

Title: On the Conversion between Non-Binary and Binary  
Constraint Satisfaction Problems

Authors: F. Bacchus and P. van Beek

Proc: AAAI 1998

Pages: 310–319

**Foundations of Constraint Satisfaction**  
**CSCE421/821, Fall 2005**

[www.cse.unl.edu/~choueiry/F05-421-821/](http://www.cse.unl.edu/~choueiry/F05-421-821/)

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**Required reading:**

On the Conversion between Non-Binary and Binary Constraint  
Satisfaction Problems, F. Bacchus and P. van Beek (AAAI'98)

**Recommended reading:** *n-FC* *available from course URL*

- On forward checking for non-binary constraint satisfaction.  
C. Bessière and P. Meseguer and E.C. Freuder and J. Larrosa,  
Proceedings CP'99, Alexandria VA, pages 88-102.
- Decomposable Constraints.  
Ian Gent, Kostas Stergiou and Toby Walsh.  
Artificial Intelligence, 123 (1-2), 133-156, 2000.

## Summary

- Studies 2 mappings of non-binary CSPs into a binary representation  $\left\{ \begin{array}{l} \text{dual graph} \\ \text{hidden variable} \end{array} \right.$
- Studies performance of BT search in each mapping vs. its performance in non-binary version
- Considers theoretical & experimental aspects
- Proposes FC<sup>+</sup>, yet lookahead strategy
- Indicates interesting open issues

## Importance

- Learn about the mappings
- Do you want to carry out a theoretical study to settle the question?  
→ an opportunity for a (research) project

## Facts

- Non-binary constraints useful in the modeling of many applications
- Most research in CSPs is restricted to binary constraints
- Generalizing techniques for binary CSPs to address non-binary constraints is not straightforward
  - .. but sometimes done: FC & MAC
- Projection loses information
- Usual work-around/justification: (correctly) map non-binary constraints into binary ones

## Ideally

- Modeling: use the most expressive/natural representation
- Solving: use the most 'effective' representation

PS: the 'effectiveness' of a **representation** per se is a new, and difficult, research area. No clear metrics exist, to my knowledge

## Your options

- Directly apply techniques for non-binary CSP
  - ...too few :—(
- Translate non-binary  $\rightarrow$  binary, then solve
  - Techniques for binary CSPs exploit graph/constraint properties
    - Does the translation preserve/yield such properties?
    - ...will the translation degrade the performance of the techniques developed for binary CSPs?

## Goal

- Study the effect of the translation on the performance of BT search
- Ultimately, establish properties of the translation to legitimize the restriction of research efforts to binary CSPs

Considers two translation methods

## Results

- In most cases, the non-binary representation is most effective
- For tight constraints: binary representation wins

## Example:

3SAT:

$$(X_1 \vee X_2 \vee X_6) \wedge (\bar{X}_1 \vee X_3 \vee X_4) \wedge (\bar{X}_4 \vee \bar{X}_5 \vee X_6) \wedge (X_2 \vee X_5 \vee \bar{X}_6)$$

3SAT as a non-binary (ternary) CSP

Variables:  $X_1, X_2, \dots, X_6$

Domains:  $D_{X_i} = \{0, 1\}$

Constraints:  $C_{126} = \{(0, 0, 1), (0, 1, 0), \dots\}$ , except  $(0, 0, 0)$

$$C_{134} = \text{all} - \{(1, 0, 0)\}$$

$$C_{456} = \text{all} - \{(1, 1, 0)\}$$

$$C_{256} = \text{all} - \{(0, 0, 1)\}$$

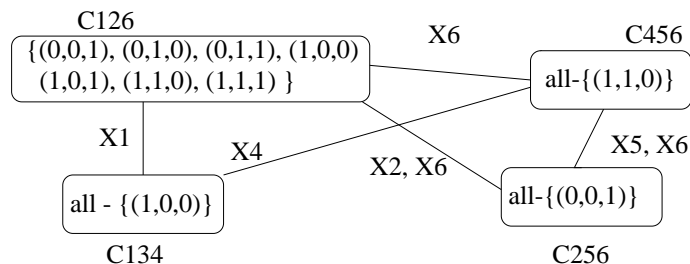
## FC for non-binary constraints

- A  $k$ -ary constraint is forward-checkable, if
  - $(k - 1)$  of its variables are instantiated
  - one variable uninstantiated
- BT-search:
  - instantiate one variable
  - repeat: for each newly f-checkable constraint, check future variable
  - if any domain is empty, backtrack
- Improvements:  $n$ -FC,  $n$ -FC2, ...,  $n$ -FC5

## Dual-graph representation

Usually:  $\begin{cases} \text{CSP variable} \rightarrow \text{node} \\ \text{constraint} \rightarrow \text{hyper-arc 'label'} \end{cases}$

Dual graph:  $\begin{cases} \text{constraint} \rightarrow \text{node (called c-variable)} \\ \text{CSP variable} \rightarrow \text{arc 'label'} \end{cases}$

