distortion of *al-Khwarizmi*, a Persian mathematician

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## Algorithms

### Formal Definition

#### Definition

An **algorithm** is a sequences of unambiguous instructions for solving a problem. Algorithms must be

- ▶ Finite – must eventually *terminate*.
- ▶ Complete – *always* gives a solution when there is one.
- ▶ Correct (sound) – *always* gives a "correct" solution.

For an algorithm to be an acceptable solution to a problem, it must also be *effective*. That is, it must give a solution in a "reasonable" amount of time.

There can be many algorithms for the same problem.

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## Algorithms

### General Techniques

There are many broad categories of Algorithms: Randomized algorithms, Monte-Carlo algorithms, Approximation algorithms, Parallel algorithms, et al.

Usually, algorithms are studied corresponding to relevant data structures. Some general *styles* of algorithms include

1. Brute Force (enumerative techniques, exhaustive search)
2. Divide & Conquer
3. Transform & Conquer (reformulation)
4. Greedy Techniques

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## Pseudo-code

Algorithms are usually presented using some form of *pseudo-code*. Good pseudo-code is a balance between clarity and detail.

*Bad* pseudo-code gives too many details or is too implementation specific (i.e. actual C++ or Java code or giving every step of a sub-process).

*Good* pseudo-code abstracts the algorithm, makes good use of mathematical notation and is easy to read.

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## Good Pseudo-code

### Example

```
INTERSECTION
INPUT      : Two sets of integers, A and B
OUTPUT     : A set of integers C such that  $C = A \cap B$ 
1   $C \leftarrow \emptyset$ 
2  IF  $|A| > |B|$  THEN
3    swap(A, B)
4  END
5  FOR every  $x \in A$  DO
6    IF  $x \in B$  THEN
7       $C \leftarrow C \cup \{x\}$ 
8    END
9  END
10 output C
```

Latex notation: `\leftarrow`.

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## Designing An Algorithm

A general approach to designing algorithms is as follows.

1. Understand the problem, assess its difficulty
2. Choose an approach (e.g., exact/approximate, deterministic/probabilistic)
3. (Choose appropriate data structures)
4. Choose a strategy
5. Prove termination
6. Prove correctness
7. Prove completeness
8. Evaluate complexity
9. Implement and test it.
10. Compare to other known approaches *and* algorithms.

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## MAX

When designing an algorithm, we usually give a formal statement about the problem we wish to solve.

**Problem**

**Given** a set  $A = \{a_1, a_2, \dots, a_n\}$  integers.

**Output** the index  $i$  of the maximum integer  $a_i$ .

A straightforward idea is to simply store an initial maximum, say  $a_1$  then compare it to every other integer, and update the stored maximum if a new maximum is ever found.

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## MAX

Pseudo-code

MAX

```
INPUT      : A set  $A = \{a_1, a_2, \dots, a_n\}$  of integers.
OUTPUT     : An index  $i$  such that  $a_i = \max\{a_1, a_2, \dots, a_n\}$ 
1 index  $\leftarrow 1$ 
2 FOR  $i = 2, \dots, n$  DO
3   IF  $a_i > a_{\text{index}}$  THEN
4     index  $\leftarrow i$ 
5   END
6 END
7 output  $i$ 
```

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## MAX

Analysis

This is a simple enough algorithm that you should be able to:

- ▶ Prove it correct
- ▶ Verify that it has the properties of an algorithm.
- ▶ Have some intuition as to its *cost*.

That is, how many “steps” would it take for this algorithm to complete its run? What constitutes a step? How do we measure the complexity of the step?

These questions will be answered in the next few lectures, for now let us just take a look at a couple more examples.

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## Other examples

Check Bubble Sort and Insertion Sort in your textbooks, which you have seen ad nauseum, in CSE155, CSE156, and will see again in CSE310.

I will be glad to discuss them with any of you if you have not seen them yet.

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## Greedy algorithm

Optimization

In many problems, we wish to not only find a solution, but to find the best or *optimal* solution.

A simple technique that works for *some* optimization problems is called the *greedy technique*.

As the name suggests, we solve a problem by being greedy—that is, choosing the best, most immediate solution (i.e. a *local* solution).

However, for some problems, this technique is not guaranteed to produce the best *globally optimal* solution.

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## Example

### Change-Making Problem

For anyone who's had to work a service job, this is a familiar problem: we want to give change to a customer, but we want to minimize the number of total coins we give them.

#### Problem

**Given** An integer  $n$  and a set of coin denominations  $(c_1, c_2, \dots, c_r)$  with  $c_1 > c_2 > \dots > c_r$

**Output** A set of coins  $d_1, d_2, \dots, d_k$  such that  $\sum_{i=1}^k d_i = n$  and  $k$  is minimized.

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## Example

### Change-Making Algorithm

#### CHANGE

**INPUT** : An integer  $n$  and a set of coin denominations  $(c_1, c_2, \dots, c_r)$  with  $c_1 > c_2 > \dots > c_r$ .

**OUTPUT** : A set of coins  $d_1, d_2, \dots, d_k$  such that  $\sum_{i=1}^k d_i = n$  and  $k$  is minimized.

```
1  $C \leftarrow \emptyset$ 
2 FOR  $i = 1, \dots, r$  DO
3   WHILE  $n \geq c_i$  DO
4      $C \leftarrow C \cup \{c_i\}$ 
5      $n \leftarrow n - c_i$ 
6   END
7 END
8 output  $C$ 
```

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## Change-Making Algorithm

### Analysis

Will this algorithm *always* produce an optimal answer?

Consider a coinage system:

- ▶ where  $c_1 = 20, c_2 = 15, c_3 = 7, c_4 = 1$
- ▶ and we want to give 22 "cents" in change.

What will this algorithm produce?

Is it optimal?

It is *not* optimal since it would give us one  $c_4$  and two  $c_1$ , for three coins, while the optimal is one  $c_2$  and one  $c_3$  for two coins.

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## Change-Making Algorithm

Optimal?

What about the US currency system—is the algorithm correct in this case?

Yes, in fact, we can prove it by contradiction.

For simplicity, let  $c_1 = 25, c_2 = 10, c_3 = 5, c_4 = 1$ .

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## Change-Making Algorithm

Proving optimality

Proof.

- ▶ Let  $C = \{d_1, d_2, \dots, d_k\}$  be the solution given by the greedy algorithm for some integer  $n$ . By way of contradiction, assume there is *another* solution  $C' = \{d'_1, d'_2, \dots, d'_l\}$  with  $l < k$ .
- ▶ Consider the case of quarters. Say in  $C$  there are  $q$  quarters and in  $C'$  there are  $q'$ . If  $q' > q$ , the greedy algorithm would have used  $q'$ .
- ▶ Since the greedy algorithm uses as many quarters as possible,  $n = q(25) + r$ , where  $r < 25$ , thus if  $q' < q$ , then in  $n = q'(25) + r'$ ,  $r' \geq 25$  and so  $C'$  does not provide an optimal solution.
- ▶ Finally, if  $q = q'$ , then we continue this argument on dimes and nickels. Eventually we reach a contradiction.
- ▶ Thus,  $C = C'$  is our optimal solution.

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## Change-Making Algorithm

Proving optimality

Why (and where) does this proof fail in our previous counter example to the general case?

We need the following lemma:

*If  $n$  is a positive integer then  $n$  cents in change using quarters, dimes, nickels, and pennies using the fewest coins possible*

1. *has at most two dimes, at most one nickel, at most most four pennies, and*
2. *cannot have two dimes and a nickel.*

*The amount of change in dimes, nickels, and pennies cannot exceed 24 cents.*

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