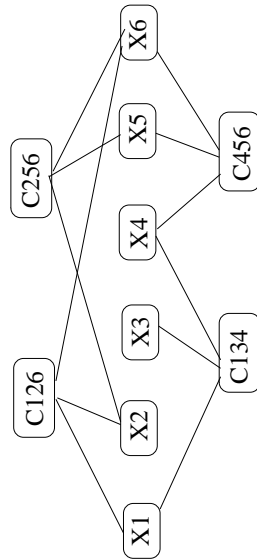


Constraint:  $X_1$  must have the same value in  $C_{126}$  and  $C_{134}$

Domain of a c-variable: constraint definition

## Hidden-variable representation

Variables: CSP variables +  
 1 hidden variable (h-variable) per constraint  
 Constraints: only between a variable and the h-variables  
 corresponding to its applicable constraints



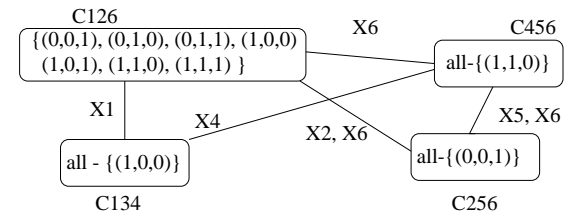
Constraint: a value of  $C_{126}$  correspond to one value of  $X_1$   
 Domain of the h-variable = domain of the c-variable

## Two binary representations

- **Dual graph**

Nodes = only the constraints  
 (CSP variables are not represented)

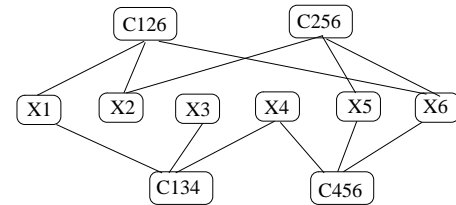
Simple arcs between constraints



- **Hidden variable**

Nodes = CSP variables and constraints

Simple arcs constraints  $\longleftrightarrow$  variables



→ Compare to Freuder's constraint graphs

## Theoretical comparison

I– Space requirements (data structures)

II– Analytical bounds (#nodes, #constraint checks in search)

## I– Space requirements

- Binary representations require additional storing of domains for the  $c/h$ -variables (allowed  $k$ -tuples for each  $k$ -ary constraint)  
FC needs storage space proportional to the size of the domains (i.e., reductions)  
→ could be substantial
- No space is needed to store constraints in binary representations: simple projection of an instantiation, can be done in constant time  
**assuming** domains of  $c/h$ -variables are stored extensionally

## II – Analytical Bounds

### Criteria

- number of visited nodes
- number of checks performed

### Working assumption

- checking  $k$ -constraint costs  $k$  operations
- checking binary constraint costs 2 operations

### Comparison

- dual-graph vs. non-binary
- hidden-variable vs. non-binary

### Result

- not conclusive (one can always build a case where solving BT+FC has a better performance in one representation than in another)
- experimental evidence needed

## Dual graph vs. non-binary CSP (I)

Loose constraint  $\Rightarrow$  exponentially large domains for c-variables  $\Rightarrow$  non-binary is less costly

Example:

$n$  variables:  $X_1, X_2, \dots, X_n$

$n$  constraints:  $X_1, \bar{X}_1 \vee X_2, \bar{X}_1 \vee \bar{X}_2 \vee X_3, \dots, \bar{X}_1 \vee \bar{X}_1 \vee \dots \vee X_n$

Non-binary:  $n$  nodes,  $\mathcal{O}(n^2)$  consistency checks

Dual-graph:  $n$  nodes,  $\mathcal{O}(2^n)$  consistency checks

Tight constraint  $\Rightarrow \dots \Rightarrow$  dual-graph is less costly

Example:

$n$  variables:  $X_1, X_2, \dots, X_n$

$n$  constraints:  $X_1 \wedge \dots \wedge X_{n-1}, X_1 \wedge \dots \wedge X_{n-2} \wedge X_n, \dots, X_2 \wedge \dots \wedge X_n$

Non-binary:  $2^{n-1}$  nodes,  $\mathcal{O}(n2^n)$  consistency checks

Dual-graph:  $n$  nodes,  $\mathcal{O}(n^2)$  consistency checks



## Improving FC: FC<sup>+</sup>

- The constraint in the direction hidden-var → CSP-var is functional, but not vice-versa
- Search on hidden-var representation is restricted to the CSP-vars, h-vars used only for propagation
- FC is replaced with FC<sup>+</sup> to improve propagation
- FC<sup>+</sup> triggered improvements into nFC0, nFC1, ..., nFC5.

## Experiments

Carried out on random CSPs

Results have predictive power verified by:

- random 3SAT
- crossword puzzles

→ Reference for a good methodology for experiments

## Conclusions

Translating non-binary constraints involves overhead.

Translation is **perhaps** worthwhile if constraints are restrictive

Translation, as a strategy, is justifiable

Many open issues..

→ # tuples in constraints a good indicator? probably..

→ dual graph vs. hidden-variable ?

→ .. we need to study further these translations/reformulations

→ to gain insight for designing good algorithms for  
non-binary constraints